# Introduction to <br> Chiral Perturbation Theory 

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## I. Standard Model at Iow energies

## 1. Interactions

## Local symmetries

## 2. QED + QCD

Precision theory for $E \ll 100 \mathrm{GeV}$
Qualitative difference QED $\Longleftrightarrow$ QCD

## 3. Chiral symmetry

Some of the quarks happen to be light
Approximate chiral symmetry
Spontaneous symmetry breakdown

## 4. Goldstone theorem

If $N_{f}$ of the quark masses are put equal to zero QCD contains $N_{f}^{2}-1$ Nambu-Goldstone bosons

## 5. Gell-Mann-Oakes-Renner relation

Quark masses break chiral symmetry
NGBs pick up mass
$M_{\pi}^{2}$ is proportional to $m_{u}+m_{d}$

## II. Chiral perturbation theory

6. Group geometry

Symmetry group of the Hamiltonian $G$
Symmetry group of the ground state $H$
Nambu-Goldstone bosons live on $G / H$
7. Generating functional of QCD

Collects the Green functions of the theory

## 8. Ward identities

Symmetries of the generating functional

## 9. Low energy expansion

Taylor series in powers of external momenta NGBs generate infrared singularities

## 10. Effective Lagrangian

Singularities due to the Nambu-Goldstone bosons can be worked out with an effective field theory. Side remark: for nonrelativistic systems, there is a complication. In that case, $\mathcal{L}_{\text {eff }}$ is in general invariant only up to a total derivative.

## 11. Explicit construction of $\mathcal{L}_{\text {eff }}$

## III. Illustrations

## 12. Some tree level calculations

Leading terms of the chiral perturbation series for the quark condensate and for $M_{\pi}, F_{\pi}$

## 13. $M_{\pi}$ beyond tree level

Contributions to $M_{\pi}$ at NL and NNL orders

## 14. $F_{\pi}$ to one loop

Chiral logarithm in $F_{\pi}$, low energy theorem for scalar radius

## 15. Pion form factors

Charge radius of the pion, scalar radius Dispersion relations

## 16. Lattice results for $M_{\boldsymbol{\pi}}, \boldsymbol{F}_{\boldsymbol{\pi}}$

Determination of the effective coupling constants $\ell_{3}, \ell_{4}$ on the lattice

## 17. $\pi \pi$ scattering

$\chi$ PT, lattice, precision experiments
18. Conclusions for $\operatorname{SU}(2) \times S U(2)$
19. Expansion in powers of $m_{s}$

Convergence, validity of Zweig rule
20. Conclusions for $\operatorname{SU}(3) \times S U(3)$
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21. Masses of the light quarks
22. $V_{u s}$ and $V_{u d}$
23. Puzzling results on $K_{L} \rightarrow \pi \mu \nu$
24. Concluding remarks

Exercises

## I. Standard Model at Iow energies

## 1. Interactions

strong weak e.m. gravity
$S U(3) \times S U(2) \times U(1) \times D$

## Gravity

understood only at classical level
gravitational waves $\checkmark$
quantum theory of gravity ?
classical theory adequate for

$$
r \gg \sqrt{\frac{G \hbar}{c^{3}}}=1.6 \cdot 10^{-35} \mathrm{~m}
$$

## Weak interaction

frozen at low energies

$$
E \ll M_{\mathrm{w}} c^{2} \simeq 80 \mathrm{GeV}
$$

$\Rightarrow$ structure of matter: only strong and electromagnetic interaction
$\Rightarrow$ neutrini decouple

## Electromagnetic interaction

Maxwell ~ 1860
survived relativity and quantum theory, unharmed

- Electrons in electromagnetic field ( $\hbar=c=1$ )

$$
\frac{1}{i} \frac{\partial \psi}{\partial t}-\frac{1}{2 m_{e}^{2}}(\vec{\nabla}+i e \vec{A})^{2} \psi-e \varphi \psi=0
$$

contains the potentials $\vec{A}, \varphi$

- only $\vec{E}=-\vec{\nabla} \varphi-\frac{\partial \vec{A}}{\partial t}$ and $\vec{B}=\vec{\nabla} \times \vec{A}$ are of physical significance
- Schrödinger equation is invariant under gauge transformations

$$
\vec{A}^{\prime}=\vec{A}+\vec{\nabla} f, \quad \varphi^{\prime}=\varphi-\frac{\partial f}{\partial t}, \quad \psi^{\prime}=e^{-i e f} \psi
$$

describe the same physical situation as $\vec{A}, \varphi, \psi$

- Equivalence principle of the e.m. interaction: $\psi$ physically equivalent to $e^{-i e f} \psi$
- $e^{-i e f}$ is unitary $1 \times 1$ matrix, $e^{-i e f} \in \mathrm{U}(1)$ $f=f(\vec{x}, t)$ space-time dependent function
- gauge invariance $\Longleftrightarrow$ local $U(1)$ symmetry electromagnetic field is gauge field of $U(1)$ Weyl 1929
- U(1) symmetry + renormalizability fully determine the e.m. interaction


## Strong interaction

nuclei $=\mathrm{p}+\mathrm{n} \sim 1930$

- Nuclear forces

Yukawa ~ 1935
$V_{\text {e.m. }}=-\frac{e^{2}}{4 \pi r}$
$\frac{e^{2}}{4 \pi} \simeq \frac{1}{137}$
long range
$r_{0}=\infty$
$r_{0}=\frac{\hbar}{M_{\pi} c}=1.4 \cdot 10^{-15} \mathrm{~m}$
$M_{\gamma}=0$

- Problem with Yukawa formula:
p and n are extended objects diameter comparable to range of force formula only holds for $r \gg$ diameter
- Protons, neutrons composed of quarks
$\mathrm{p}=\mathrm{uud}$
$\mathrm{n}=u d d$
- Quarks carry internal quantum number
$u=\left(\begin{array}{l}u_{1} \\ u_{2} \\ u_{3}\end{array}\right) \quad d=\left(\begin{array}{l}d_{1} \\ d_{2} \\ d_{3}\end{array}\right)$
occur in 3 "colours"
- Strong interaction is invariant under local rotations in colour space
$u^{\prime}=U \cdot u \quad d^{\prime}=U \cdot d$
$U=\left(\begin{array}{lll}U_{11} & U_{12} & U_{13} \\ U_{21} & U_{22} & U_{23} \\ U_{31} & U_{32} & U_{33}\end{array}\right) \in \operatorname{SU}(3)$
- Can only be so if the strong interaction is also mediated by a gauge field gauge field of $\mathrm{SU}(3) \Longrightarrow$ strong interaction Quantum chromodynamics

Comparison of e.m. and strong interaction

|  | QED | QCD |
| :--- | :---: | :---: |
| symmetry <br> gauge field | $\mathrm{U}(1)$ | $\mathrm{SU}(3)$ |
| particles |  |  |
| source <br> coupling <br> constant | photons <br> charge | gluons field <br> glulour |

- All charged particles generate e.m. field
- All coloured particles generate gluon field
- Leptons do not interact strongly because they do not carry colour
- Equivalence principle of the strong interaction:

$$
U \cdot\left(\begin{array}{l}
u_{1} \\
u_{2} \\
u_{3}
\end{array}\right) \text { physically equivalent to }\left(\begin{array}{l}
u_{1} \\
u_{2} \\
u_{3}
\end{array}\right)
$$

## 2. QED+QCD

Effective theory for $E \ll M_{\mathrm{w}} c^{2} \simeq 80 \mathrm{GeV}$
Symmetry $\mathrm{U}(1) \times \mathrm{SU}(3)$
Lagrangian
QED + QCD

- Dynamical variables:
gauge fields for photons and gluons
Fermi fields for leptons and quarks
- Interaction fully determined by group geometry Lagrangian contains 2 coupling constants

$$
e, g
$$

- Quark and lepton mass matrices can be brought to diagonal form, eigenvalues real, positive

$$
m_{e}, m_{\mu}, m_{\tau}, m_{u}, m_{d}, m_{s}, m_{c}, m_{b}, m_{t}
$$

- Transformation generates vacuum angle
$\square$
- Precision theory for cold matter, atomic structure, solids, ...

Bohr radius: $\quad a=\frac{4 \pi}{e^{2} m_{e}}$

- $\theta$ breaks $C P$

Neutron dipole moment is very small
$\Rightarrow$ strong upper limit, $\theta \simeq 0$

## Qualitative difference between e.m. and strong interactions

- Photons do not have charge
- Gluons do have colour

$$
x_{1} \cdot x_{2}=x_{2} \cdot x_{1} \text { for } x_{1}, x_{2} \in U(1) \quad \text { abelian }
$$

$x_{1} \cdot x_{2} \neq x_{2} \cdot x_{1}$ for $x_{1}, x_{2} \in \operatorname{SU}(3)$
$\Rightarrow$ Consequence for vacuum polarization

## QED

Density of charge
bare positron
$r$
cloud of electrons and positrons

$$
e<e_{\text {bare }}
$$

vacuum
shields charge

QCD
Density of colour
cloud of quarks and antiquarks

$$
g>g_{\text {bare }}
$$

vacuum
amplifies colour

## Comparison with gravity

- source of gravitational field: energy gravitational field does carry energy
- source of e.m. field: charge e.m. field does not carry charge
- source of gluon field: colour gluon field does carry colour


## gravity

planet feels less than total energy of the sun

sun

strong interaction


Perihelion shift of Mercury:

$$
43^{\prime \prime}=50^{\prime \prime}-7_{\Uparrow}^{\prime \prime} \text { per century }
$$

- Force between $u$ and $\bar{u}$ :

$$
\begin{aligned}
& V_{s}=-\frac{4}{3} \frac{g^{2}}{4 \pi r}, \quad g \rightarrow 0 \quad \text { for } \quad r \rightarrow 0 \\
& \frac{g^{2}}{4 \pi}=\frac{6 \pi}{\left(11 N_{c}-2 N_{f}\right)\left|\ln \left(r \wedge_{\mathrm{QCD}}\right)\right|} \\
& \left|\ln \left(r \wedge_{\mathrm{QCD}}\right)\right| \simeq 7 \quad \text { for } r=\frac{\hbar}{M_{\mathrm{Z}} c} \simeq 2 \cdot 10^{-18} \mathrm{~m}
\end{aligned}
$$

- Vacuum amplifies gluonic field of a bare quark
- Field energy surrounding isolated quark $=\infty$ Only colour neutral states have finite energy
$\Rightarrow$ Confinement of colour
- Theoretical evidence for confinement meagre Experimental evidence much more convincing

QED: interaction weak at low energies
QCD: interaction strong at low energies

$$
\frac{e^{2}}{4 \pi} \simeq \frac{1}{137} \quad \frac{g^{2}}{4 \pi} \simeq 1
$$

photons, leptons nearly decouple
gluons, quarks confined

- Nuclear forces $=$ van der Waals forces of QCD


## 3. Chiral symmetry

- Photons are extremely useful to probe QCD Much of what we know about the structure of the hadrons stems scattering experiments involving electrons or photons
$e+N \rightarrow e+N \quad$ form factors of the nucleon $e+N \rightarrow e+$ hadrons deep inelastic scattering electroproduction, photoproduction
$\Rightarrow$ several lectures and seminars at this school
- For bound states of quarks, e.m. interaction is a small perturbation

Perturbation series in powers of $\frac{e^{2}}{4 \pi} \sqrt{ }$
Discuss only the leading term: set $e=0$

- Lagrangian then reduces to QCD

$$
g, m_{u}, m_{d}, m_{s}, m_{c}, m_{b}, m_{t}
$$

- $m_{u}, m_{d}, m_{s}$ happen to be light

Consequence:
Approximate flavour symmetries
Play a crucial role for the low energy properties

## Theoretical paradise

$$
\begin{aligned}
& m_{u}=m_{d}=m_{s}=0 \\
& m_{c}=m_{b}=m_{t}=\infty
\end{aligned}
$$

