# Introduction to Chiral Perturbation Theory

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27<sup>th</sup> Students' Workshop on Electromagnetic Interactions

Bosen, Aug. 29 - Sept. 3, 2010

# I. Standard Model at low energies

#### 1. Interactions

Local symmetries

#### 2. QED+QCD

Precision theory for  $E\ll 100\,\mathrm{GeV}$  Qualitative difference QED  $\iff$  QCD

# 3. Chiral symmetry

Some of the quarks happen to be light Approximate chiral symmetry Spontaneous symmetry breakdown

#### 4. Goldstone theorem

If  $N_f$  of the quark masses are put equal to zero QCD contains  $N_f^2\!-\!1$  Nambu-Goldstone bosons

#### 5. Gell-Mann-Oakes-Renner relation

Quark masses break chiral symmetry NGBs pick up mass  $M_\pi^2$  is proportional to  $m_u + m_d$ 

# II. Chiral perturbation theory

# 6. Group geometry

Symmetry group of the Hamiltonian G Symmetry group of the ground state H Nambu-Goldstone bosons live on G/H

# 7. Generating functional of QCD

Collects the Green functions of the theory

#### 8. Ward identities

Symmetries of the generating functional

# 9. Low energy expansion

Taylor series in powers of external momenta NGBs generate infrared singularities

# 10. Effective Lagrangian

Singularities due to the Nambu-Goldstone bosons can be worked out with an effective field theory. Side remark: for nonrelativistic systems, there is a complication. In that case,  $\mathcal{L}_{eff}$  is in general invariant only up to a total derivative.

# 11. Explicit construction of $\mathcal{L}_{e\!f\!f}$

#### III. Illustrations

#### 12. Some tree level calculations

Leading terms of the chiral perturbation series for the quark condensate and for  $M_{\pi}, F_{\pi}$ 

# 13. $M_{\pi}$ beyond tree level

Contributions to  $M_{\pi}$  at NL and NNL orders

# 14. $F_{\pi}$ to one loop

Chiral logarithm in  $F_{\pi}$ , low energy theorem for scalar radius

#### 15. Pion form factors

Charge radius of the pion, scalar radius Dispersion relations

# 16. Lattice results for $M_{\pi}, F_{\pi}$

Determination of the effective coupling constants  $\ell_3, \ell_4$  on the lattice

# 17. $\pi\pi$ scattering

 $\chi$ PT, lattice, precision experiments

- 18. Conclusions for  $SU(2)\times SU(2)$
- 19. Expansion in powers of  $m_s$

Convergence, validity of Zweig rule

- 20. Conclusions for  $SU(3)\times SU(3)$
- IV. Some recent results
- 21. Masses of the light quarks
- 22.  $V_{us}$  and  $V_{ud}$
- 23. Puzzling results on  $K_L o \pi \mu 
  u$
- 24. Concluding remarks

**Exercises** 

# I. Standard Model at low energies

# 1. Interactions

strong weak e.m. gravity

$$SU(3) \times SU(2) \times U(1) \times D$$

#### **Gravity**

understood only at classical level gravitational waves √ quantum theory of gravity? classical theory adequate for

$$r \gg \sqrt{\frac{G \, \hbar}{c^3}} = 1.6 \cdot 10^{-35} \,\mathrm{m}$$

#### Weak interaction

frozen at low energies

$$E \ll M_{\rm W} c^2 \simeq 80 \, {\rm GeV}$$

- ⇒ structure of matter: only strong and electromagnetic interaction
- ⇒ neutrini decouple

#### **Electromagnetic interaction**

Maxwell  $\sim$  1860 survived relativity and quantum theory, unharmed

• Electrons in electromagnetic field  $(\hbar=c=1)$ 

$$\frac{1}{i}\frac{\partial\psi}{\partial t} - \frac{1}{2m_e^2}(\vec{\nabla} + i\,e\vec{A})^2\psi - e\,\varphi\,\psi = 0$$

contains the potentials  $\vec{A}$ ,  $\varphi$ 

• only  $\vec{E}=-\vec{\nabla}\varphi-\frac{\partial\vec{A}}{\partial t}$  and  $\vec{B}=\vec{\nabla}\times\vec{A}$  are of physical significance

 Schrödinger equation is invariant under gauge transformations

$$\vec{A}' = \vec{A} + \vec{\nabla}f$$
,  $\varphi' = \varphi - \frac{\partial f}{\partial t}$ ,  $\psi' = e^{-ief} \psi$ 

describe the same physical situation as  $\vec{A}, \varphi, \psi$ 

• Equivalence principle of the e.m. interaction:

 $\psi$  physically equivalent to  $e^{-ief}\,\psi$ 

- $e^{-ief}$  is unitary  $1 \times 1$  matrix,  $e^{-ief} \in U(1)$  $f = f(\vec{x}, t)$  space-time dependent function
- gauge invariance 
   ⇔ local U(1) symmetry electromagnetic field is gauge field of U(1) Weyl 1929
- U(1) symmetry + renormalizability fully determine the e.m. interaction

#### Strong interaction

nuclei = p + n 
$$\sim$$
 1930

• Nuclear forces Yukawa  $\sim 1935$ 

$$V_{e.m.} = -\frac{e^2}{4\pi r}$$
  $V_s = -\frac{h^2}{4\pi r} \, e^{-\frac{r}{r_0}}$   $\frac{e^2}{4\pi} \simeq \frac{1}{137}$   $\frac{h^2}{4\pi} \simeq 13$  long range short range  $r_0 = \infty$   $r_0 = \frac{\hbar}{M_\pi c} = 1.4 \cdot 10^{-15} \, \mathrm{m}$   $M_\gamma = 0$   $M_\pi \, c^2 \simeq 140 \, \mathrm{MeV}$ 

• Problem with Yukawa formula: p and n are extended objects diameter comparable to range of force formula only holds for  $r\gg$  diameter

Protons, neutrons composed of quarks

$$p = uud$$
  $n = udd$ 

• Quarks carry internal quantum number

$$u = \begin{pmatrix} u_1 \\ u_2 \\ u_3 \end{pmatrix} \qquad d = \begin{pmatrix} d_1 \\ d_2 \\ d_3 \end{pmatrix}$$

occur in 3 "colours"

Strong interaction is invariant under local rotations in colour space 1973

$$u' = U \cdot u \qquad d' = U \cdot d$$

$$U = \begin{pmatrix} U_{11} & U_{12} & U_{13} \\ U_{21} & U_{22} & U_{23} \\ U_{31} & U_{32} & U_{33} \end{pmatrix} \in SU(3)$$

 Can only be so if the strong interaction is also mediated by a gauge field

gauge field of  $SU(3) \Longrightarrow strong interaction$ 

Quantum chromodynamics

Comparison of e.m. and strong interaction

	QED	QCD
symmetry	U(1)	SU(3)
gauge field	$ec{A},arphi$	gluon field
particles	photons	gluons
source	charge	colour
coupling constant	e	g

- All charged particles generate e.m. field
- All coloured particles generate gluon field
- Leptons do not interact strongly because they do not carry colour
- Equivalence principle of the strong interaction:

$$\left| \begin{array}{c} u_1 \\ u_2 \\ u_3 \end{array} \right| \text{ physically equivalent to } \begin{pmatrix} u_1 \\ u_2 \\ u_3 \end{pmatrix} \right|$$

# 2. QED+QCD

Effective theory for  $E \ll M_{\rm W}c^2 \simeq 80 \, {\rm GeV}$ 

Symmetry 
$$U(1) \times SU(3)$$
  
Lagrangian  $QED+QCD$ 

- Dynamical variables: gauge fields for photons and gluons Fermi fields for leptons and quarks
- Interaction fully determined by group geometry Lagrangian contains 2 coupling constants

 Quark and lepton mass matrices can be brought to diagonal form, eigenvalues real, positive

$$m_e, m_{\mu}, m_{\tau}, m_u, m_d, m_s, m_c, m_b, m_t$$

Transformation generates vacuum angle

 Precision theory for cold matter, atomic structure, solids, ...