QCD with 3 massless quarks

- Lagrangian contains a single parameter: $g$ $g$ is net colour of a quark depends on radius of the region considered
- Colour contained within radius $r$

$$
\frac{g^{2}}{4 \pi}=\frac{2 \pi}{9\left|\ln \left(r \wedge_{\mathrm{QCD}}\right)\right|}
$$

- Intrinsic scale $\Lambda_{\mathrm{QCD}}$ is meaningful, but not dimensionless
$\Rightarrow$ No dimensionless free parameter
All dimensionless physical quantities are pure numbers, determined by the theory
Cross sections can be expressed in terms of $\Lambda_{\text {QCD }}$ or in the mass of the proton
- Interactions of $u, d, s$ are identical

If the masses are set equal to zero, there is no difference at all

$$
q=\left(\begin{array}{l}
u \\
d \\
s
\end{array}\right)
$$

- Lagrangian symmetric under $u \leftrightarrow d \leftrightarrow s$

$$
q^{\prime}=V \cdot q \quad V \in \mathrm{SU}(3)
$$

$V$ acts on quark flavour, mixes $u, d, s$

- More symmetry: For massless fermions, right and left do not communicate
$\Rightarrow$ Lagrangian of massless QCD is invariant under independent rotations of the right- and lefthanded quark fields

$$
\begin{array}{cc}
q_{\mathrm{R}}=\frac{1}{2}\left(1+\gamma_{5}\right) q, & q_{\mathrm{L}}=\frac{1}{2}\left(1-\gamma_{5}\right) q \\
q_{\mathrm{R}}^{\prime}=V_{\mathrm{R}} \cdot q_{\mathrm{R}} & q_{\mathrm{L}}^{\prime}=V_{\mathrm{L}} \cdot q_{\mathrm{L}} \\
\operatorname{SU}(3)_{\mathrm{R}} \times \operatorname{SU}(3)_{\mathrm{L}}
\end{array}
$$

- Massless QCD invariant under $\operatorname{SU}(3)_{R} \times S U(3)_{L}$

SU(3) has 8 parameters
$\Rightarrow$ Symmetry under Lie group with 16 parameters
$\Rightarrow 16$ conserved "charges"
$Q_{1}^{\vee}, \ldots, Q_{8}^{\vee} \quad$ (vector currents, $R+L$ )
$Q_{1}^{\mathrm{A}}, \ldots, Q_{8}^{\mathrm{A}} \quad$ (axial currents, $R-L$ )
commute with the Hamiltonian:

$$
\left[Q_{i}^{\mathrm{V}}, H_{0}\right]=0 \quad\left[Q_{i}^{\mathrm{A}}, H_{0}\right]=0
$$

"Chiral symmetry" of massless QCD

- Vafa and Witten 1984: state of lowest energy is invariant under the vector charges
$Q_{i}^{\vee}|0\rangle=0$
- Axial charges ? $\quad Q_{i}^{\mathrm{A}}|0\rangle=$ ?


## Two alternatives for axial charges

$$
Q_{i}^{\mathrm{A}}|0\rangle=0
$$

Wigner-Weyl realization of $G$ ground state is symmetric

$$
\langle 0| \bar{q}_{\mathrm{R}} q_{\mathrm{L}}|0\rangle=0
$$

ordinary symmetry
spectrum contains parity partners degenerate multiplets of $G$

$$
Q_{i}^{\mathrm{A}}|0\rangle \neq 0
$$

Nambu-Goldstone realization of $G$ ground state is asymmetric

$$
\langle 0| \bar{q}_{\mathrm{R}} q_{\mathrm{L}}|0\rangle \neq 0
$$

"order parameter"
spontaneously broken symmetry
spectrum contains Nambu-Goldstone bosons degenerate multiplets of $S U(3)_{\vee} \subset G$

$$
G=S U(3)_{R} \times S U(3)_{L}
$$

- Spontaneous symmetry breakdown was discovered in condensed matter physics:

Spontaneous magnetization selects direction
$\Rightarrow$ Rotation symmetry is spontaneously broken
Nambu-Goldstone bosons = spin waves, magnons

- Nambu 1960: state of lowest energy in particle physics is not invariant under chiral rotations $Q_{i}^{\mathrm{A}}|0\rangle \neq 0$

For dynamical reasons, the state of lowest energy must be asymmetric
$\Rightarrow$ Chiral symmetry is spontaneously broken

- Very strong experimental evidence $\sqrt{ }$
- Theoretical understanding on the basis of the QCD Lagrangian ?
- Analog of Magnetization ?
$\bar{q}_{\mathrm{R}} q_{\mathrm{L}}=\left(\begin{array}{ccc}\bar{u}_{\mathrm{R}} u_{\mathrm{L}} & \bar{d}_{\mathrm{R}} u_{\mathrm{L}} & \bar{s}_{\mathrm{R}} u_{\mathrm{L}} \\ \bar{u}_{\mathrm{R}} d_{\mathrm{L}} & \bar{d}_{\mathrm{R}} d_{\mathrm{L}} & \bar{s}_{\mathrm{R}} d_{\mathrm{L}} \\ \bar{u}_{\mathrm{R}} s_{\mathrm{L}} & \bar{d}_{\mathrm{R}} s_{\mathrm{L}} & \bar{s}_{\mathrm{R}} s_{\mathrm{L}}\end{array}\right)$
Transforms like $(\overline{3}, 3)$ under $\operatorname{SU}(3)_{R} \times S U(3)_{L}$
If the ground state were symmetric, the matrix $\langle 0| \bar{q}_{\mathrm{R}} q_{\mathrm{L}}|0\rangle$ would have to vanish, because it singles out a direction in flavour space
"quark condensate", is quantitative measure of spontaneous symmetry breaking
"order parameter"


## $\langle 0| \bar{q}_{\mathrm{R}} q_{\mathrm{L}}|0\rangle \Leftrightarrow$ magnetization

- Ground state is invariant under SU(3)v
$\Rightarrow\langle 0| \bar{q}_{\mathrm{R}} q_{\mathrm{L}}|0\rangle$ is proportional to unit matrix $\langle 0| \bar{u}_{\mathrm{R}} u_{\mathrm{L}}|0\rangle=\langle 0| \bar{d}_{\mathrm{R}} d_{\mathrm{L}}|0\rangle=\langle 0| \bar{s}_{\mathrm{R}} s_{\mathrm{L}}|0\rangle$
$\langle 0| \bar{u}_{R} d_{\mathrm{L}}|0\rangle=\ldots=0$


## 4. Goldstone Theorem

- Consequence of $Q_{i}^{\mathrm{A}}|0\rangle \neq 0$ :

$$
H_{0} Q_{i}^{\mathrm{A}}|0\rangle=Q_{i}^{\mathrm{A}} H_{0}|0\rangle=0
$$

spectrum must contain 8 states
$Q_{1}^{\mathrm{A}}|0\rangle, \ldots, Q_{8}^{\mathrm{A}}|0\rangle \quad$ with $E=0$,
spin 0 , negative parity, octet of $S \cup(3) \vee$
Nambu-Goldstone bosons

- Argument is not water tight:
$\langle 0| Q_{i}^{\mathrm{A}} Q_{k}^{\mathrm{A}}|0\rangle=\int d^{3} x d^{3} y\langle 0| A_{i}^{0}(x) A_{k}^{0}(y)|0\rangle$
$\langle 0| A_{i}^{0}(x) A_{k}^{0}(y)|0\rangle$ only depends on $\vec{x}-\vec{y}$
$\Rightarrow\langle 0| Q_{i}^{\mathrm{A}} Q_{k}^{\mathrm{A}}|0\rangle$ is proportional to the volume of the universe, $\left.\left|Q_{i}^{\mathrm{A}}\right| 0\right\rangle \mid=\infty$
- Rigorous version of Goldstone theorem: $\langle 0| \bar{q}_{\mathrm{R}} q_{\mathrm{L}}|0\rangle \neq 0 \Rightarrow \exists$ massless particles


## Proof

$$
\begin{aligned}
& Q=\int d^{3} x \bar{u} \gamma^{0} \gamma_{5} d \\
& {\left[Q, \bar{d} \gamma_{5} u\right]=-\bar{u} u-\bar{d} d}
\end{aligned}
$$

- $F^{\mu}(x-y) \equiv\langle 0| \bar{u}(x) \gamma^{\mu} \gamma_{5} d(x) \bar{d}(y) \gamma_{5} u(y)|0\rangle$

Lorentz invariance $\Rightarrow F^{\mu}(z)=z^{\mu} f\left(z^{2}\right)$
Chiral symmetry $\Rightarrow \partial_{\mu} F^{\mu}(z)=0$

$$
F^{\mu}(z)=\frac{z^{\mu}}{z^{4}} \times \text { constant }\left(\text { for } z^{2} \neq 0\right)
$$

- Spectral decomposition:

$$
\begin{aligned}
& F^{\mu}(x-y)=\langle 0| \bar{u}(x) \gamma^{\mu} \gamma_{5} d(x) \bar{d}(y) \gamma_{5} u(y)|0\rangle \\
& =\sum_{n}\langle 0| \bar{u} \gamma^{\mu} \gamma_{5} d|n\rangle\langle n| \bar{d} \gamma_{5} u|0\rangle e^{-i p_{n}(x-y)}
\end{aligned}
$$

$p_{n}^{0} \geq 0 \Rightarrow F^{\mu}(z)$ is analytic in $z^{0}$ for $\operatorname{Im} z^{0}<0$

$$
F^{\mu}(z)=\frac{z^{\mu}}{\left\{\left(z^{0}-i \epsilon\right)^{2}-\vec{z}^{2}\right\}^{2}} \times \text { constant }
$$

- Positive frequency part of massless propagator: (exercise \# 1)

$$
\begin{aligned}
\Delta^{+}(z, 0) & =\frac{i}{(2 \pi)^{3}} \int \frac{d^{3} p}{2 p^{0}} e^{-i p z}, \quad p^{0}=|\vec{p}| \\
& =\frac{1}{4 \pi i\left\{\left(z^{0}-i \epsilon\right)^{2}-\vec{z}^{2}\right\}}
\end{aligned}
$$

- Result
$\langle 0| \bar{u}(x) \gamma^{\mu} \gamma_{5} d(x) \bar{d}(y) \gamma_{5} u(y)|0\rangle=C \partial^{\mu} \Delta^{+}(z, 0)$
- Compare Källen-Lehmann representation:

$$
\begin{aligned}
\langle 0| \bar{u}(x) \gamma^{\mu} \gamma_{5} d(x) & \bar{d}(y) \gamma_{5} u(y)|0\rangle \\
& =(2 \pi)^{-3} \int d^{4} p p^{\mu} \rho\left(p^{2}\right) e^{-i p(x-y)} \\
& =\int_{0}^{\infty} d s \rho(s) \partial^{\mu} \Delta^{+}(x-y, s)
\end{aligned}
$$

$\Delta^{+}(z, s) \Longleftrightarrow$ massive propagator

$$
\Delta^{+}(z, s)=\frac{i}{(2 \pi)^{3}} \int d^{4} p \theta\left(p^{0}\right) \delta\left(p^{2}-s\right) e^{-i p z}
$$

$\Rightarrow$ Only massless intermedate states contribute:

$$
\rho(s)=C \delta(s)
$$

- Why only massless intermediate states ?
$\langle n| \bar{d} \gamma_{5} u|0\rangle \neq 0$ only if $\langle n|$ has spin 0
If $|n\rangle$ has spin $0 \Rightarrow\langle 0| \bar{u}(x) \gamma^{\mu} \gamma_{5} d(x)|n\rangle \propto p^{\mu} e^{-i p x}$
$\partial_{\mu}\left(\bar{u} \gamma^{\mu} \gamma_{5} d\right)=0 \Rightarrow p^{2}=0$
$\Rightarrow$ Either $\exists$ massless particles or $C=0$
- Claim: $\langle 0| \bar{q}_{\mathrm{R}} q_{\mathrm{L}}|0\rangle \neq 0 \Rightarrow C \neq 0$