Bohr radius: 
$$a = \frac{4\pi}{e^2 m_e}$$

ullet  $\theta$  breaks CP

Neutron dipole moment is very small

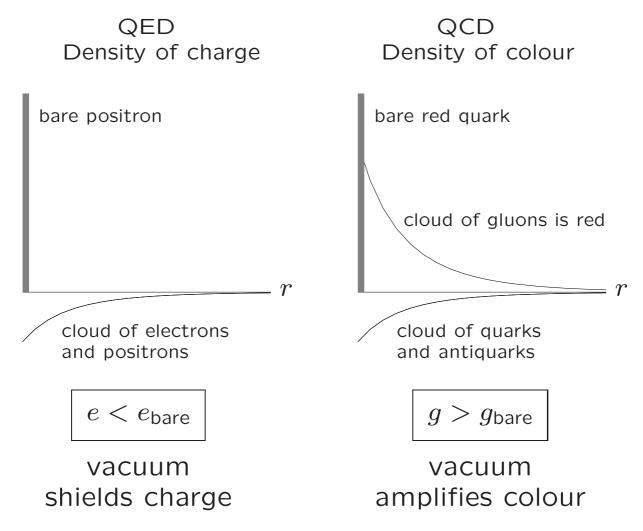
 $\Rightarrow$  strong upper limit,  $\theta \simeq 0$ 

# Qualitative difference between e.m. and strong interactions

- Photons do not have charge
- Gluons do have colour

$$x_1 \cdot x_2 = x_2 \cdot x_1$$
 for  $x_1, x_2 \in U(1)$  abelian  $x_1 \cdot x_2 \neq x_2 \cdot x_1$  for  $x_1, x_2 \in SU(3)$ 

⇒ Consequence for vacuum polarization

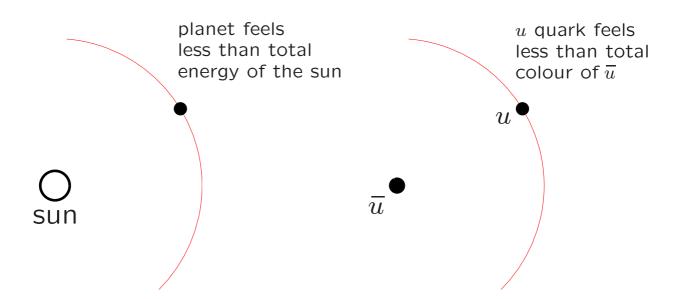


#### Comparison with gravity

- source of gravitational field: energy gravitational field does carry energy
- source of e.m. field: charge
   e.m. field does not carry charge
- source of gluon field: colour gluon field does carry colour

#### gravity

#### strong interaction



Perihelion shift of Mercury:

$$43'' = 50'' - 7''$$
 per century

ullet Force between u and  $\overline{u}$  :

$$V_{s} = -\frac{4}{3} \frac{g^{2}}{4\pi r}, \qquad g \to 0 \quad \text{for} \quad r \to 0$$
 
$$\frac{g^{2}}{4\pi} = \frac{6\pi}{(11N_{c} - 2N_{f}) |\ln(r \Lambda_{\text{QCD}})|} |\ln(r \Lambda_{\text{QCD}})| \simeq 7 \quad \text{for} \quad r = \frac{\hbar}{M_{7} c} \simeq 2 \cdot 10^{-18} \, \text{m}$$

- Vacuum amplifies gluonic field of a bare quark
- Field energy surrounding isolated quark  $= \infty$ Only colour neutral states have finite energy
- ⇒ Confinement of colour
  - Theoretical evidence for confinement meagre Experimental evidence much more convincing

QED: interaction weak at low energies

QCD: interaction strong at low energies

$$\frac{e^2}{4\pi} \simeq \frac{1}{137} \qquad \qquad \frac{g^2}{4\pi} \simeq 1$$
 photons, leptons gluons, quarks nearly decouple confined

Nuclear forces = van der Waals forces of QCD

# 3. Chiral symmetry

Photons are extremely useful to probe QCD
 Much of what we know about the structure of the hadrons stems scattering experiments involving electrons or photons

 $e+N \to e+N$  form factors of the nucleon  $e+N \to e+hadrons$  deep inelastic scattering electroproduction, photoproduction

⇒ several lectures and seminars at this school

For bound states of quarks,
 e.m. interaction is a small perturbation

Perturbation series in powers of  $\frac{e^2}{4\pi}$   $\checkmark$ 

Discuss only the leading term: set e = 0

Lagrangian then reduces to QCD

$$g\,,\,m_u\,,m_d\,,\,m_s\,,\,m_c\,,\,m_b\,,\,m_t$$

•  $m_u, m_d, m_s$  happen to be light

Consequence:

Approximate flavour symmetries

Play a crucial role for the low energy properties

#### Theoretical paradise

$$m_u = m_d = m_s = 0$$
  
$$m_c = m_b = m_t = \infty$$

#### QCD with 3 massless quarks

- ullet Lagrangian contains a single parameter: g g is net colour of a quark depends on radius of the region considered
- Colour contained within radius r

$$\frac{g^2}{4\pi} = \frac{2\pi}{9|\ln(r\Lambda_{QCD})|}$$

- Intrinsic scale  $\Lambda_{QCD}$  is meaningful, but not dimensionless
- ⇒ No dimensionless free parameter

All dimensionless physical quantities are pure numbers, determined by the theory Cross sections can be expressed in terms of  $\Lambda_{\text{QCD}}$  or in the mass of the proton

• Interactions of u,d,s are identical If the masses are set equal to zero, there is no difference at all

$$q = \begin{pmatrix} u \\ d \\ s \end{pmatrix}$$

 $\bullet$  Lagrangian symmetric under  $u \leftrightarrow d \leftrightarrow s$ 

$$q' = V \cdot q$$
  $V \in SU(3)$ 

V acts on quark flavour, mixes u,d,s

- More symmetry: For massless fermions, right and left do not communicate
- ⇒ Lagrangian of massless QCD is invariant under independent rotations of the right— and left handed quark fields

$$\begin{split} q_{\mathrm{R}} &= \frac{1}{2}(1+\gamma_{5})\,q\;, \quad q_{\mathrm{L}} = \frac{1}{2}(1-\gamma_{5})\,q\\ q_{\mathrm{R}}' &= V_{\mathrm{R}} \cdot q_{\mathrm{R}} \qquad q_{\mathrm{L}}' = V_{\mathrm{L}} \cdot q_{\mathrm{L}}\\ &\quad \mathrm{SU(3)_{\mathrm{R}} \times \mathrm{SU(3)_{\mathrm{L}}} \end{split}$$

- Massless QCD invariant under  $SU(3)_R \times SU(3)_L$  SU(3) has 8 parameters
- ⇒ Symmetry under Lie group with 16 parameters
- ⇒ 16 conserved "charges"

$$Q_1^{\vee}, \ldots, Q_8^{\vee}$$
 (vector currents,  $R + L$ )

$$Q_1^A, \ldots, Q_8^A$$
 (axial currents,  $R-L$ )

commute with the Hamiltonian:

$$[Q_i^{\vee}, H_0] = 0$$
  $[Q_i^{\wedge}, H_0] = 0$ 

"Chiral symmetry" of massless QCD

- Vafa and Witten 1984: state of lowest energy is invariant under the vector charges  $Q_i^{\rm V} |0\rangle = 0$
- Axial charges ?  $Q_i^A |0\rangle = ?$

#### Two alternatives for axial charges

$$Q_i^{\mathsf{A}}|0\rangle = 0$$

Wigner-Weyl realization of G ground state is symmetric

$$\langle 0 | \overline{q}_{R} q_{L} | 0 \rangle = 0$$

ordinary symmetry spectrum contains parity partners degenerate multiplets of G

$$Q_i^{\mathsf{A}}|0\rangle \neq 0$$

Nambu-Goldstone realization of G ground state is asymmetric

$$\langle 0 | \overline{q}_{R} q_{L} | 0 \rangle \neq 0$$

"order parameter" spontaneously broken symmetry spectrum contains Nambu-Goldstone bosons degenerate multiplets of  $SU(3)_{\lor} \subset G$ 

$$G = SU(3)_R \times SU(3)_L$$

- Spontaneous symmetry breakdown was discovered in condensed matter physics:
   Spontaneous magnetization selects direction
- ⇒ Rotation symmetry is spontaneously broken
  Nambu-Goldstone bosons = spin waves, magnons
  - Nambu 1960: state of lowest energy in particle physics is not invariant under chiral rotations  $Q_i^{\rm A} |0\rangle \neq 0$

For dynamical reasons, the state of lowest energy must be asymmetric

- ⇒ Chiral symmetry is spontaneously broken
  - Very strong experimental evidence √
  - Theoretical understanding on the basis of the QCD Lagrangian?

Analog of Magnetization ?

$$\overline{q}_{\mathsf{R}} q_{\mathsf{L}} = \begin{pmatrix} \overline{u}_{\mathsf{R}} u_{\mathsf{L}} & \overline{d}_{\mathsf{R}} u_{\mathsf{L}} & \overline{s}_{\mathsf{R}} u_{\mathsf{L}} \\ \overline{u}_{\mathsf{R}} d_{\mathsf{L}} & \overline{d}_{\mathsf{R}} d_{\mathsf{L}} & \overline{s}_{\mathsf{R}} d_{\mathsf{L}} \\ \overline{u}_{\mathsf{R}} s_{\mathsf{L}} & \overline{d}_{\mathsf{R}} s_{\mathsf{L}} & \overline{s}_{\mathsf{R}} s_{\mathsf{L}} \end{pmatrix}$$

Transforms like  $(\bar{3},3)$  under  $SU(3)_R \times SU(3)_L$ 

If the ground state were symmetric, the matrix  $\langle 0|\overline{q}_{\rm R}\,q_{\rm L}\,|0\rangle$  would have to vanish, because it singles out a direction in flavour space

"quark condensate", is quantitative measure of spontaneous symmetry breaking "order parameter"

$$\langle 0 | \overline{q}_{R} q_{L} | 0 \rangle \Leftrightarrow \text{magnetization}$$

- Ground state is invariant under SU(3)√
- $\Rightarrow \langle 0 | \overline{q}_{R} q_{L} | 0 \rangle$  is proportional to unit matrix  $\langle 0 | \overline{u}_{R} u_{L} | 0 \rangle = \langle 0 | \overline{d}_{R} d_{L} | 0 \rangle = \langle 0 | \overline{s}_{R} s_{L} | 0 \rangle$   $\langle 0 | \overline{u}_{R} d_{L} | 0 \rangle = \ldots = 0$

#### 4. Goldstone Theorem

• Consequence of  $Q_i^A |0\rangle \neq 0$ :

$$H_0 Q_i^A |0\rangle = Q_i^A H_0 |0\rangle = 0$$

spectrum must contain 8 states

$$Q_1^A |0\rangle, \ldots, Q_8^A |0\rangle$$
 with  $E = 0$ ,

spin 0, negative parity, octet of  $SU(3)_{\lor}$  Nambu-Goldstone bosons

Argument is not water tight:

$$\langle 0|\,Q_i^{\mathsf{A}}\,Q_k^{\mathsf{A}}\,|0\rangle = \int\!\!d^3\!x d^3\!y\,\langle 0|\,A_i^0(x)\,A_k^0(y)\,|0\rangle$$
 
$$\langle 0|\,A_i^0(x)\,A_k^0(y)\,|0\rangle \text{ only depends on } \vec{x}-\vec{y}$$

 $\Rightarrow \langle 0|\,Q_i^{\rm A}\,Q_k^{\rm A}\,|0\rangle$  is proportional to the volume of the universe,  $|Q_i^{\rm A}\,|0\rangle|=\infty$ 

• Rigorous version of Goldstone theorem:  $\langle 0|\overline{q}_R q_L|0\rangle \neq 0 \Rightarrow \exists$  massless particles

#### **Proof**

fasten seatbelts: takes 3 slides

$$Q = \int d^3x \, \bar{u} \gamma^0 \gamma_5 d$$
$$[Q, \bar{d} \gamma_5 u] = -\bar{u}u - \bar{d}d$$

•  $F^{\mu}(x-y) \equiv \langle 0|\bar{u}(x)\gamma^{\mu}\gamma_5 d(x)d(y)\gamma_5 u(y)|0\rangle$ Lorentz invariance  $\Rightarrow F^{\mu}(z) = z^{\mu}f(z^2)$ Chiral symmetry  $\Rightarrow \partial_{\mu}F^{\mu}(z) = 0$ 

$$F^{\mu}(z) = \frac{z^{\mu}}{z^4} \times \text{constant (for } z^2 \neq 0)$$

Spectral decomposition:

$$F^{\mu}(x-y) = \langle 0|\bar{u}(x)\gamma^{\mu}\gamma_{5}d(x)\bar{d}(y)\gamma_{5}u(y)|0\rangle$$

$$= \sum_{n} \langle 0|\bar{u}\gamma^{\mu}\gamma_{5}d|n\rangle\langle n|\bar{d}\gamma_{5}u|0\rangle e^{-ip_{n}(x-y)}$$

$$p_{n}^{0} \geq 0 \Rightarrow F^{\mu}(z) \text{ is analytic in } z^{0} \text{ for Im } z^{0} < 0$$

$$F^{\mu}(z) = \frac{z^{\mu}}{\{(z^0 - i\epsilon)^2 - \vec{z}^2\}^2} \times \text{constant}$$

 Positive frequency part of massless propagator: (exercise # 1)

$$\Delta^{+}(z,0) = \frac{i}{(2\pi)^{3}} \int \frac{d^{3}p}{2p^{0}} e^{-ipz} , \quad p^{0} = |\vec{p}|$$

$$= \frac{1}{4\pi i \{(z^{0} - i\epsilon)^{2} - \vec{z}^{2}\}}$$

Result

$$\langle 0|\bar{u}(x)\gamma^{\mu}\gamma_5 d(x)\bar{d}(y)\gamma_5 u(y)|0\rangle = C \partial^{\mu}\Delta^{+}(z,0)$$

• Compare Källen-Lehmann representation:

$$\langle 0|\overline{u}(x)\gamma^{\mu}\gamma_{5}d(x)\overline{d}(y)\gamma_{5}u(y)|0\rangle$$

$$= (2\pi)^{-3} \int d^{4}p \, p^{\mu} \, \rho(p^{2})e^{-ip(x-y)}$$

$$= \int_{0}^{\infty} ds \, \rho(s)\partial^{\mu}\Delta^{+}(x-y,s)$$

 $\Delta^{+}(z,s) \iff$  massive propagator

$$\Delta^{+}(z,s) = \frac{i}{(2\pi)^3} \int d^4p \,\theta(p^0) \,\delta(p^2 - s) \,e^{-ipz}$$

→ Only massless intermedate states contribute:

$$\rho(s) = C \, \delta(s)$$

- Why only massless intermediate states ?  $\langle n|\bar{d}\gamma_5 u\,|0\rangle \neq 0 \text{ only if } \langle n| \text{ has spin 0}$  If  $|n\rangle$  has spin  $0 \Rightarrow \langle 0|\bar{u}(x)\gamma^\mu\gamma_5 d(x)|n\rangle \propto p^\mu\,e^{-ipx}$   $\partial_\mu(\bar{u}\gamma^\mu\gamma_5 d) = 0 \Rightarrow p^2 = 0$
- $\Rightarrow$  Either  $\exists$  massless particles or C = 0
  - Claim:  $\langle 0 | \overline{q}_R q_L | 0 \rangle \neq 0 \Rightarrow C \neq 0$ Lorentz invariance, chiral symmetry
- $\Rightarrow \langle 0 | \bar{d}(y) \gamma_5 u(y) \bar{u}(x) \gamma^{\mu} \gamma_5 d(x) | 0 \rangle = C' \partial^{\mu} \Delta^{-}(z)$
- $\Rightarrow \langle 0 | [\overline{u}(x)\gamma^{\mu}\gamma_5 d(x), \overline{d}(y)\gamma_5 u(y)] | 0 \rangle$

$$= C\partial^{\mu}\Delta^{+}(z,0) - C'\partial^{\mu}\Delta^{-}(z,0)$$

- Causality: if x-y is spacelike, then  $\langle 0| [\overline{u}(x)\gamma^{\mu}\gamma_5 d(x), \overline{d}(y)\gamma_5 u(y)] |0\rangle = 0$
- $\Rightarrow C' = -C$
- $\Rightarrow \langle 0 | [\overline{u}(x)\gamma^{\mu}\gamma_5 d(x), \overline{d}(y)\gamma_5 u(y)] | 0 \rangle = C\partial^{\mu}\Delta(z, 0)$
- $\Rightarrow \langle 0 | [Q, \overline{d}(y)\gamma_5 u(y)] | 0 \rangle = C$ 
  - $\langle 0|\left[Q,\, \overline{d}(y)\gamma_5 u(y)\right]|0\rangle = -\langle 0|\overline{u}u+\overline{d}d\,|0\rangle = C$ Hence  $\langle 0|\overline{u}u+\overline{d}d\,|0\rangle \neq 0$  implies  $C\neq 0$  qed.