Lorentz invariance, chiral symmetry
$\Rightarrow\langle 0| \bar{d}(y) \gamma_{5} u(y) \bar{u}(x) \gamma^{\mu} \gamma_{5} d(x)|0\rangle=C^{\prime} \partial^{\mu} \Delta^{-}(z)$
$\Rightarrow\langle 0|\left[\bar{u}(x) \gamma^{\mu} \gamma_{5} d(x), \bar{d}(y) \gamma_{5} u(y)\right]|0\rangle$

$$
=C \partial^{\mu} \Delta^{+}(z, 0)-C^{\prime} \partial^{\mu} \Delta^{-}(z, 0)
$$

- Causality: if $x-y$ is spacelike, then $\langle 0|\left[\bar{u}(x) \gamma^{\mu} \gamma_{5} d(x), \bar{d}(y) \gamma_{5} u(y)\right]|0\rangle=0$
$\Rightarrow C^{\prime}=-C$
$\Rightarrow\langle 0|\left[\bar{u}(x) \gamma^{\mu} \gamma_{5} d(x), \bar{d}(y) \gamma_{5} u(y)\right]|0\rangle=C \partial^{\mu} \Delta(z, 0)$
$\Rightarrow\langle 0|\left[Q, \bar{d}(y) \gamma_{5} u(y)\right]|0\rangle=C$
- $\langle 0|\left[Q, \bar{d}(y) \gamma_{5} u(y)\right]|0\rangle=-\langle 0| \bar{u} u+\bar{d} d|0\rangle=C$ Hence $\langle 0| \bar{u} u+\bar{d} d|0\rangle \neq 0$ implies $C \neq 0$ qed.


## 5. Gell-Mann-Oakes-Renner relation

Spectrum of QCD with 3 massless quarks must contain 8 massless physical particles, $J^{P}=0^{-}$

- Indeed, the 8 lightest mesons do have these quantum numbers:
$\pi^{+}, \pi^{0}, \pi^{-}, K^{+}, K^{0}, \bar{K}^{0}, K^{-}, \eta$
But massless they are not, because $m_{u}, m_{d}, m_{s} \neq 0$

Quark masses break chiral symmetry

- Chiral symmetry broken in two ways:
spontaneously
$\langle 0| \bar{q}_{\mathrm{R}} q_{\mathrm{L}}|0\rangle \neq 0$
explicitly $m_{u}, m_{d}, m_{s} \neq 0$
- $H_{\mathrm{QCD}}$ only has approximate symmetry, to the extent that $m_{u}, m_{d}, m_{s}$ are small

$$
\begin{aligned}
& H_{\mathrm{QCD}}=H_{0}+H_{1} \\
& H_{1}=\int d^{3} x\left\{m_{u} \bar{u} u+m_{d} \bar{d} d+m_{s} \bar{s} s\right\}
\end{aligned}
$$

- $H_{0}$ is Hamiltonian of the massless theory, invariant under $\operatorname{SU}(3)_{R} \times S U(3)_{L}$
- $H_{1}$ breaks the symmetry, transforms with $(3, \overline{3}) \oplus(\overline{3}, 3)$
- For the low energy structure of QCD, the heavy quarks do not play an essential role:
$c, b, t$ are singlets under $S \cup(3)_{R} \times S \cup(3)_{L}$
Can include the heavy quarks in $H_{0}$
- Nambu-Goldstone bosons are massless only if the symmetry is exact

Gell-Mann-Oakes-Renner formula:

$$
\frac{\left.M_{\pi}^{2}=\left(m_{u}+m_{d}\right) \times|\langle 0| \bar{u} u| 0\right\rangle \left\lvert\, \times \frac{1}{F_{\pi}^{2}}\right.}{\overbrace{\text { explicit }}^{\substack{i \\ \text { expontaneous }}}}
$$

$$
1968
$$

Coefficient: decay constant $F_{\pi}$

- Why $M_{\pi}^{2} \propto\left(m_{u}+m_{d}\right)$ ?

$$
\begin{aligned}
\langle 0| \bar{u}(x) \gamma^{\mu} \gamma_{5} d(x)\left|\pi^{-}\right\rangle & =i \sqrt{2} F_{\pi} p^{\mu} e^{-i p \cdot x} \\
\langle 0| \bar{u}(x) i \gamma_{5} d(x)\left|\pi^{-}\right\rangle & =\sqrt{2} G_{\pi} e^{-i p \cdot x}
\end{aligned}
$$

- Current conservation

$$
\begin{aligned}
\partial_{\mu}\left(\bar{u} \gamma^{\mu} \gamma_{5} d\right) & =\left(m_{u}+m_{d}\right) \bar{u} i \gamma_{5} d \\
\Rightarrow \sqrt{2} F_{\pi} p^{2} & =\left(m_{u}+m_{d}\right) \sqrt{2} G_{\pi} \\
p^{2} & =M_{\pi}^{2} \\
\Rightarrow & M_{\pi}^{2}=\left(m_{u}+m_{d}\right) \frac{G_{\pi}}{F_{\pi}} \quad \text { exact }
\end{aligned}
$$

- Expand in powers of $m_{u}, m_{d}$ :

$$
\begin{aligned}
\frac{G_{\pi}}{F_{\pi}} & =B+O(m) \\
\Rightarrow M_{\pi}^{2} & =\left(m_{u}+m_{d}\right) B+O\left(m^{2}\right)
\end{aligned}
$$

- $M_{\pi}^{2}=\left(m_{u}+m_{d}\right) B+O\left(m^{2}\right)$
- $M_{\pi}$ disappears if the symmetry breaking is turned off, $m_{u}, m_{d} \rightarrow 0 \sqrt{ }$
- Explains why the pseudoscalar mesons have very different masses

$$
\begin{aligned}
& M_{K^{+}}^{2}=\left(m_{u}+m_{s}\right) B+O\left(m^{2}\right) \\
& M_{K^{-}}^{2}=\left(m_{d}+m_{s}\right) B+O\left(m^{2}\right)
\end{aligned}
$$

$\Rightarrow M_{K}^{2}$ is about 13 times larger than $M_{\pi}^{2}$, because $m_{u}, m_{d}$ happen to be small compared to $m_{s}$

- First order perturbation theory also yields

$$
\begin{aligned}
& M_{\eta}^{2}=\frac{1}{3}\left(m_{u}+m_{d}+4 m_{s}\right) B+O\left(m^{2}\right) \\
\Rightarrow & M_{\pi}^{2}-4 M_{K}^{2}+3 M_{\eta}^{2}=O\left(m^{2}\right)
\end{aligned}
$$

Gell-Mann-Okubo formula for $M^{2} \sqrt{ }$

## Checking the GMOR formula on a lattice

- Can determine $M_{\pi}$ as function of $m_{u}=m_{d}=m$



Lüscher, Lattice conference 2005
ETM collaboration, hep-lat/0701012

- No quenching, quark masses sufficiently light
$\Rightarrow$ Legitimate to use $\chi$ P丁 for the extrapolation to the physical values of $m_{u}, m_{d}$
- Quality of data is impressive
- Proportionality of $M_{\pi}^{2}$ to the quark mass appears to hold out to values of $m_{u}, m_{d}$ that are an order of magnitude larger than in nature
- Main limitation: systematic uncertainties in particular: $N_{f}=2 \rightarrow N_{f}=3$


## II. Chiral perturbation theory

## 6. Group geometry

- QCD with 3 massless quarks:
spontaneous symmetry breakdown
from $\operatorname{SU}(3)_{R} \times S U(3)_{L}$ to $S U(3)_{V}$ generates 8 Nambu-Goldstone bosons
- Generalization: suppose symmetry group of Hamiltonian is Lie group $G$
Generators $Q_{1}, Q_{2}, \ldots, Q_{D}, D=\operatorname{dim}(G)$
For some generators $Q_{i}|0\rangle \neq 0$ How many Nambu-Goldstone bosons ?
- Consider those elements of the Lie algebra $Q=\alpha_{1} Q_{1}+\ldots+\alpha_{n} Q_{D}$, for which $Q|0\rangle=0$ These elements form a subalgebra:
$Q|0\rangle=0, Q^{\prime}|0\rangle=0 \Rightarrow\left[Q, Q^{\prime}\right]|0\rangle=0$
Dimension of subalgebra: $d \leq D$
- Of the $D$ vectors $Q_{i}|0\rangle$
$D-d$ are linearly independent
$\Rightarrow D-d$ different physical states of zero mass
$\Rightarrow D-d$ Nambu-Goldstone bosons
- Subalgebra generates subgroup $\mathrm{H} \subset \mathrm{G}$ $H$ is symmetry group of the ground state coset space G/H contains as many parameters as there are Nambu-Goldstone bosons $d=\operatorname{dim}(\mathrm{H}), D=\operatorname{dim}(\mathrm{G})$
$\Rightarrow$ Nambu-Goldstone bosons live on the coset G/H
- Example: QCD with $N_{f}$ massless quarks $\mathrm{G}=\mathrm{SU}\left(N_{f}\right)_{\mathrm{R}} \times \operatorname{SU}\left(N_{f}\right)_{\mathrm{L}}$ $\mathrm{H}=\mathrm{SU}\left(N_{f}\right) \vee$ $D=2\left(N_{f}^{2}-1\right), d=N_{f}^{2}-1$ $N_{f}^{2}-1$ Nambu-Goldstone bosons
- It so happens that $m_{u}, m_{d} \ll m_{s}$
- $m_{u}=m_{d}=0$ is an excellent approximation $\operatorname{SU}(2)_{R} \times S U(2)_{L}$ is a nearly exact symmetry $N_{f}=2, N_{f}^{2}-1=3$ Nambu-Goldstone bosons (pions)


## 7. Generating functional of QCD

- Basic objects for quantitative analysis of QCD: Green functions of the currents

$$
\begin{aligned}
V_{a}^{\mu} & =\bar{q} \gamma^{\mu} \frac{1}{2} \lambda_{a} q, \quad A_{a}^{\mu}
\end{aligned}=\bar{q} \gamma^{\mu} \gamma_{5} \frac{1}{2} \lambda_{a} q, ~=\bar{q} \frac{1}{2} \lambda_{a} q, \quad P_{a}=\bar{q} i \gamma_{5} \frac{1}{2} \lambda_{a} q
$$

Include singlets, with $\lambda_{0}=\sqrt{2 / 3} \times 1$, as well as

$$
\omega=\frac{1}{16 \pi^{2}} \operatorname{tr}_{c} G_{\mu \nu} \widetilde{G}^{\mu \nu}
$$

- Can collect all of the Green functions formed with these operators in a generating functional: Perturb the system with external fields $v_{\mu}^{a}(x), a_{\mu}^{a}(x), s_{a}(x), p^{a}(x), \theta(x)$
Replace the Lagrangian of the massless theory

$$
\mathcal{L}_{0}=-\frac{1}{2 g^{2}} \operatorname{tr}_{c} G_{\mu \nu} G^{\mu \nu}+\bar{q} i \gamma^{\mu}\left(\partial_{\mu}-i G_{\mu}\right) q
$$

by $\mathcal{L}=\mathcal{L}_{0}+\mathcal{L}_{1}$

$$
\mathcal{L}_{1}=v_{\mu}^{a} V_{a}^{\mu}+a_{\mu}^{a} A_{a}^{\mu}-s^{a} S_{a}-p^{a} P_{a}-\theta \omega
$$