### 5. Gell-Mann-Oakes-Renner relation

Spectrum of QCD with 3 <u>massless quarks</u> must contain 8 massless physical particles,  $J^P=0^-$ 

 Indeed, the 8 lightest mesons do have these quantum numbers:

$$\pi^+, \pi^0, \pi^-, K^+, K^0, \bar{K}^0, K^-, \eta$$

But massless they are not, because

$$m_u$$
,  $m_d$ ,  $m_s \neq 0$ 

Quark masses break chiral symmetry

Chiral symmetry broken in two ways:

spontaneously 
$$\langle 0|\overline{q}_{\rm R}\,q_{\rm L}\,|0\rangle \neq 0$$
 explicitly 
$$m_u\,,\,m_d\,,\,m_s \neq 0$$

•  $H_{\rm QCD}$  only has <u>approximate</u> symmetry, to the extent that  $m_u, m_d, m_s$  are small

$$H_{\text{QCD}} = H_0 + H_1$$
  

$$H_1 = \int d^3x \left\{ m_u \bar{u}u + m_d \bar{d}d + m_s \bar{s}s \right\}$$

- $H_0$  is Hamiltonian of the massless theory, invariant under  $SU(3)_R \times SU(3)_L$
- $H_1$  breaks the symmetry, transforms with  $(3, \overline{3}) \oplus (\overline{3}, 3)$
- For the low energy structure of QCD, the heavy quarks do not play an essential role: c,b,t are singlets under  $SU(3)_R \times SU(3)_L$  Can include the heavy quarks in  $H_0$
- Nambu-Goldstone bosons are massless only if the symmetry is exact

#### Gell-Mann-Oakes-Renner formula:

Coefficient: decay constant  $F_{\pi}$ 

• Why 
$$M_\pi^2 \propto (m_u + m_d)$$
 ? 
$$\langle 0|\overline{u}(x)\gamma^\mu\gamma_5 d(x)|\pi^-\rangle = i\sqrt{2}\,F_\pi\,p^\mu e^{-ip\cdot x}$$
 
$$\langle 0|\overline{u}(x)\,i\,\gamma_5 d(x)|\pi^-\rangle = \sqrt{2}\,G_\pi e^{-ip\cdot x}$$

Current conservation

$$\partial_{\mu}(\overline{u}\gamma^{\mu}\gamma_{5}d) = (m_{u} + m_{d})\overline{u}\,i\,\gamma_{5}d$$

$$\Rightarrow \sqrt{2}F_{\pi}\,p^{2} = (m_{u} + m_{d})\,\sqrt{2}G_{\pi}$$

$$p^{2} = M_{\pi}^{2}$$

$$\Rightarrow M_{\pi}^2 = (m_u + m_d) \frac{G_{\pi}}{F_{\pi}}$$
 exact

• Expand in powers of  $m_u, m_d$ :

$$\frac{G_{\pi}}{F_{\pi}} = B + O(m)$$

$$\Rightarrow M_{\pi}^2 = (m_u + m_d) B + O(m^2)$$

• 
$$M_{\pi}^2 = (m_u + m_d) B + O(m^2)$$

- $M_\pi$  disappears if the symmetry breaking is turned off,  $m_u, m_d \to 0$   $\checkmark$
- Explains why the pseudoscalar mesons have very different masses

$$M_{K^{+}}^{2} = (m_u + m_s) B + O(m^2)$$
  
 $M_{K^{-}}^{2} = (m_d + m_s) B + O(m^2)$ 

- $\Rightarrow M_K^2$  is about 13 times larger than  $M_\pi^2$ , because  $m_u, m_d$  happen to be small compared to  $m_s$ 
  - First order perturbation theory also yields

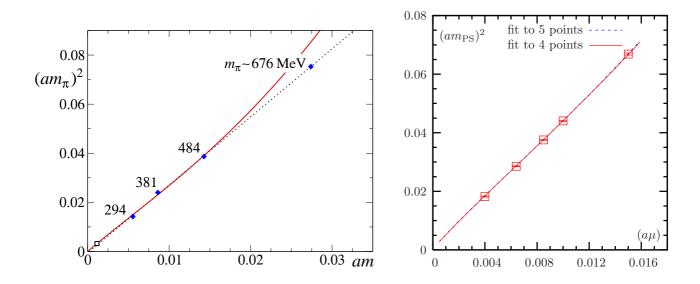
$$M_{\eta}^2 = \frac{1}{3}(m_u + m_d + 4m_s)B + O(m^2)$$

$$\Rightarrow M_{\pi}^2 - 4M_K^2 + 3M_{\eta}^2 = O(m^2)$$

Gell-Mann-Okubo formula for  $M^2$   $\checkmark$ 

#### Checking the GMOR formula on a lattice

• Can determine  $M_{\pi}$  as function of  $m_u = m_d = m$ 



Lüscher, Lattice conference 2005 ETM collaboration, hep-lat/0701012

- No quenching, quark masses sufficiently light
- $\Rightarrow$  Legitimate to use  $\chi$ PT for the extrapolation to the physical values of  $m_u, m_d$

- Quality of data is impressive
- Proportionality of  $M_\pi^2$  to the quark mass appears to hold out to values of  $m_u, m_d$  that are an order of magnitude larger than in nature
- $\bullet$  Main limitation: systematic uncertainties in particular:  $N_f=2 \rightarrow N_f=3$

#### II. Chiral perturbation theory

# 6. Group geometry

- QCD with 3 massless quarks: spontaneous symmetry breakdown from SU(3)<sub>R</sub>×SU(3)<sub>L</sub> to SU(3)<sub>V</sub> generates 8 Nambu-Goldstone bosons
- Generalization: suppose symmetry group of Hamiltonian is Lie group G Generators  $Q_1, Q_2, \ldots, Q_D, D = \dim(G)$  For some generators  $Q_i | 0 \rangle \neq 0$  How many Nambu-Goldstone bosons?
- Consider those elements of the Lie algebra  $Q = \alpha_1 Q_1 + \ldots + \alpha_n Q_D$ , for which  $Q | 0 \rangle = 0$ These elements form a subalgebra:  $Q | 0 \rangle = 0$ ,  $Q' | 0 \rangle = 0 \Rightarrow [Q, Q'] | 0 \rangle = 0$ Dimension of subalgebra:  $d \leq D$
- Of the D vectors  $Q_i | 0 \rangle$   $D-d \text{ are linearly independent} \Rightarrow D-d \text{ different physical states of zero mass} \Rightarrow D-d \text{ Nambu-Goldstone bosons}$

- Subalgebra generates subgroup  $H \subset G$ H is symmetry group of the ground state coset space G/H contains as many parameters as there are Nambu-Goldstone bosons  $d = \dim(H), D = \dim(G)$
- → Nambu-Goldstone bosons live on the coset G/H
  - $\bullet$  Example: QCD with  $N_f$  massless quarks  ${\rm G} = {\rm SU}(N_f)_{\rm R} \times {\rm SU}(N_f)_{\rm L}$   ${\rm H} = {\rm SU}(N_f)_{\rm V}$   $D = 2\,(N_f^2-1), \ d=N_f^2-1$  Nambu-Goldstone bosons
  - It so happens that  $m_u, m_d \ll m_s$
  - $m_u=m_d=0$  is an excellent approximation  $SU(2)_R \times SU(2)_L$  is a nearly exact symmetry  $N_f=2,\ N_f^2-1=3$  Nambu-Goldstone bosons (pions)

## 7. Generating functional of QCD

Basic objects for quantitative analysis of QCD:
 Green functions of the currents

$$V_a^{\mu} = \overline{q} \, \gamma^{\mu} \frac{1}{2} \lambda_a \, q \,, \quad A_a^{\mu} = \overline{q} \, \gamma^{\mu} \gamma_5 \frac{1}{2} \lambda_a \, q \,,$$
$$S_a = \overline{q} \, \frac{1}{2} \lambda_a \, q \,, \qquad P_a = \overline{q} \, i \, \gamma_5 \, \frac{1}{2} \lambda_a \, q \,,$$

Include singlets, with  $\lambda_0 = \sqrt{2/3} \times 1$ , as well as

$$\omega = \frac{1}{16\pi^2} \operatorname{tr}_c G_{\mu\nu} \tilde{G}^{\mu\nu}$$

• Can collect all of the Green functions formed with these operators in a generating functional: Perturb the system with external fields  $v_{\mu}^{a}(x), a_{\mu}^{a}(x), s_{a}(x), p^{a}(x), \theta(x)$ 

Replace the Lagrangian of the massless theory

$$\mathcal{L}_0 = -\frac{1}{2g^2} \operatorname{tr}_c G_{\mu\nu} G^{\mu\nu} + \overline{q} i \gamma^{\mu} (\partial_{\mu} - i G_{\mu}) q$$
 by 
$$\mathcal{L} = \mathcal{L}_0 + \mathcal{L}_1$$
 
$$\mathcal{L}_1 = v_{\mu}^a V_a^{\mu} + a_{\mu}^a A_a^{\mu} - s^a S_a - p^a P_a - \theta \omega$$

• Quark mass terms are included in the external field  $s_a(x)$ 

•  $|0 \text{ in}\rangle$ : system is in ground state for  $x^0 \to -\infty$ Probability amplitude for finding ground state when  $x^0 \to +\infty$ :

$$e^{iS_{\text{QCD}}\{v,a,s,p,\theta\}} = \langle \text{0 out} | \text{0 in} \rangle_{v,a,s,p,\theta}$$

ullet Expressed in terms of ground state of  $\mathcal{L}_0$ :

$$e^{iS_{\mathrm{QCD}}\left\{v,a,s,p,\theta\right\}}\!=\!\left\langle\mathbf{0}\right|T\exp{i\int}\!dx\mathcal{L}_{\!1}\left|\mathbf{0}\right\rangle$$

• Expansion of  $S_{\rm QCD}\{v,a,s,p,\theta\}$  in powers of the external fields yields the connected parts of the Green functions of the massless theory

$$S_{\text{QCD}}\{v, a, s, p, \theta\} = -\int dx \, s_a(x) \langle 0| \, S^a(x) \, |0\rangle$$
$$+ \frac{i}{2} \int dx \, dy \, a_\mu^a(x) a_\nu^b(y) \langle 0| \, T A_a^\mu(x) A_b^\nu(y) \, |0\rangle_{\text{conn}} + \dots$$

- $S_{\text{QCD}}\{v,a,s,p,\theta\}$  is referred to as the generating functional of QCD
- For Green functions of full QCD, set

$$s_a(x) = m_a + \tilde{s}_a(x) \,, \quad m_a = \mathrm{tr} \lambda_a \, m$$
 and expand around  $\tilde{s}_a(x) = 0$ 

 Path integral representation for generating functional:

$$e^{iS_{\text{QCD}}\{v,a,s,p\}} = \mathcal{N} \int [dG] \, e^{i\int\!dx\,\mathcal{L}_{\text{G}}} \, \det D$$

$$\mathcal{L}_{G} = -\frac{1}{2g^{2}} \operatorname{tr}_{c} G_{\mu\nu} G^{\mu\nu} - \frac{\theta}{16\pi^{2}} \operatorname{tr}_{c} G_{\mu\nu} \tilde{G}^{\mu\nu}$$

$$D = i\gamma^{\mu} \{ \partial_{\mu} - i(G_{\mu} + v_{\mu} + a_{\mu}\gamma_{5}) \} - s - i\gamma_{5}p$$

 $G_{\mu}$  is matrix in colour space  $v_{\mu}, a_{\mu}, s, p$  are matrices in flavour space  $v_{\mu}(x) \equiv \frac{1}{2} \lambda_a \, v_{\mu}^a(x)$ , etc.

## 8. Ward identities

Symmetry in terms of Green functions

Lagrangian is invariant under

$$q_{\mathsf{R}}(x) \to V_{\mathsf{R}}(x) \, q_{\mathsf{R}}(x) \,, \quad q_{\mathsf{L}}(x) \to V_{\mathsf{L}}(x) \, q_{\mathsf{L}}(x)$$
 $V_{\mathsf{R}}(x), V_{\mathsf{L}}(x) \in \mathsf{U}(3)$ 

provided the external fields are transformed with

$$v'_{\mu} + a'_{\mu} = V_{\mathsf{R}}(v_{\mu} + a_{\mu})V_{\mathsf{R}}^{\dagger} - i\partial_{\mu}V_{\mathsf{R}}V_{\mathsf{R}}^{\dagger}$$
$$v'_{\mu} - a'_{\mu} = V_{\mathsf{L}}(v_{\mu} - a_{\mu})V_{\mathsf{L}}^{\dagger} - i\partial_{\mu}V_{\mathsf{L}}V_{\mathsf{L}}^{\dagger}$$
$$s' + i p' = V_{\mathsf{R}}(s + i p)V_{\mathsf{L}}^{\dagger}$$

The operation takes the Dirac operator into

$$D' = \{ P_{-}V_{R} + P_{+}V_{L} \} D \{ P_{+}V_{R}^{\dagger} + P_{-}V_{L}^{\dagger} \}$$

$$P_{\pm} = \frac{1}{2} (1 \pm \gamma_{5})$$

- $\bullet$   $\det D$  requires regularization
  - ∄ symmetric regularization
- $\Rightarrow$  det  $D' \neq$  det D, only  $|\det D'| = |\det D|$  symmetry does not survive quantization

ullet Change in  $\det D$  can explicitly be calculated For an infinitesimal transformation

$$V_{\mathsf{R}} = 1 + i \alpha + i \beta + \dots, \quad V_{\mathsf{L}} = 1 + i \alpha - i \beta + \dots$$

the change in the determinant is given by

$$\det D' = \det D \ e^{-i\int\!\!dx\,\{2\langle\beta\rangle\omega + \langle\beta\Omega\rangle\}}$$

$$\langle A \rangle \equiv \operatorname{tr} A$$

$$\omega = \frac{1}{16\pi^2} \operatorname{tr}_c G_{\mu\nu} \tilde{G}^{\mu\nu} \qquad \text{gluons}$$

$$\Omega = \frac{N_c}{4\pi^2} \epsilon^{\mu\nu\rho\sigma} \partial_{\mu} v_{\nu} \partial_{\rho} v_{\sigma} + \dots \quad \text{ext. fields}$$

• Consequence for generating functional: The term with  $\omega$  amounts to a change in  $\theta$ , can be compensated by  $\theta' = \theta - 2 \, \langle \beta \rangle$  Pull term with  $\langle \beta \Omega \rangle$  outside the path integral

$$\Rightarrow \left| S_{\text{QCD}}\{v', a', s', p', \theta'\} = S_{\text{QCD}}\{v, a, s, p, \theta\} - \int dx \langle \beta \Omega \rangle \right|$$

$$S_{\text{QCD}}\{v', a', s', p', \theta'\} = S_{\text{QCD}}\{v, a, s, p, \theta\} - \int dx \langle \beta \Omega \rangle$$

- $S_{QCD}$  is invariant under U(3)<sub>R</sub>×U(3)<sub>L</sub>, except for a specific change due to the anomalies
- Relation plays key role in low energy analysis: collects all of the Ward identities
   For the octet part of the axial current, e.g.

$$\partial_{\mu}^{x}\langle 0|TA_{a}^{\mu}(x)P_{b}(y)|0\rangle = -\frac{1}{4}i\delta(x-y)\langle 0|\overline{q}\{\lambda_{a},\lambda_{b}\}q|0\rangle$$
$$+\langle 0|T\overline{q}(x)i\gamma_{5}\{m,\frac{1}{2}\lambda_{a}\}q(x)P_{b}(y)|0\rangle$$

 Symmetry of the generating functional implies the operator relations

$$\partial_{\mu}V_{a}^{\mu} = \overline{q} i [m, \frac{1}{2}\lambda_{a}] q, \qquad a = 0, \dots, 8$$

$$\partial_{\mu}A_{a}^{\mu} = \overline{q} i \gamma_{5} \{m, \frac{1}{2}\lambda_{a}\} q, \qquad a = 1, \dots, 8$$

$$\partial_{\mu}A_{0}^{\mu} = \sqrt{\frac{2}{3}} \overline{q} i \gamma_{5} m q + \sqrt{6} \omega$$

 Textbook derivation of the Ward identities goes in inverse direction, but is slippery formal manipulations, anomalies?