- Quark mass terms are included in the external field $s_{a}(x)$
- $|0 \mathrm{in}\rangle$ : system is in ground state for $x^{0} \rightarrow-\infty$ Probability amplitude for finding ground state when $x^{0} \rightarrow+\infty$ :

$$
e^{i S_{\mathrm{QCD}}\{v, a, s, p, \theta\}}=\langle 0 \text { out } \mid 0 \mathrm{in}\rangle_{v, a, s, p, \theta}
$$

- Expressed in terms of ground state of $\mathcal{L}_{0}$ :

$$
e^{i S_{\mathrm{QCD}}\{v, a, s, p, \theta\}}=\langle 0| T \exp i \int d x \mathcal{L}_{1}|0\rangle
$$

- Expansion of $S_{\mathrm{QCD}}\{v, a, s, p, \theta\}$ in powers of the external fields yields the connected parts of the Green functions of the massless theory

$$
\begin{aligned}
& S_{\mathrm{QCD}}\{v, a, s, p, \theta\}=-\int d x s_{a}(x)\langle 0| S^{a}(x)|0\rangle \\
& +\frac{i}{2} \int d x d y a_{\mu}^{a}(x) a_{\nu}^{b}(y)\langle 0| T A_{a}^{\mu}(x) A_{b}^{\nu}(y)|0\rangle_{\mathrm{conn}}+\ldots
\end{aligned}
$$

- $S_{\mathrm{QCD}}\{v, a, s, p, \theta\}$ is referred to as the generating functional of QCD
- For Green functions of full QCD, set

$$
s_{a}(x)=m_{a}+\tilde{s}_{a}(x), \quad m_{a}=\operatorname{tr} \lambda_{a} m
$$

and expand around $\tilde{s}_{a}(x)=0$

- Path integral representation for generating functional:

$$
e^{i S_{\mathrm{QCD}}\{v, a, s, p\}}=\mathcal{N} \int[d G] e^{i \int d x \mathcal{L}_{\mathrm{G}}} \operatorname{det} D
$$

$$
\mathcal{L}_{\mathrm{G}}=-\frac{1}{2 g^{2}} \operatorname{tr}_{c} G_{\mu \nu} G^{\mu \nu}-\frac{\theta}{16 \pi^{2}} \operatorname{tr}_{c} G_{\mu \nu} \tilde{G}^{\mu \nu}
$$

$$
D=i \gamma^{\mu}\left\{\partial_{\mu}-i\left(G_{\mu}+v_{\mu}+a_{\mu} \gamma_{5}\right)\right\}-s-i \gamma_{5} p
$$

$G_{\mu}$ is matrix in colour space
$v_{\mu}, a_{\mu}, s, p$ are matrices in flavour space $v_{\mu}(x) \equiv \frac{1}{2} \lambda_{a} v_{\mu}^{a}(x)$, etc.

## 8. Ward identities

Symmetry in terms of Green functions

- Lagrangian is invariant under

$$
\begin{aligned}
& q_{\mathrm{R}}(x) \rightarrow V_{\mathrm{R}}(x) q_{\mathrm{R}}(x), \quad q_{\mathrm{L}}(x) \rightarrow V_{\mathrm{L}}(x) q_{\mathrm{L}}(x) \\
& V_{\mathrm{R}}(x), V_{\mathrm{L}}(x) \in \mathrm{U}(3)
\end{aligned}
$$

provided the external fields are transformed with

$$
\begin{aligned}
v_{\mu}^{\prime}+a_{\mu}^{\prime} & =V_{\mathrm{R}}\left(v_{\mu}+a_{\mu}\right) V_{\mathrm{R}}^{\dagger}-i \partial_{\mu} V_{\mathrm{R}} V_{\mathrm{R}}^{\dagger} \\
v_{\mu}^{\prime}-a_{\mu}^{\prime} & =V_{\mathrm{L}}\left(v_{\mu}-a_{\mu}\right) V_{\mathrm{L}}^{\dagger}-i \partial_{\mu} V_{\mathrm{L}} V_{\mathrm{L}}^{\dagger} \\
s^{\prime}+i p^{\prime} & =V_{\mathrm{R}}(s+i p) V_{\mathrm{L}}^{\dagger}
\end{aligned}
$$

The operation takes the Dirac operator into

$$
\begin{aligned}
D^{\prime} & =\left\{P_{-} V_{\mathrm{R}}+P_{+} V_{\mathrm{L}}\right\} D\left\{P_{+} V_{\mathrm{R}}^{\dagger}+P_{-} V_{\mathrm{L}}^{\dagger}\right\} \\
P_{ \pm} & =\frac{1}{2}\left(1 \pm \gamma_{5}\right)
\end{aligned}
$$

- det $D$ requires regularization
$\nexists$ symmetric regularization
$\Rightarrow \operatorname{det} D^{\prime} \neq \operatorname{det} D$, only $\left|\operatorname{det} D^{\prime}\right|=|\operatorname{det} D|$
symmetry does not survive quantization
- Change in get $D$ can explicitly be calculated For an infinitesimal transformation

$$
V_{\mathrm{R}}=1+i \alpha+i \beta+\ldots, \quad V_{\mathrm{L}}=1+i \alpha-i \beta+\ldots
$$ the change in the determinant is given by

$$
\begin{aligned}
& \operatorname{det} D^{\prime}=\operatorname{det} D e^{-i \int d x\{2\langle\beta\rangle \omega+\langle\beta \Omega\rangle\}} \\
& \langle A\rangle \equiv \operatorname{tr} A \\
& \omega=\frac{1}{16 \pi^{2}} \operatorname{tr}_{c} G_{\mu \nu} \widetilde{G}^{\mu \nu} \\
& \Omega=\frac{N_{c}}{4 \pi^{2}} \epsilon^{\mu \nu \rho \sigma} \partial_{\mu} v_{\nu} \partial_{\rho} v_{\sigma}+\ldots \\
& \text { gluons } \\
& \text { ext. fields }
\end{aligned}
$$

- Consequence for generating functional:

The term with $\omega$ amounts to a change in $\theta$, can be compensated by $\theta^{\prime}=\theta-2\langle\beta\rangle$
Pull term with $\langle\beta \Omega\rangle$ outside the path integral
$\Rightarrow S_{\mathrm{QCD}}\left\{v^{\prime}, a^{\prime}, s^{\prime}, p^{\prime}, \theta^{\prime}\right\}=S_{\mathrm{QCD}}\{v, a, s, p, \theta\}-\int d x\langle\beta \Omega\rangle$

$$
S_{\mathrm{QCD}}\left\{v^{\prime}, a^{\prime}, s^{\prime}, p^{\prime}, \theta^{\prime}\right\}=S_{\mathrm{QCD}}\{v, a, s, p, \theta\}-\int d x\langle\beta \Omega\rangle
$$

- $S_{\mathrm{QCD}}$ is invariant under $\mathrm{U}(3)_{\mathrm{R}} \times \mathrm{U}(3)_{\mathrm{L}}$, except for a specific change due to the anomalies
- Relation plays key role in low energy analysis: collects all of the Ward identities
For the octet part of the axial current,e.g.

$$
\begin{array}{r}
\partial_{\mu}^{x}\langle 0| T A_{a}^{\mu}(x) P_{b}(y)|0\rangle=-\frac{1}{4} i \delta(x-y)\langle 0| \bar{q}\left\{\lambda_{a}, \lambda_{b}\right\} q|0\rangle \\
+\langle 0| T \bar{q}(x) i \gamma_{5}\left\{m, \frac{1}{2} \lambda_{a}\right\} q(x) P_{b}(y)|0\rangle
\end{array}
$$

- Symmetry of the generating functional implies the operator relations

$$
\begin{aligned}
& \partial_{\mu} V_{a}^{\mu}=\bar{q} i\left[m, \frac{1}{2} \lambda_{a}\right] q, \quad a=0, \ldots, 8 \\
& \partial_{\mu} A_{a}^{\mu}=\bar{q} i \gamma_{5}\left\{m, \frac{1}{2} \lambda_{a}\right\} q, \quad a=1, \ldots, 8 \\
& \partial_{\mu} A_{0}^{\mu}=\sqrt{\frac{2}{3}} \bar{q} i \gamma_{5} m q+\sqrt{6} \omega
\end{aligned}
$$

- Textbook derivation of the Ward identities goes in inverse direction, but is slippery formal manipulations, anomalies ?


## 9. Low energy expansion

- If the spectrum has an energy gap
$\Rightarrow$ no singularities in scattering amplitudes
or Green functions near $p=0$
$\Rightarrow$ Iow energy behaviour may be analyzed with Taylor series expansion in powers of $p$

$$
\begin{aligned}
f(t) & =1+\frac{1}{6}\left\langle r^{2}\right\rangle t+\ldots \text { form factor } \\
T(p) & =a+b p^{2}+\ldots \text { scattering amplitude }
\end{aligned}
$$

Cross section dominated by
$S$-wave scattering length $\quad \frac{d \sigma}{d \Omega} \simeq|a|^{2}$

- Expansion parameter: $\frac{p}{m}=\frac{\text { momentum }}{\text { energy gap }}$
- Taylor series only works if the spectrum has an energy gap, i.e. if there are no massless particles
- Illustration: Coulomb scattering


Photon exchange $\Rightarrow$ pole at $t=0$

$$
T=\frac{e^{2}}{\left(p^{\prime}-p\right)^{2}}
$$

Scattering amplitude does not admit Taylor series expansion in powers of $p$

- QCD does have an energy gap but the gap is very small: $M_{\pi}$
$\Rightarrow$ Taylor series has very small radius of convergence, useful only for $p<M_{\pi}$
- Massless QCD contains infrared singularities due to the Nambu-Goldstone bosons
- For $m_{u}=m_{d}=0$, pion exchange gives rise to poles and branch points at $p=0$
$\Rightarrow$ Low energy expansion is not a Taylor series, contains logarithms

Singularities due to Nambu-Goldstone bosons can be worked out with an effective field theory

## Chiral Perturbation Theory

Weinberg, Dashen, Pagels, Gasser, ...

- Chiral perturbation theory correctly reproduces the infrared singularities of QCD
- Quantities of interest are expanded in powers of external momenta and quark masses
- Expansion has been worked out to next-to-leading order for many quantities "Chiral perturbation theory to one loop"
- In quite a few cases, the next-to-next-to-leading order is also known
- Properties of the Nambu-Goldstone bosons are governed by the hidden symmetry that is responsible for their occurrence
- Focus on the singularities due to the pions

$$
\begin{aligned}
& H_{\mathrm{QCD}}=H_{0}+H_{1} \\
& H_{1}=\int d^{3} x\left\{m_{u} \bar{u} u+m_{d} \bar{d} d\right\}
\end{aligned}
$$

$H_{0}$ is invariant under $\mathrm{G}=\mathrm{SU}(2)_{\mathrm{R}} \times \mathrm{SU}(2)_{\mathrm{L}}$
$|0\rangle$ is invariant under $H=S U(2)_{V}$
mass term of strange quark is included in $H_{0}$

- Treat $H_{1}$ as a perturbation

Expansion in powers of $H_{1}$

- Extension to $S U(3)_{R} \times S U(3)_{L}$ straightforward: include singularities due to exchange of $K, \eta$


## 10. Effective Lagrangian

- Replace quarks and gluons by pions

$$
\begin{aligned}
& \vec{\pi}(x)=\left\{\pi^{1}(x), \pi^{2}(x), \pi^{3}(x)\right\} \\
& \mathcal{L}_{\mathrm{QCD}} \rightarrow \mathcal{L}_{e f f}
\end{aligned}
$$

- Central claim:
A. Effective theory yields alternative representation for generating functional of QCD

$$
e^{i S_{\mathrm{QCD}}\{v, a, s, p, \theta\}}=\mathcal{N}_{e f f} \int[d \pi] e^{i \int d x \mathcal{L}_{\text {eff }}\{\vec{\pi}, v, a, s, p, \theta\}}
$$

B. $\mathcal{L}_{\text {eff }}$ has the same symmetries as $\mathcal{L}_{\mathrm{QCD}}$

- Lagrangian of QCD is invariant under

$$
\begin{aligned}
& q_{\mathrm{R}}(x) \rightarrow V_{\mathrm{R}}(x) q_{\mathrm{R}}(x), \quad q_{\mathrm{L}}(x) \rightarrow V_{\mathrm{L}}(x) q_{\mathrm{L}}(x) \\
& V_{\mathrm{R}}(x), V_{\mathrm{L}}(x) \in \mathrm{U}(3)
\end{aligned}
$$

provided the external fields are transformed with

$$
\begin{aligned}
v_{\mu}^{\prime}+a_{\mu}^{\prime} & =V_{\mathrm{R}}\left(v_{\mu}+a_{\mu}\right) V_{\mathrm{R}}^{\dagger}-i \partial_{\mu} V_{\mathrm{R}} V_{\mathrm{R}}^{\dagger} \\
v_{\mu}^{\prime}-a_{\mu}^{\prime} & =V_{\mathrm{L}}\left(v_{\mu}-a_{\mu}\right) V_{\mathrm{L}}^{\dagger}-i \partial_{\mu} V_{\mathrm{L}} V_{\mathrm{L}}^{\dagger} \\
s^{\prime}+i p^{\prime} & =V_{\mathrm{R}}(s+i p) V_{\mathrm{L}}^{\dagger}
\end{aligned}
$$

- $S_{\mathrm{QCD}}\{v, a, s, p, \theta\}$ invariant modulo anomalies
- Action of the symmetry on the meson field:

$$
U^{\prime}=V_{\mathrm{R}} \cdot U \cdot V_{\mathrm{L}}^{\dagger}
$$

- $\mathcal{L}_{e f f}$ also invariant modulo anomalies:

$$
\mathcal{L}_{e f f}\left\{U^{\prime}, v^{\prime}, a^{\prime}, s^{\prime}, p^{\prime}, \theta^{\prime}\right\}=\mathcal{L}_{e f f}\{U, v, a, s, p, \theta\}
$$

## 11. Explicit construction of $\mathcal{L}_{\text {eff }}$

Construct the general solution of ( $\star$ )

- First ignore the external fields,

$$
\mathcal{L}_{e f f}=\mathcal{L}_{e f f}\left(U, \partial U, \partial^{2} U, \ldots\right)
$$

Order in the number of derivatives

- Symmetry fixes leading term up to a constant:

$$
\mathcal{L}_{e f f}=\frac{F^{2}}{4} \operatorname{tr}\left(\partial_{\mu} U \partial^{\mu} U^{\dagger}\right)+O\left(p^{4}\right)
$$

$$
\mathcal{L}_{\text {eff }}=\frac{F^{2}}{4} \operatorname{tr}\left(\partial_{\mu} U \partial^{\mu} U^{\dagger}\right)+O\left(p^{4}\right)
$$

- Lagrangian of the nonlinear $\sigma$-model
- Expansion in powers of $\vec{\pi}$ :

$$
\begin{gathered}
U=\exp i \vec{\pi} \cdot \vec{\tau}=1+i \vec{\pi} \cdot \vec{\tau}-\frac{1}{2} \vec{\pi}^{2}+\ldots \\
\Rightarrow \mathcal{L}_{e f f}=\frac{F^{2}}{2} \partial_{\mu} \vec{\pi} \cdot \partial^{\mu} \vec{\pi}+\frac{F^{2}}{48} \operatorname{tr}\left\{\left[\partial_{\mu} \pi, \pi\right]\left[\partial^{\mu} \pi, \pi\right]\right\}+\ldots
\end{gathered}
$$

For the kinetic term to have the standard normalization: rescale the pion field, $\vec{\pi} \rightarrow \vec{\pi} / F$ $\mathcal{L}_{e f f}=\frac{1}{2} \partial_{\mu} \vec{\pi} \cdot \partial^{\mu} \vec{\pi}+\frac{1}{48 F^{2}} \operatorname{tr}\left\{\left[\partial_{\mu} \pi, \pi\right]\left[\partial^{\mu} \pi, \pi\right]\right\}+\ldots$
$\Rightarrow$ a. Symmetry requires the pions to interact
b. Derivative coupling: Nambu-Goldstone bosons only interact if their momentum does not vanish $\Rightarrow \lambda / \pi^{4}$

- Expression given for $\mathcal{L}_{\text {eff }}$ only holds if the external fields are turned off. Also, $\operatorname{tr}\left(\partial_{\mu} U \partial^{\mu} U^{\dagger}\right)$ is invariant only under global transformations Suffices to replace $\partial_{\mu} U$ by

$$
D_{\mu} U=\partial_{\mu} U-i\left(v_{\mu}+a_{\mu}\right) U+i U\left(v_{\mu}-a_{\mu}\right)
$$

In contrast to $\operatorname{tr}\left(\partial_{\mu} U \partial^{\mu} U^{\dagger}\right)$, the term $\operatorname{tr}\left(D_{\mu} U D^{\mu} U^{\dagger}\right)$ is invariant under local $S \cup(2)_{R} \times S \cup(2)_{L}$

- Can construct further invariants: $s+i p$ transforms like $U \Rightarrow \operatorname{tr}\left\{(s+i p) U^{\dagger}\right\}$ is invariant Violates parity, but $\operatorname{tr}\left\{(s+i p) U^{\dagger}\right\}+\operatorname{tr}\{(s-i p) U\}$ is even under $p \rightarrow-p, \vec{\pi} \rightarrow-\vec{\pi}$

In addition, $\exists$ invariant independent of $U$ :
$D_{\mu} \theta D^{\mu} \theta$, with $D_{\mu} \theta=\partial_{\mu} \theta+2 \operatorname{tr}\left(a_{\mu}\right)$

- Count the external fields as $\theta=O(1), \quad v_{\mu}, a_{\mu}=O(p), \quad s, p=O\left(p^{2}\right)$
- Derivative expansion yields string of the form

$$
\mathcal{L}_{e f f}=\mathcal{L}^{(2)}+\mathcal{L}^{(4)}+\mathcal{L}^{(6)}+\ldots
$$

- Full expression for leading term:

$$
\begin{gathered}
\mathcal{L}^{(2)}=\frac{F^{2}}{4}\left\langle D_{\mu} U D^{\mu} U^{\dagger}+\chi U^{\dagger}+U \chi^{\dagger}\right\rangle+h_{0} D_{\mu} \theta D^{\mu} \theta \\
\chi \equiv 2 B(s+i p), \quad\langle X\rangle \equiv \operatorname{tr}(X)
\end{gathered}
$$

- Contains 3 constants: $F, B, h_{0}$
"effective coupling constants" "low energy constants", LEC
- Next-to-leading order:

$$
\begin{aligned}
\mathcal{L}^{(4)} & =\frac{\ell_{1}}{4}\left\langle D_{\mu} U D^{\mu} U\right\rangle^{2}+\frac{\ell_{2}}{4}\left\langle D_{\mu} U D_{\nu} U\right\rangle\left\langle D^{\mu} U D^{\nu} U\right\rangle \\
& +\frac{\ell_{3}}{4}\left\langle\chi U^{\dagger}+U \chi^{\dagger}\right\rangle^{2}+\frac{\ell_{4}}{4}\left\langle D_{\mu} \chi D^{\mu} U^{\dagger}+D_{\mu} U D^{\mu} \chi^{\dagger}\right\rangle \\
& +\ldots
\end{aligned}
$$

- Number of effective coupling constants rapidly grows with the order of the expansion
- Infinitely many effective coupling constants Symmetry does not determine these Predictivity ?
- Essential point: If $\mathcal{L}_{\text {eff }}$ is known to given order $\Rightarrow$ can work out low energy expansion of the Green functions to that order (Weinberg 1979)
- NLO expressions for $F_{\pi}, M_{\pi}$ involve 2 new coupling constants: $\ell_{3}, \ell_{4}$.

In the $\pi \pi$ scattering amplitude, two further coupling constants enter at NLO: $\ell_{1}, \ell_{2}$.

- Note: effective theory is a quantum field theory Need to perform the path integral

$$
e^{i S_{\mathrm{QCD}}\{v, a, s, p, \theta\}}=\mathcal{N}_{e f f} \int[d \pi] e^{i \int d x \mathcal{L}_{e f f}\{\vec{\pi}, v, a, s, p, \theta\}}
$$

- Classical theory $\Leftrightarrow$ tree graphs

Need to include graphs with loops

- Power counting in dimensional regularization: Graphs with $\ell$ loops are suppressed by factor $p^{2 \ell}$ as compared to tree graphs
$\Rightarrow$ Leading contributions given by tree graphs Graphs with one loop contribute at next-toleading order, etc.
- The leading contribution to $S_{\text {QCD }}$ is given by the sum of all tree graphs = classical action:

$$
S_{\mathrm{QCD}}\{v, a, s, p, \theta\}=\underset{U(x)}{\operatorname{extremum}} \int d x \mathcal{L}_{e f f}\{U, v, a, s, p, \theta\}
$$

## III. Illustrations

## 12. Some tree level calculations

A. Extracting the quark condensate from the generating functional

- To calculate the quark condensate of the massless theory, it suffices to consider the generating functional for $v=a=p=\theta=0$ and to take a constant scalar external field

$$
s=\left(\begin{array}{cc}
m_{u} & 0 \\
0 & m_{d}
\end{array}\right)
$$

- Expansion in powers of $m_{u}$ and $m_{d}$ treats

$$
\begin{aligned}
& H_{1}=\int d^{3} x\left\{m_{u} \bar{u} u+m_{d} \bar{d} d\right\} \text { as a perturbation } \\
& S_{\mathrm{QCD}}\{0,0, m, 0,0\}=S_{\mathrm{QCD}}^{0}+S_{\mathrm{QCD}}^{1}+\ldots
\end{aligned}
$$

- $S_{\mathrm{QCD}}^{0}$ is independent of the quark masses (cosmological constant)
- $S_{\mathrm{QCD}}^{1}$ is linear in the quark masses
- First order in $m_{u}, m_{d} \Rightarrow$ expectation value of $H_{1}$ in unperturbed ground state is relevant

$$
S_{\mathrm{QCD}}^{1}=-\int d x\langle 0| m_{u} \bar{u} u+m_{d} \bar{d} d|0\rangle
$$

$\Rightarrow\langle 0| \bar{u} u|0\rangle$ and $\langle 0| \bar{d} d|0\rangle$ are the coefficients of the terms in $S_{\mathrm{QCD}}$ that are linear in $m_{u}$ and $m_{d}$
B. Condensate in terms of effective theory

- Need the effective action for $v=a=p=\theta=0$ to first order in $s$
$\Rightarrow$ classical level of effective theory suffices.
- extremum of the classical action: $U=1$

$$
S_{\mathrm{QCD}}^{1}=\int d x F^{2} B\left(m_{u}+m_{d}\right)
$$

- comparison with

$$
\begin{gather*}
S_{\mathrm{QCD}}^{1}=-\int d x\langle 0| m_{u} \bar{u} u+m_{d} \bar{d} d|0\rangle \text { yields } \\
\langle 0| \bar{u} u|0\rangle=\langle 0| \bar{d} d|0\rangle=-F^{2} B \tag{1}
\end{gather*}
$$

## C. Evaluation of $M_{\pi}$ at tree level

- In classical theory, the square of the mass is the coefficient of the term in the Lagrangian that is quadratic in the meson field:

$$
\begin{aligned}
& \frac{F^{2}}{4}\left\langle\chi U^{\dagger}+U \chi^{\dagger}\right\rangle=\frac{F^{2} B}{2}\left\langle m\left(U^{\dagger}+U\right)\right\rangle \\
&=F^{2} B\left(m_{u}+m_{d}\right)\left\{1-\frac{\vec{\pi}^{2}}{2 F^{2}}+\ldots\right\}
\end{aligned}
$$