# 9. Low energy expansion

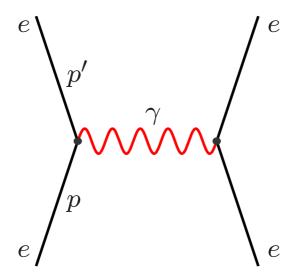
- If the spectrum has an energy gap
- $\Rightarrow$  no singularities in scattering amplitudes or Green functions near p=0
- $\Rightarrow$  low energy behaviour may be analyzed with Taylor series expansion in powers of p

$$f(t) = 1 + \frac{1}{6} \langle r^2 \rangle t + \dots$$
 form factor  $T(p) = a + b p^2 + \dots$  scattering amplitude

Cross section dominated by 
$$S$$
—wave scattering length  $\frac{d\sigma}{d\Omega} \simeq |a|^2$ 

- Expansion parameter:  $\frac{p}{m} = \frac{\text{momentum}}{\text{energy gap}}$
- Taylor series only works if the spectrum has an energy gap, i.e. if there are no massless particles

• Illustration: Coulomb scattering



Photon exchange  $\Rightarrow$  pole at t = 0

$$T = \frac{e^2}{(p'-p)^2}$$

Scattering amplitude does not admit Taylor series expansion in powers of p

- QCD does have an energy gap but the gap is very small:  $M_{\pi}$
- $\Rightarrow$  Taylor series has very small radius of convergence, useful only for  $p < M_\pi$

- Massless QCD contains infrared singularities due to the Nambu-Goldstone bosons
- For  $m_u = m_d = 0$ , pion exchange gives rise to poles and branch points at p = 0
- ⇒ Low energy expansion is not a Taylor series, contains logarithms

Singularities due to Nambu-Goldstone bosons can be worked out with an effective field theory

Chiral Perturbation Theory

Weinberg, Dashen, Pagels, Gasser, . . .

- Chiral perturbation theory correctly reproduces the infrared singularities of QCD
- Quantities of interest are expanded in powers of external momenta and quark masses
- Expansion has been worked out to next-to-leading order for many quantities "Chiral perturbation theory to one loop"
- In quite a few cases, the next-to-next-to-leading order is also known

- Properties of the Nambu-Goldstone bosons are governed by the hidden symmetry that is responsible for their occurrence
- Focus on the singularities due to the pions

$$H_{QCD} = H_0 + H_1$$
  
$$H_1 = \int d^3x \left\{ m_u \bar{u}u + m_d \bar{d}d \right\}$$

 $H_0$  is invariant under  $G = SU(2)_R \times SU(2)_L$ 

 $|0\rangle$  is invariant under H = SU(2) $_{\rm V}$ 

mass term of strange quark is included in  ${\cal H}_{\rm 0}$ 

ullet Treat  $H_1$  as a perturbation

• Extension to  $SU(3)_R \times SU(3)_L$  straightforward: include singularities due to exchange of  $K, \eta$ 

# 10. Effective Lagrangian

Replace quarks and gluons by pions

$$\vec{\pi}(x) = \{\pi^1(x), \pi^2(x), \pi^3(x)\}$$

$$\mathcal{L}_{QCD} \to \mathcal{L}_{eff}$$

Central claim:

A. Effective theory yields alternative representation for generating functional of QCD

$$e^{iS_{\text{QCD}}\{v,a,s,p,\theta\}} = \mathcal{N}_{eff} \int [d\pi] e^{i\int dx \mathcal{L}_{eff}\{\vec{\pi},v,a,s,p,\theta\}}$$

B.  $\mathcal{L}_{e\!f\!f}$  has the same symmetries as  $\mathcal{L}_{\sf QCD}$ 

• Lagrangian of QCD is invariant under  $q_{\mathsf{R}}(x) \to V_{\mathsf{R}}(x) \, q_{\mathsf{R}}(x) \, , \quad q_{\mathsf{L}}(x) \to V_{\mathsf{L}}(x) \, q_{\mathsf{L}}(x) \ V_{\mathsf{R}}(x), V_{\mathsf{L}}(x) \in \mathsf{U}(3)$ 

provided the external fields are transformed with

$$v'_{\mu} + a'_{\mu} = V_{\mathsf{R}}(v_{\mu} + a_{\mu})V_{\mathsf{R}}^{\dagger} - i\partial_{\mu}V_{\mathsf{R}}V_{\mathsf{R}}^{\dagger}$$
$$v'_{\mu} - a'_{\mu} = V_{\mathsf{L}}(v_{\mu} - a_{\mu})V_{\mathsf{L}}^{\dagger} - i\partial_{\mu}V_{\mathsf{L}}V_{\mathsf{L}}^{\dagger}$$
$$s' + i p' = V_{\mathsf{R}}(s + i p)V_{\mathsf{L}}^{\dagger}$$

•  $S_{\text{QCD}}\{v,a,s,p,\theta\}$  invariant modulo anomalies

Action of the symmetry on the meson field:

$$U' = V_{\mathsf{R}} \cdot U \cdot V_{\mathsf{I}}^{\dagger}$$

ullet  $\mathcal{L}_{e\!f\!f}$  also invariant modulo anomalies:

$$\mathcal{L}_{eff}\{U', v', a', s', p', \theta'\} = \mathcal{L}_{eff}\{U, v, a, s, p, \theta\}$$
 (\*)

# 11. Explicit construction of $\mathcal{L}_{eff}$

Construct the general solution of (\*)

First ignore the external fields,

$$\mathcal{L}_{eff} = \mathcal{L}_{eff}(U, \partial U, \partial^2 U, \ldots)$$

Order in the number of derivatives

Symmetry fixes leading term up to a constant:

$$\mathcal{L}_{eff} = \frac{F^2}{4} \operatorname{tr}(\partial_{\mu} U \partial^{\mu} U^{\dagger}) + O(p^4)$$

$$\mathcal{L}_{eff} = \frac{F^2}{4} \operatorname{tr}(\partial_{\mu} U \partial^{\mu} U^{\dagger}) + O(p^4)$$

- ullet Lagrangian of the nonlinear  $\sigma$ -model
- Expansion in powers of  $\vec{\pi}$ :

$$U = \exp i \, \vec{\pi} \cdot \vec{\tau} = 1 + i \, \vec{\pi} \cdot \vec{\tau} - \frac{1}{2} \, \vec{\pi}^{\, 2} + \dots$$

$$\Rightarrow \mathcal{L}_{eff} = \frac{F^2}{2} \partial_{\mu} \vec{\pi} \cdot \partial^{\mu} \vec{\pi} + \frac{F^2}{48} tr\{ [\partial_{\mu} \pi, \pi] [\partial^{\mu} \pi, \pi] \} + \dots$$

For the kinetic term to have the standard normalization: rescale the pion field,  $\vec{\pi} \to \vec{\pi}/F$ 

$$\mathcal{L}_{eff} = \frac{1}{2} \partial_{\mu} \vec{\pi} \cdot \partial^{\mu} \vec{\pi} + \frac{1}{48F^2} \operatorname{tr} \{ [\partial_{\mu} \pi, \pi] [\partial^{\mu} \pi, \pi] \} + \dots$$

- ⇒ a. Symmetry requires the pions to interact
- b. Derivative coupling: Nambu-Goldstone bosons only interact if their momentum does not vanish  $\Rightarrow \sqrt[\lambda]{\pi^4}$

• Expression given for  $\mathcal{L}_{e\!f\!f}$  only holds if the external fields are turned off. Also,  $\operatorname{tr}(\partial_{\mu}U\partial^{\mu}U^{\dagger})$  is invariant only under global transformations Suffices to replace  $\partial_{\mu}U$  by

$$D_{\mu}U = \partial_{\mu}U - i(v_{\mu} + a_{\mu})U + iU(v_{\mu} - a_{\mu})$$

In contrast to  $\text{tr}(\partial_{\mu}U\partial^{\mu}U^{\dagger})$ , the term  $\text{tr}(D_{\mu}UD^{\mu}U^{\dagger})$  is invariant under local  $\text{SU}(2)_{R}\times \text{SU}(2)_{L}$ 