Hence

$$
\begin{equation*}
M_{\pi}^{2}=\left(m_{u}+m_{d}\right) B \tag{2}
\end{equation*}
$$

- Tree level result for $F_{\pi}$ :

$$
\begin{equation*}
F_{\pi}=F \tag{3}
\end{equation*}
$$

- $(1)+(2)+(3) \Rightarrow$ GMOR relation:

$$
M_{\pi}^{2}=\frac{\left.\left(m_{u}+m_{d}\right)|\langle 0| \bar{u} u| 0\right\rangle \mid}{F_{\pi}^{2}}
$$

## 13. $M_{\pi}$ beyond tree level

- The formula $M_{\pi}^{2}=\left(m_{u}+m_{d}\right) B$ only holds at tree level, represents leading term in expansion of $M_{\pi}^{2}$ in powers of $m_{u}, m_{d}$
- Disregard isospin breaking: set $m_{u}=m_{d}=m$ A. $M_{\pi}$ to 1 loop
- Claim: at next-to-leading order, the expansion of $M_{\pi}^{2}$ in powers of $m$ contains a logarithm:

$$
\begin{aligned}
& M_{\pi}^{2}=M^{2}-\frac{1}{2} \frac{M^{4}}{(4 \pi F)^{2}} \ln \frac{\wedge_{3}^{2}}{M^{2}}+O\left(M^{6}\right) \\
& M^{2} \equiv 2 m B
\end{aligned}
$$

- Proof: Pion mass $\Leftrightarrow$ pole position, for instance in the Fourier transform of $\langle 0| T A_{a}^{\mu}(x) A_{b}^{\nu}(y)|0\rangle$ Suffices to work out the perturbation series for this object to one loop of the effective theory
- Result (exercise \# 5):
$M_{\pi}^{2}=M^{2}+\frac{2 \ell_{3} M^{4}}{F^{2}}+\frac{M^{2}}{2 F^{2}} \frac{1}{i} \Delta\left(0, M^{2}\right)+O\left(M^{6}\right)$
$\Delta\left(0, M^{2}\right)$ is the propagator at the origin (exercise \# 2):

$$
\begin{aligned}
\Delta\left(0, M^{2}\right) & =\frac{1}{(2 \pi)^{d}} \int \frac{d^{d} p}{M^{2}-p^{2}-i \epsilon} \\
& =i(4 \pi)^{-d / 2} \Gamma(1-d / 2) M^{d-2}
\end{aligned}
$$

- Contains a pole at $d=4$ :

$$
\left\ulcorner(1-d / 2)=\frac{2}{d-4}+\ldots\right.
$$

- Divergent part is proportional to $M^{2}$ :

$$
\begin{aligned}
M^{d-2} & =M^{2} \mu^{d-4}(M / \mu)^{d-4}=M^{2} \mu^{d-4} e^{(d-4) \ln (M / \mu)} \\
& =M^{2} \mu^{d-4}\{1+(d-4) \ln (M / \mu)+\ldots\}
\end{aligned}
$$

- Denote the singular factor by

$$
\begin{aligned}
\lambda & \equiv \frac{1}{2}(4 \pi)^{-d / 2} \Gamma(1-d / 2) \mu^{d-4} \\
& =\frac{\mu^{d-4}}{16 \pi^{2}}\left\{\frac{1}{d-4}-\frac{1}{2}\left(\ln 4 \pi+\Gamma^{\prime}(1)+1\right)+O(d-4)\right\}
\end{aligned}
$$

- The propagator at the origin then becomes

$$
\frac{1}{i} \Delta\left(0, M^{2}\right)=M^{2}\left\{2 \lambda+\frac{1}{16 \pi^{2}} \ln \frac{M^{2}}{\mu^{2}}+O(d-4)\right\}
$$

- In the expression for $M_{\pi}^{2}$
$M_{\pi}^{2}=M^{2}+\frac{2 \ell_{3} M^{4}}{F^{2}}+\frac{M^{2}}{2 F^{2}} \frac{1}{i} \Delta\left(0, M^{2}\right)+O\left(M^{6}\right)$
the divergence can be absorbed in $\ell_{3}$ :

$$
\ell_{3}=-\frac{1}{2} \lambda+\ell_{3}^{\text {ren }}
$$

- $\ell_{3}^{\text {ren }}$ depends on the renormalization scale $\mu$ $\ell_{3}^{\text {ren }}=\frac{1}{64 \pi^{2}} \ln \frac{\mu^{2}}{\Lambda_{3}^{2}}$ running coupling constant
- $\wedge_{3}$ is the ren. group invariant scale of $\ell_{3}$

Net result for $M_{\pi}^{2}$

$$
M_{\pi}^{2}=M^{2}-\frac{1}{2} \frac{M^{4}}{(4 \pi F)^{2}} \ln \frac{\wedge_{3}^{2}}{M^{2}}+O\left(M^{6}\right)
$$

$\Rightarrow M_{\pi}^{2}$ contains a chiral logarithm at NLO

- Crude estimate for $\wedge_{3}$, based on $\operatorname{SU}(3)$ mass formulae for the pseudoscalar octet:

$$
\begin{aligned}
& 0.2 \mathrm{GeV}<\wedge_{3}<2 \mathrm{GeV} \\
& \bar{\ell}_{3} \equiv \ln \frac{\Lambda_{3}^{2}}{M_{\pi}^{2}}=2.9 \pm 2.4
\end{aligned}
$$

$$
\text { Gasser, L. } 1984
$$

$\exists$ better determination $\bar{\ell}_{3}$ on the lattice, to be discussed later
$\Rightarrow$ Next-to-leading term is small correction:

$$
0.005<\frac{1}{2} \frac{M_{\pi}^{2}}{\left(4 \pi F_{\pi}\right)^{2}} \ln \frac{\wedge_{3}^{2}}{M_{\pi}^{2}}<0.04
$$

- Scale of the expansion is set by size of pion mass in units of decay constant:

$$
\frac{M^{2}}{(4 \pi F)^{2}} \simeq \frac{M_{\pi}^{2}}{\left(4 \pi F_{\pi}\right)^{2}}=0.0144
$$

## B. $M_{\pi}$ to 2 loops

- Terms of order $m_{\text {quark }}^{3}$ :

$$
\begin{aligned}
M_{\pi}^{2} & =M^{2}-\frac{1}{2} \frac{M^{4}}{(4 \pi F)^{2}} \ln \frac{\Lambda_{3}^{2}}{M^{2}} \\
& +\frac{17}{18} \frac{M^{6}}{(4 \pi F)^{4}}\left(\ln \frac{\Lambda_{M}^{2}}{M^{2}}\right)^{2}+k_{\mathrm{M}} M^{6}+O\left(M^{8}\right)
\end{aligned}
$$

$F$ is pion decay constant for $m_{u}=m_{d}=0$ ChPT to two loops Colangelo 1995

- Coefficients $\frac{1}{2}$ and $\frac{17}{18}$ determined by symmetry
- $\wedge_{3}, \wedge_{\mathrm{M}}$ and $k_{\mathrm{M}} \Longleftrightarrow$ coupling constants in $\mathcal{L}_{e f f}$


## 14. $F_{\pi}$ to one loop

- Also contains a logarithm at NLO:

$$
\begin{aligned}
F_{\pi} & =F\left\{1-\frac{M^{2}}{16 \pi^{2} F^{2}} \ln \frac{M^{2}}{\Lambda_{4}^{2}}+O\left(M^{4}\right)\right\} \\
M_{\pi}^{2} & =M^{2}\left\{1+\frac{M^{2}}{32 \pi^{2} F^{2}} \ln \frac{M^{2}}{\Lambda_{3}^{2}}+O\left(M^{4}\right)\right\}
\end{aligned}
$$

$F$ is pion decay constant in limit $m_{u}, m_{d} \rightarrow 0$

- Structure is the same, coefficients and scale of logarithm are different


## 15. Pion form factors

- Scalar form factor of the pion:

$$
F_{s}(t)=\left\langle\pi\left(p^{\prime}\right)\right| \bar{q} q|\pi(p)\rangle, \quad t=\left(p^{\prime}-p\right)^{2}
$$

- Definition of scalar radius:

$$
F_{s}(t)=F_{s}(0)\left\{1+\frac{1}{6}\left\langle r^{2}\right\rangle_{s} t+O\left(t^{2}\right)\right\}
$$

- Low energy theorem:

$$
\left\langle r^{2}\right\rangle_{s}=\frac{6}{(4 \pi F)^{2}}\left\{\ln \frac{\Lambda_{4}^{2}}{M^{2}}-\frac{13}{12}+O\left(M^{2}\right)\right\}
$$

$\Rightarrow$ In massless QCD, the scalar radius diverges, because the density of the pion cloud only decreases with a power of the distance

- Same infrared singularity also occurs in the charge radius (e.m. current), but coefficient of the chiral logarithm is 6 times smaller:

$$
\begin{aligned}
& \left\langle r^{2}\right\rangle_{s}=\frac{6}{(4 \pi F)^{2}}\left\{\ln \frac{\Lambda_{4}^{2}}{M^{2}}-\frac{13}{12}+O\left(M^{2}\right)\right\} \\
& \left\langle r^{2}\right\rangle_{e m}=\frac{1}{(4 \pi F)^{2}}\left\{\ln \frac{\Lambda_{6}^{2}}{M^{2}}-1+O\left(M^{2}\right)\right\}
\end{aligned}
$$

$\left.\Rightarrow\left\langle r^{2}\right\rangle_{s}\right\rangle\left\langle r^{2}\right\rangle_{e m}$ if $M$ small enough

- $\left\langle r^{2}\right\rangle_{e m}$ can be determined experimentally

$$
\left\langle r^{2}\right\rangle_{e m}=0.439 \pm 0.008 \mathrm{fm}^{2}
$$

NA7 Collaboration, NP B277 (1986) 168

- Scalar form factor of the pion can be calculated by means of dispersion theory
- Result for the slope:

$$
\left\langle r^{2}\right\rangle_{s}=0.61 \pm 0.04 \mathrm{fm}^{2}
$$

Colangelo, Gasser, L., Nucl. Phys. 2001
$\Rightarrow$ Corresponding value of the scale $\Lambda_{4}$ :

$$
\wedge_{4}=1.26 \pm 0.14 \mathrm{GeV}
$$

## 16. Lattice results for $M_{\pi}, F_{\pi}$

## A. Results for $M_{\pi}$

- Determine the scale $\wedge_{3}$ by comparing the lattice results for $M_{\pi}$ as function of $m$ with the $\chi$ PT formula

$$
\begin{aligned}
& M_{\pi}^{2}=M^{2}-\frac{1}{2} \frac{M^{4}}{(4 \pi F)^{2}} \ln \frac{\wedge_{3}^{2}}{M^{2}}+O\left(M^{6}\right) \\
& M^{2} \equiv 2 B m
\end{aligned}
$$


lattice results for $\bar{\ell}_{3}$

Horizontal axis shows the value of $\bar{\ell}_{3} \equiv \ln \frac{\Lambda_{3}^{2}}{M_{\pi}^{2}}$
Range for $\Lambda_{3}$ obtained in 1984 corresponds to $\bar{\ell}_{3}=2.9 \pm 2.4$
Result of RBC/UKQCD 2008:

$$
\bar{\ell}_{3}=3.13 \pm 0.33 \pm 0.24
$$

B. Results for $F_{\pi}$

$$
F_{\pi}=F\left\{1-\frac{M^{2}}{16 \pi^{2} F^{2}} \ln \frac{M^{2}}{\Lambda_{4}^{2}}+O\left(M^{4}\right)\right\}
$$



Horizontal axis shows the value of $\bar{\ell}_{4} \equiv \ln \frac{\Lambda_{4}^{2}}{M_{\pi}^{2}}$

- Lattice results beautifully confirm the prediction for the sensitivity of $F_{\pi}$ to $m_{u}, m_{d}$ :

$$
\frac{F_{\pi}}{F}=1.072 \pm 0.007
$$

Colangelo, Dürr 2004

## 17. $\pi \pi$ scattering

## A. Low energy scattering of pions

- Consider scattering of pions with $\vec{p}=0$
- At $\vec{p}=0$, only the S -waves survive (angular momentum barrier). Moreover, these reduce to the scattering lengths
- Bose statistics: S-waves cannot have $I=1$, either have $I=0$ or $I=2$
$\Rightarrow$ At $\vec{p}=0$, the $\pi \pi$ scattering amplitude is characterized by two constants: $a_{0}^{0}, a_{0}^{2}$
- Chiral symmetry suppresses the interaction at low energy: Nambu-Goldstone bosons of zero momentum do not interact
$\Rightarrow \quad a_{0}^{0}, a_{0}^{2}$ disappear in the limit $m_{u}, m_{d} \rightarrow 0$
$\Rightarrow \quad a_{0}^{0}, a_{0}^{2} \sim M_{\pi}^{2}$ measure symmetry breaking


## B. Tree level of $\chi \mathbf{P T}$

- Low Energy theorem Weinberg 1966:

$$
\begin{aligned}
& a_{0}^{0}=\frac{7 M_{\pi}^{2}}{32 \pi F_{\pi}^{2}}+O\left(M_{\pi}^{4}\right) \\
& a_{0}^{2}=-\frac{M_{\pi}^{2}}{16 \pi F_{\pi}^{2}}+O\left(M_{\pi}^{4}\right)
\end{aligned}
$$

$\Rightarrow$ Chiral symmetry predicts $a_{0}^{0}, a_{0}^{2}$ in terms of $F_{\pi}$

- Accuracy is limited: Low energy theorem only specifies the first term in the expansion in powers of the quark masses
Corrections from higher orders ?


## C. Scattering lengths at 1 loop

- Next term in the chiral perturbation series:

$$
a_{0}^{0}=\frac{7 M_{\pi}^{2}}{32 \pi F_{\pi}^{2}}\left\{1+\frac{9}{2} \frac{M_{\pi}^{2}}{\left(4 \pi F_{\pi}\right)^{2}} \ln \frac{\Lambda_{0}^{2}}{M_{\pi}^{2}}+O\left(M_{\pi}^{4}\right)\right\}
$$

- Coefficient of chiral logarithm unusually large Strong, attractive final state interaction
- Scale $\Lambda_{0}$ is determined by the coupling constants of $\mathcal{L}_{\text {eff }}^{(4)}$ :
$\frac{9}{2} \ln \frac{\Lambda_{0}^{2}}{M_{\pi}^{2}}=\frac{20}{21} \bar{\ell}_{1}+\frac{40}{21} \bar{\ell}_{2}-\frac{5}{14} \bar{\ell}_{3}+2 \bar{\ell}_{4}+\frac{5}{2}$
- Information about $\bar{\ell}_{1}, \ldots, \bar{\ell}_{4}$ ?

$$
\bar{\ell}_{1}, \bar{\ell}_{2} \Longleftrightarrow \begin{aligned}
& \text { momentum dependence } \\
& \text { of scattering amplitude }
\end{aligned}
$$

$\Rightarrow$ Can be determined phenomenologically

$$
\bar{\ell}_{3}, \bar{\ell}_{4} \Longleftrightarrow \begin{aligned}
& \text { dependence of scattering } \\
& \text { amplitude on quark masses }
\end{aligned}
$$

Have discussed their values already

## D. Numerical predictions from $\chi \mathbf{P T}$



Sizable corrections in $a_{0}^{0}$
$a_{0}^{2}$ nearly stays put

## E. Consequence of lattice results for $\ell_{3}, \ell_{4}$

- Uncertainty in prediction for $a_{0}^{0}, a_{0}^{2}$ is dominated by the uncertainty in the effective coupling constants $\ell_{3}, \ell_{4}$
- Can make use of the lattice results for these

F. Experiments concerning $a_{0}^{0}, a_{0}^{2}$
- Production experiments $\pi N \rightarrow \pi \pi N$, $\psi \rightarrow \pi \pi \omega, B \rightarrow D \pi \pi, \ldots$

Problem: pions are not produced in vacuo
$\Rightarrow$ Extraction of $\pi \pi$ scattering amplitude is not simple

Accuracy rather limited

- $K^{ \pm} \rightarrow \pi^{+} \pi^{-} e^{ \pm} \nu$ data:

CERN-Saclay, E865, NA48/2

- $K^{ \pm} \rightarrow \pi^{0} \pi^{0} \pi^{ \pm}, K^{0} \rightarrow \pi^{0} \pi^{0} \pi^{0}$ : cusp near threshold, NA48/2
- $\pi^{+} \pi^{-}$atoms, DIRAC


## G. Results from $K_{e 4}$ decay

$K^{ \pm} \rightarrow \pi^{+} \pi^{-} e^{ \pm} \nu$

- Allows clean measurement of $\delta_{0}^{0}-\delta_{1}^{1}$

Theory predicts $\delta_{0}^{0}-\delta_{1}^{1}$ as function of energy


Prediction: $a_{0}^{0}=0.220 \pm 0.005$

NA48/2: $a_{0}^{0}=0.2206 \pm \underset{\text { stat }}{0.0049} \pm \underset{\text { syst }}{0.0018} \pm \underset{\text { theo }}{0.0064}$
Bloch-Devaux, Chiral Dynamics 2009

- There was a discrepancy here, because a pronounced isospin breaking effect from

$$
K \rightarrow \pi^{0} \pi^{0} e \nu \rightarrow \pi^{+} \pi^{-} e \nu
$$

had not been accounted for in the data analysis
Colangelo, Gasser, Rusetsky 2007, Bloch-Devaux 2007


- The correction is not enormous, but matters: If $a_{0}^{0}$ is determined from the uncorrected NA48 data, the central value comes out higher than the theoretical prediction by about 4 times the uncertainty attached to this prediction.


## H. Summary for $a_{0}^{0}, a_{0}^{2}$



## 18. Conclusions for $\operatorname{SU}(2) \times \operatorname{SU}(2)$

- Expansion in powers of $m_{u}, m_{d}$ yields a very accurate low energy representation of QCD
- Lattice results confirm the GMOR relation
$\Rightarrow M_{\pi}$ is dominated by the contribution from the quark condensate
$\Rightarrow$ Energy gap of QCD is understood very well
- Lattice approach allows an accurate measurement of the effective coupling constant $\ell_{3}$ already now
- Even for $\ell_{4}$, the lattice starts becoming competitive with dispersion theory


## 19. Expansion in powers of $m_{s}$

- Theoretical reasoning
- The eightfold way is an approximate symmetry
- The only (?) way to understand this within QCD: $m_{s}-m_{d}, m_{d}-m_{u}$ are small, can be treated as perturbations
- Since $m_{u}, m_{d} \ll m_{s}$
$\Rightarrow m_{s}$ can be treated as a perturbation
$\Rightarrow$ Expect expansion in powers of $m_{s}$ to work, but convergence to be comparatively slow
- This can now also be checked on the lattice
- Consider the limit $m_{u}, m_{d} \rightarrow 0, m_{s}$ physical - $F$ is value of $F_{\pi}$ in this limit - $B$ is value of $M_{\pi}^{2} /\left(m_{u}+m_{d}\right)$ in this limit - $\Sigma$ is value of $|\langle 0| \bar{u} u| 0\rangle \mid$ in this limit
- Exact relation: $\Sigma=F^{2} B$
- $F_{0}, B_{0}, \Sigma_{0}$ : values for $m_{u}=m_{d}=m_{s}=0$
- $N_{c} \rightarrow \infty: F, B, \Sigma$ become independent of $m_{s}$

$$
F / F_{0} \rightarrow 1, B / B_{0} \rightarrow 1, \Sigma / \Sigma_{0} \rightarrow 1
$$

$\Rightarrow$ The differences $F / F_{0}-1, B / B_{0}-1, \Sigma / \Sigma_{0}-1$ measure the violations of the OZI rule

## A. Condensate



- PACS-CS indicates only modest OZI-violations - MILC and RBC/UKQCD allow juicy violations
$\Rightarrow$ The lattice results do not yet allow to draw conclusions about the size of the OZI-violations in the quark condensate


## B. Results for $B, F$



- $F$ is the crucial factor in $\Sigma=F^{2} B$
- Picture for size of OZI-violations in $B, F$ remains unclear
- Main problem: systematic uncertainties of the lattice calculations
- If the central value $F / F_{0}=1.23$ of RBC/UKQCD were confirmed within small uncertainties, we would be faced with a qualitative puzzle:
- $F_{\pi}$ is the pion wave function at the origin
- $F_{K}$ is larger because one of the two valence quarks is heavier $\rightarrow$ moves more slowly $\rightarrow$ wave function more narrow $\rightarrow$ higher at the origin: $F_{K} / F_{\pi} \simeq 1.19$
- $F / F_{0}=1.23$ indicates that the wave function is more sensitive to the mass of the sea quarks than to the mass of the valence quarks ... very strange $\rightarrow$ most interesting if true
- No such puzzle with the PACS-CS results


## C. Expansion to NLO

Involves the effective coupling constants $L_{4}$ and $L_{6}$ of the $\mathrm{SU}(3) \times \mathrm{SU}(3)$ Lagrangian:

$$
\begin{aligned}
& F / F_{0}=1+\frac{8 \bar{M}_{K}^{2}}{F_{0}^{2}} L_{4}+\chi \log +\ldots \\
& \Sigma / \Sigma_{0}=1+\frac{32 \bar{M}_{K}^{2}}{F_{0}^{2}} L_{6}+\chi \log +\ldots \\
& B / B_{0}=1+\frac{16 \bar{M}_{K}^{2}}{F_{0}^{2}}\left(2 L_{6}-L_{4}\right)+\chi \log +\ldots
\end{aligned}
$$

$\bar{M}_{K}$ is the kaon mass for $m_{u}=m_{d}=0$.
$\Rightarrow$ The LECs $L_{4}$ and $L_{6}$ measure the deviations from the OZI-rule
D. Effective coupling constants $L_{4}, L_{5}, L_{6}, L_{8}$


Numerical values shown refer to running scale $\mu=M_{\rho}$
$\Rightarrow$ For PACS-CS, only the statistical errors are indicated

- Latest lattice results for the OZI-violating coupling constants $L_{4}$ and $L_{6}$ are consistent with one another
- Indicate that the OZI-rule is well obeyed: values are close to zero
- For $L_{5}$ and $L_{8}$, the lattice results are less clear


## 20. Conclusions for $\operatorname{SU}(3) \times \operatorname{SU}(3)$

- The crude estimates given 25 years ago for the LECs relevant at NLO are confirmed
$\Rightarrow$ Expansion in powers of $m_{s}$ appears to work: In all cases I know, where the calculation is under control, the truncation at low order yields a decent approximation
$\Rightarrow$ The picture looks coherent, also for $\operatorname{SU}(3) \times \operatorname{SU}(3)$
- $m_{s} \gg m_{u}, m_{d} \Rightarrow$ higher orders more important
- For many observables $\exists$ representation to NNLO
- Main problem: new LECs relevant at NNLO $\exists$ estimates based on resonance models Vector meson dominance $\sqrt{ }$ Scalar meson dominance?
Dependence on $m_{u}, m_{d}, m_{s}$ : scalar resonances
- Lattice results now start providing more precise values for the LECs, but the settling of dust is a slow process...