• Can construct further invariants: s+ip transforms like  $U\Rightarrow {\rm tr}\{(s+ip)U^{\dagger}\}$  is invariant Violates parity, but  ${\rm tr}\{(s+ip)U^{\dagger}\}+{\rm tr}\{(s-ip)U\}$  is even under  $p\to -p, \vec{\pi}\to -\vec{\pi}$ 

In addition,  $\exists$  invariant independent of U:  $D_{\mu}\theta D^{\mu}\theta$ , with  $D_{\mu}\theta = \partial_{\mu}\theta + 2\operatorname{tr}(a_{\mu})$ 

• Count the external fields as  $\theta = O(1), \quad v_{\mu}, a_{\mu} = O(p), \quad s, p = O(p^2)$ 

Derivative expansion yields string of the form

$$\mathcal{L}_{eff} = \mathcal{L}^{(2)} + \mathcal{L}^{(4)} + \mathcal{L}^{(6)} + \dots$$

Full expression for leading term:

$$\mathcal{L}^{(2)} = \frac{F^2}{4} \langle D_{\mu} U D^{\mu} U^{\dagger} + \chi U^{\dagger} + U \chi^{\dagger} \rangle + h_0 D_{\mu} \theta D^{\mu} \theta$$
$$\chi \equiv 2 B (s + ip), \quad \langle X \rangle \equiv \text{tr}(X)$$

- Contains 3 constants:  $F, B, h_0$  "effective coupling constants" "low energy constants", LEC
- Next-to-leading order:

$$\mathcal{L}^{(4)} = \frac{\ell_1}{4} \langle D_{\mu} U D^{\mu} U \rangle^2 + \frac{\ell_2}{4} \langle D_{\mu} U D_{\nu} U \rangle \langle D^{\mu} U D^{\nu} U \rangle$$
$$+ \frac{\ell_3}{4} \langle \chi U^{\dagger} + U \chi^{\dagger} \rangle^2 + \frac{\ell_4}{4} \langle D_{\mu} \chi D^{\mu} U^{\dagger} + D_{\mu} U D^{\mu} \chi^{\dagger} \rangle$$
$$+ \dots$$

 Number of effective coupling constants rapidly grows with the order of the expansion

- Infinitely many effective coupling constants
   Symmetry does not determine these
   Predictivity ?
- Essential point: If  $\mathcal{L}_{eff}$  is known to given order  $\Rightarrow$  can work out low energy expansion of the Green functions to that order (Weinberg 1979)
- NLO expressions for  $F_{\pi}, M_{\pi}$  involve 2 new coupling constants:  $\ell_3, \ell_4$ .
  - In the  $\pi\pi$  scattering amplitude, two further coupling constants enter at NLO:  $\ell_1, \ell_2$ .
- Note: effective theory is a quantum field theory
   Need to perform the path integral

$$e^{iS_{\text{QCD}}\{v,a,s,p,\theta\}} = \mathcal{N}_{eff} \int [d\pi] e^{i\int dx \mathcal{L}_{eff}\{\vec{\pi},v,a,s,p,\theta\}}$$

- Classical theory 
   ⇔ tree graphs
   Need to include graphs with loops
- Power counting in dimensional regularization: Graphs with  $\ell$  loops are suppressed by factor  $p^{2\ell}$  as compared to tree graphs
- ⇒ Leading contributions given by tree graphs Graphs with one loop contribute at next-toleading order, etc.
  - The leading contribution to  $S_{QCD}$  is given by the sum of all tree graphs = classical action:

$$S_{\text{QCD}}\{v, a, s, p, \theta\} = \underset{U(x)}{\operatorname{extremum}} \int dx \, \mathcal{L}_{eff}\{U, v, a, s, p, \theta\}$$

### III. Illustrations

## 12. Some tree level calculations

## A. Extracting the quark condensate from the generating functional

• To calculate the quark condensate of the massless theory, it suffices to consider the generating functional for  $v=a=p=\theta=0$  and to take a constant scalar external field

$$s = \begin{pmatrix} m_u & 0 \\ 0 & m_d \end{pmatrix}$$

ullet Expansion in powers of  $m_u$  and  $m_d$  treats

$$H_1 = \int \!\! d^3\!x \, \{ m_u \bar u u + m_d \bar d d \}$$
 as a perturbation

$$S_{\text{QCD}}\{0,0,m,0,0\} = S_{\text{QCD}}^0 + S_{\text{QCD}}^1 + \dots$$

- $S_{\rm QCD}^0$  is independent of the quark masses (cosmological constant)
- ullet  $S^1_{\rm QCD}$  is linear in the quark masses

• First order in  $m_u$ ,  $m_d \Rightarrow$  expectation value of  $H_1$  in unperturbed ground state is relevant

$$S_{\text{QCD}}^{1} = -\int dx \langle 0 | m_u \bar{u}u + m_d \bar{d}d | 0 \rangle$$

 $\Rightarrow$   $\langle 0|\bar{u}u|0\rangle$  and  $\langle 0|dd|0\rangle$  are the coefficients of the terms in  $S_{\rm QCD}$  that are linear in  $m_u$  and  $m_d$ 

#### B. Condensate in terms of effective theory

- Need the effective action for  $v=a=p=\theta=0$  to first order in s
- ⇒ classical level of effective theory suffices.
  - ullet extremum of the classical action: U=1

$$S_{\text{QCD}}^1 = \int \! dx F^2 B(m_u + m_d)$$

comparison with

$$S_{\rm QCD}^1 = -\int \!\! dx \langle 0| \, m_u \bar{u}u + m_d \bar{d}d \, |0\rangle$$
 yields

$$\left| \langle 0 | \bar{u}u | 0 \rangle = \langle 0 | \bar{d}d | 0 \rangle = -F^2 B \right| \tag{1}$$

#### C. Evaluation of $M_{\pi}$ at tree level

 In classical theory, the square of the mass is the coefficient of the term in the Lagrangian that is quadratic in the meson field:

$$\frac{F^2}{4} \langle \chi U^{\dagger} + U \chi^{\dagger} \rangle = \frac{F^2 B}{2} \langle m(U^{\dagger} + U) \rangle$$
$$= F^2 B(m_u + m_d) \{ 1 - \frac{\vec{\pi}^2}{2F^2} + \ldots \}$$

Hence

$$M_{\pi}^{2} = (m_{u} + m_{d})B$$
 (2)

• Tree level result for  $F_{\pi}$ :

$$F_{\pi} = F \tag{3}$$

•  $(1) + (2) + (3) \Rightarrow GMOR$  relation:

$$M_{\pi}^{2} = \frac{(m_{u} + m_{d}) \left| \langle 0 | \overline{u}u | 0 \rangle \right|}{F_{\pi}^{2}}$$

# 13. $M_{\pi}$ beyond tree level

- The formula  $M_{\pi}^2=(m_u+m_d)B$  only holds at tree level, represents leading term in expansion of  $M_{\pi}^2$  in powers of  $m_u, m_d$
- Disregard isospin breaking: set  $m_u = m_d = m$ A.  $M_{\pi}$  to 1 loop
- Claim: at next-to-leading order, the expansion of  $M_{\pi}^2$  in powers of m contains a logarithm:

$$M_{\pi}^{2} = M^{2} - \frac{1}{2} \frac{M^{4}}{(4\pi F)^{2}} \ln \frac{\Lambda_{3}^{2}}{M^{2}} + O(M^{6})$$
$$M^{2} = 2mB$$

• Proof: Pion mass  $\Leftrightarrow$  pole position, for instance in the Fourier transform of  $\langle 0|TA_a^\mu(x)A_b^\nu(y)|0\rangle$  Suffices to work out the perturbation series for this object to one loop of the effective theory

Result (exercise # 5):

$$M_{\pi}^{2} = M^{2} + \frac{2\ell_{3}M^{4}}{F^{2}} + \frac{M^{2}}{2F^{2}} \frac{1}{i} \Delta(0, M^{2}) + O(M^{6})$$

 $\Delta(0, M^2)$  is the propagator at the origin (exercise # 2):

$$\Delta(0, M^2) = \frac{1}{(2\pi)^d} \int \frac{d^d p}{M^2 - p^2 - i\epsilon}$$
$$= i (4\pi)^{-d/2} \Gamma(1 - d/2) M^{d-2}$$