## IV. Some recent results

## 21. Masses of the light quarks

- $\chi$ PT plays an important role in the analysis of lattice data: describes the dependence of the various observables on the quark masses and on the size of the box in terms of a few LECs



## Results for quark mass ratios


$\frac{m_{s}}{m_{u d}}=27.8 \pm 1.0 \quad \frac{m_{u}}{m_{d}}=0.474 \pm 0.040$
FLAG 2010 (preliminary)

None of the lattice results is consistent with the "solution" $m_{u}=0$ of the strong CP problem

## Comparison



## 22. $V_{u s}$ and $V_{u d}$

- Experimental sources for $V_{u s}$ and $V_{u d}$ : superallowed nuclear $\beta$ transitions

$$
\begin{gathered}
\left|V_{u d}\right| \\
\left|f_{+}(0) V_{u s}\right| \\
\left|V_{u d} F_{\pi}\right| \\
\left|V_{u s} F_{K}\right| \\
\left|V_{u s}\right|
\end{gathered}
$$

$\pi \rightarrow \ell \nu, \tau \rightarrow \pi \nu$
$K \rightarrow \ell \nu, \tau \rightarrow K \nu$
inclusive $\tau$ decays

- Vector current relevant for nuclear $\beta$ decay is conserved modulo $m_{u}-m_{d}$
$\Rightarrow$ analog of $f_{+}(0)$ is very close to unity
$\left|V_{u d}\right|=0.97425 \pm 0.00022 \quad$ Hardy + Towner 2009
- Can determine $V_{u s}$ from $K \rightarrow \pi \ell \nu$ only if $f_{+}(0)$ is known. Early determinations were based on $\chi$ PT prediction for that
- Lattice calculations now provide reliable and precise determination of $f_{+}(0) \Rightarrow\left|V_{u s}\right|$
- Results for $F_{\pi}, F_{K}$ do not yet reach sufficient precision, but those for the ratio $F_{K} / F_{\pi}$ do
$\Rightarrow \frac{V_{u s}}{V_{u d}}$ can be determined from $\frac{\Gamma(K \rightarrow \ell \nu)}{\Gamma(\pi \rightarrow \ell \nu)}$
$\Rightarrow$ can test the Standard Model:

$$
\left|V_{u d}\right|^{2}+\left|V_{u s}\right|^{2}+\left|V_{u b}\right|^{2} \stackrel{?}{=} 1
$$

$\left|V_{u b}\right|$ known well enough, contribution is tiny

- Testing the Standard Model with the lattice data alone

$$
\left|V_{u}\right|^{2} \equiv\left|V_{u d}\right|^{2}+\left|V_{u s}\right|^{2}+\left|V_{u b}\right|^{2}=1.002 \pm 0.016
$$

- Lattice results for $V_{u d}$ are consistent with the value obtained from nuclear $\beta$-decay
$\Rightarrow$ Test sharpens if the two are combined:

$$
\begin{array}{cc}
\left|V_{u}\right|^{2}=1.0000 \pm 0.0007 & f_{+}(0)+V_{u d} \\
\left|V_{u}\right|^{2}=0.9999 \pm 0.0007 & F_{K} / F_{\pi}+V_{u d} \\
& \Uparrow \Uparrow \Uparrow \\
& \text { Lattice } \beta \text {-decay }
\end{array}
$$

$\Rightarrow$ Can impose $\left|V_{u}\right|^{2}=1$ as a constraint (SM)

|  | $\left\|V_{u s}\right\|$ | $\left\|V_{u d}\right\|$ | $f_{+}(0)$ | $f_{K} / f_{\pi}$ |
| :--- | :---: | :---: | :---: | :---: |
| Lattice | $0.225(2)$ | $0.9743(4)$ | $0.960(8)$ | $1.193(11)$ |
| $\beta$ decay | $0.225(1)$ | $0.9743(2)$ | $0.960(5)$ | $1.192(6)$ |

FLAG review 2010 (preliminary)

- Direct determination of $\left|V_{u s}\right|$ from $\tau$ decay: Sort out the final states in the inclusive decay $\tau \rightarrow \nu+$ hadrons:
$\Gamma=\Gamma(\tau \rightarrow \nu+$ strange hadrons $)+$ rest
First term dominated by $\left|V_{u s}\right|^{2}$, rest by $\left|V_{u d}\right|^{2}$

Gamiz, Jamin, Pich, Prades, Schwab Maltman, Wolfe, Banerjee, Nugent, Roney

## Data on $\left|V_{u s}\right|$ and $\left|V_{u d}\right|$ analyzed within the SM:



## 23. Concluding remarks

- These lectures focused on the low energy properties of the sector with zero baryon number: $N_{B}=\frac{1}{3}\left(N_{u}+N_{d}+N_{s}+N_{c}+N_{b}+N_{t}\right)=0$. Moreover, only states with $N_{c}=N_{b}=N_{t}=0$ were discussed.
- There is considerable progress in extending $\chi$ PT to the sector with $N_{B}=1$, as well as to nuclei, where $N_{B}=2,3 \ldots$

Hint: ask Prof. Scherer for a course on these developments

- Effective theory for heavy quark bound states
- Mesons with a heavy and a light quark
- Extension from QCD to QCD + QED


## - Puzzle in $K \rightarrow \pi \mu \nu$



Plot shows normalized scalar form factor $\bar{f}_{0}(t)=\frac{f_{0}(t)}{f_{0}(0)}$

- History of the issue: data on the slope of the scalar form factor

$$
f_{0}(t)=f_{0}(0)\left\{1+\lambda_{0} t+\lambda_{0}^{\prime} t^{2}+O\left(t^{3}\right)\right\}
$$



$$
\lambda_{0} \text { in units of } 10^{-3} \mathrm{M}_{\pi}^{-2}
$$

- Extend $\chi$ PT with dispersion theory

Example: form factors relevant for $K \rightarrow \pi \ell \nu$ $f_{0}(t)=f_{0}(0)\left\{1+\lambda_{0} t+\lambda_{0}^{\prime} t^{2}+\ldots\right\}$ $\chi$ PT: $\lambda_{0} \leftrightarrow$ NLO, $\lambda_{0}^{\prime} \leftrightarrow$ NNLO

Dispersion theory implies very strong correlation between $\lambda_{0}$ and $\lambda_{0}^{\prime}$

Abbas, Ananthanarayan, Caprini, Imsong 2010

- Dispersive analysis of $\pi \pi$ and $\pi K$ scattering, $\eta \rightarrow 3 \pi, \ldots$

If time permits, I can explain how dispersion theory can be used to extend the $\chi \mathrm{PT}$ result for the $\pi \pi$ scattering lengths to a model-independent prediction for mass and width of the $\sigma$ meson

## Exercises

1. Evaluate the positive frequency part of the massless propagator

$$
\Delta^{+}(z, 0)=\frac{i}{(2 \pi)^{3}} \int \frac{d^{3} k}{2 k^{0}} e^{-i k z}, \quad k^{0}=|\vec{k}|
$$

for $\operatorname{Im} z^{0}<0$. Show that the result can be represented as

$$
\Delta^{+}(z, 0)=\frac{1}{4 \pi i z^{2}}
$$

2. Evaluate the $d$-dimensional propagator

$$
\Delta(z, M)=\int \frac{d^{d} k}{(2 \pi)^{d}} \frac{e^{-i k z}}{M^{2}-k^{2}-i \epsilon}
$$

at the origin and verify the representation

$$
\Delta(0, M)=\frac{i}{4 \pi} \Gamma\left(1-\frac{d}{2}\right)\left(\frac{M^{2}}{4 \pi}\right)^{\frac{d}{2}-1}
$$

How does this expression behave when $d \rightarrow 4$ ?
3. Leading order effective Lagrangian:

$$
\begin{aligned}
\mathcal{L}^{(2)} & =\frac{F^{2}}{4}\left\langle D_{\mu} U D^{\mu} U^{\dagger}+\chi U^{\dagger}+U \chi^{\dagger}\right\rangle+h_{0} D_{\mu} \theta D^{\mu} \theta \\
D_{\mu} U & =\partial_{\mu} U-i\left(v_{\mu}+a_{\mu}\right) U+i U\left(v_{\mu}-a_{\mu}\right) \\
\chi & =2 B(s+i p) \\
D_{\mu} \theta & =\partial_{\mu} \theta+2\left\langle a_{\mu}\right\rangle \\
\langle X\rangle & =\operatorname{tr} X
\end{aligned}
$$

- Take the space-time independent part of the external field $s(x)$ to be isospin symmetric (i. e. set $m_{u}=m_{d}=m$ ):

$$
s(x)=m \mathbf{1}+\tilde{s}(x)
$$

- Expand $U=\exp i \phi / F$ in powers of $\phi=\vec{\phi} \cdot \vec{\tau}$ and check that, in this normalization of the field $\phi$, the kinetic part takes the standard form

$$
\mathcal{L}^{(2)}=\frac{1}{2} \partial_{\mu} \vec{\phi} \cdot \partial^{\mu} \vec{\phi}-\frac{1}{2} M^{2} \vec{\phi}^{2}+\ldots
$$

with $M^{2}=2 m B$.

- Draw the graphs for all of the interaction vertices containing up to four of the fields $\phi, v_{\mu}, a_{\mu}, \tilde{s}, p, \theta$.

4. Show that the classical field theory belonging to the QCD Lagrangian in the presence of external fields is invariant under

$$
\begin{aligned}
v_{\mu}^{\prime}+a_{\mu}^{\prime} & =V_{\mathrm{R}}\left(v_{\mu}+a_{\mu}\right) V_{\mathrm{R}}^{\dagger}-i \partial_{\mu} V_{\mathrm{R}} V_{\mathrm{R}}^{\dagger} \\
v_{\mu}^{\prime}-a_{\mu}^{\prime} & =V_{\mathrm{L}}\left(v_{\mu}-a_{\mu}\right) V_{\mathrm{L}}^{\dagger}-i \partial_{\mu} V_{\mathrm{L}} V_{\mathrm{L}}^{\dagger} \\
s^{\prime}+i p^{\prime} & =V_{\mathrm{R}}(s+i p) V_{\mathrm{L}}^{\dagger} \\
q_{\mathrm{R}}^{\prime} & =V_{\mathrm{R}} q_{\mathrm{R}}(x) \\
q_{\mathrm{L}}^{\prime} & =V_{\mathrm{L}} q_{\mathrm{L}}
\end{aligned}
$$

where $V_{\mathrm{R}}, V_{\mathrm{L}}$ are space-time dependent elements of $\mathrm{U}(3)$.
5. Evaluate the pion mass to NLO of $\chi \mathrm{PT}$. Draw the relevant graphs and verify the representation

$$
M_{\pi}^{2}=M^{2}+\frac{2 \ell_{3} M^{4}}{F^{2}}+\frac{M^{2}}{2 F^{2}} \frac{1}{i} \Delta\left(0, M^{2}\right)+O\left(M^{6}\right)
$$

6. Start from the symmetry property of the effective action,

$$
S_{\mathrm{QCD}}\left\{v^{\prime}, a^{\prime}, s^{\prime}, p^{\prime}, \theta^{\prime}\right\}=S_{\mathrm{QCD}}\{v, a, s, p, \theta\}-\int d x\langle\beta \Omega\rangle,
$$

and show that this relation in particular implies the Ward identity

$$
\begin{aligned}
& \partial_{\mu}^{x}\langle 0| T A_{a}^{\mu}(x) P_{b}(y)|0\rangle=-\frac{1}{4} i \delta(x-y)\langle 0| \bar{q}\left\{\lambda_{a}, \lambda_{b}\right\} q|0\rangle \\
&+\langle 0| T \bar{q}(x) i \gamma_{5}\left\{m, \frac{1}{2} \lambda_{a}\right\} q(x) P_{b}(y)|0\rangle \\
& a=1, \ldots, 8, b= 0, \ldots, 8
\end{aligned}
$$

7. What is the Ward identity obeyed by the singlet axial current,

$$
\partial_{\mu}^{x}\langle 0| T A_{0}^{\mu}(x) P_{b}(y)|0\rangle=?
$$