• Contains a pole at d = 4:

$$\Gamma(1-d/2) = \frac{2}{d-4} + \dots$$

• Divergent part is proportional to  $M^2$ :

$$M^{d-2} = M^2 \mu^{d-4} (M/\mu)^{d-4} = M^2 \mu^{d-4} e^{(d-4)\ln(M/\mu)}$$
  
=  $M^2 \mu^{d-4} \{ 1 + (d-4) \ln(M/\mu) + \ldots \}$ 

Denote the singular factor by

$$\lambda \equiv \frac{1}{2} (4\pi)^{-d/2} \Gamma(1 - d/2) \mu^{d-4}$$

$$= \frac{\mu^{d-4}}{16\pi^2} \left\{ \frac{1}{d-4} - \frac{1}{2} (\ln 4\pi + \Gamma'(1) + 1) + O(d-4) \right\}$$

The propagator at the origin then becomes

$$\frac{1}{i}\Delta(0,M^2) = M^2 \left\{ 2\lambda + \frac{1}{16\pi^2} \ln \frac{M^2}{\mu^2} + O(d-4) \right\}$$

• In the expression for  $M_\pi^2$ 

$$M_{\pi}^{2} = M^{2} + \frac{2\ell_{3}M^{4}}{F^{2}} + \frac{M^{2}}{2F^{2}} \frac{1}{i} \Delta(0, M^{2}) + O(M^{6})$$

the divergence can be absorbed in  $\ell_3$ :

$$\ell_3 = -\frac{1}{2}\lambda + \ell_3^{\text{ren}}$$

ullet  $\ell_3^{\,\mathrm{ren}}$  depends on the renormalization scale  $\mu$ 

$$\ell_3^{\rm ren} = \frac{1}{64\pi^2} \ln \frac{\mu^2}{\Lambda_3^2} \ {\rm running \ coupling \ constant}$$

•  $\Lambda_3$  is the ren. group invariant scale of  $\ell_3$  Net result for  $M_\pi^2$ 

$$M_{\pi}^{2} = M^{2} - \frac{1}{2} \frac{M^{4}}{(4\pi F)^{2}} \ln \frac{\Lambda_{3}^{2}}{M^{2}} + O(M^{6})$$

 $\Rightarrow M_{\pi}^2$  contains a chiral logarithm at NLO

• Crude estimate for  $\Lambda_3$ , based on SU(3) mass formulae for the pseudoscalar octet:

0.2 GeV 
$$<\Lambda_3<$$
 2 GeV  $ar{\ell}_3\equiv\ln\frac{\Lambda_3^2}{M_\pi^2}=$  2.9  $\pm$  2.4 Gasser, L. 1984

 $\exists$  better determination  $\bar{\ell}_3$  on the lattice, to be discussed later

⇒ Next-to-leading term is small correction:

$$0.005 < \frac{1}{2} \frac{M_{\pi}^2}{(4\pi F_{\pi})^2} \ln \frac{\Lambda_3^2}{M_{\pi}^2} < 0.04$$

 Scale of the expansion is set by size of pion mass in units of decay constant:

$$\frac{M^2}{(4\pi F)^2} \simeq \frac{M_\pi^2}{(4\pi F_\pi)^2} = 0.0144$$

### B. $M_{\pi}$ to 2 loops

• Terms of order  $m_{\text{quark}}^3$ :

$$M_{\pi}^{2} = M^{2} - \frac{1}{2} \frac{M^{4}}{(4\pi F)^{2}} \ln \frac{\Lambda_{3}^{2}}{M^{2}} + \frac{17}{18} \frac{M^{6}}{(4\pi F)^{4}} \left( \ln \frac{\Lambda_{M}^{2}}{M^{2}} \right)^{2} + k_{M} M^{6} + O(M^{8})$$

F is pion decay constant for  $m_u=m_d=0$ ChPT to two loops Colangelo 1995

- Coefficients  $\frac{1}{2}$  and  $\frac{17}{18}$  determined by symmetry
- ullet  $\Lambda_3, \Lambda_{\mathsf{M}}$  and  $k_{\mathsf{M}} \Longleftrightarrow$  coupling constants in  $\mathcal{L}_{e\!f\!f}$

# 14. $F_{\pi}$ to one loop

Also contains a logarithm at NLO:

$$F_{\pi} = F \left\{ 1 - \frac{M^2}{16\pi^2 F^2} \ln \frac{M^2}{\Lambda_4^2} + O(M^4) \right\}$$

$$M_{\pi}^2 = M^2 \left\{ 1 + \frac{M^2}{32\pi^2 F^2} \ln \frac{M^2}{\Lambda_3^2} + O(M^4) \right\}$$

F is pion decay constant in limit  $m_u, m_d \rightarrow 0$ 

 Structure is the same, coefficients and scale of logarithm are different

### 15. Pion form factors

Scalar form factor of the pion:

$$F_s(t) = \langle \pi(p') | \overline{q} q | \pi(p) \rangle$$
,  $t = (p'-p)^2$ 

• Definition of scalar radius:

$$F_s(t) = F_s(0) \left\{ 1 + \frac{1}{6} \langle r^2 \rangle_s t + O(t^2) \right\}$$

• Low energy theorem:

$$\langle r^2 \rangle_s = \frac{6}{(4\pi F)^2} \left\{ \ln \frac{\Lambda_4^2}{M^2} - \frac{13}{12} + O(M^2) \right\}$$

- → In massless QCD, the scalar radius diverges, because the density of the pion cloud only decreases with a power of the distance
  - Same infrared singularity also occurs in the charge radius (e.m. current), but coefficient of the chiral logarithm is 6 times smaller:

$$\langle r^2 \rangle_s = \frac{6}{(4\pi F)^2} \left\{ \ln \frac{\Lambda_4^2}{M^2} - \frac{13}{12} + O(M^2) \right\}$$
$$\langle r^2 \rangle_{em} = \frac{1}{(4\pi F)^2} \left\{ \ln \frac{\Lambda_6^2}{M^2} - 1 + O(M^2) \right\}$$

 $\Rightarrow$   $\langle r^2 \rangle_{\!\!s} > \langle r^2 \rangle_{\!\!em}$  if M small enough

ullet  $\langle r^2 
angle_{em}$  can be determined experimentally

$$\langle r^2 \rangle_{em} = 0.439 \pm 0.008 \, \text{fm}^2$$

NA7 Collaboration, NP B277 (1986) 168

- Scalar form factor of the pion can be calculated by means of dispersion theory
- Result for the slope:

$$\langle r^2 \rangle_{\!_{S}} = 0.61 \pm 0.04 \, \mathrm{fm}^2$$

Colangelo, Gasser, L., Nucl. Phys. 2001

 $\Rightarrow$  Corresponding value of the scale  $\Lambda_4$ :

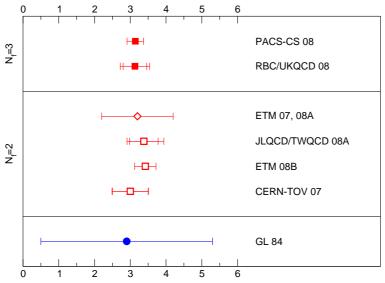
$$\Lambda_4 = 1.26 \pm 0.14 \, \text{GeV}$$

# 16. Lattice results for $M_{\pi}, F_{\pi}$

#### A. Results for $M_{\pi}$

• Determine the scale  $\Lambda_3$  by comparing the lattice results for  $M_{\pi}$  as function of m with the  $\chi$ PT formula

$$M_{\pi}^{2} = M^{2} - \frac{1}{2} \frac{M^{4}}{(4\pi F)^{2}} \ln \frac{\Lambda_{3}^{2}}{M^{2}} + O(M^{6})$$
  
 $M^{2} \equiv 2Bm$ 



lattice results for  $\bar{\ell}_3$ 

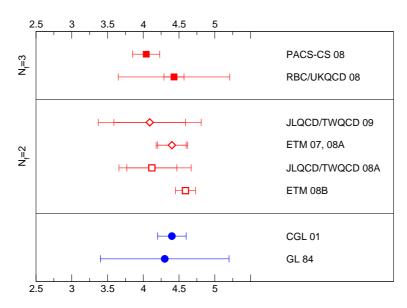
Horizontal axis shows the value of  $\bar{\ell}_3 \equiv \ln \frac{\Lambda_3^2}{M^2}$ 

Range for  $\Lambda_3$  obtained in 1984 corresponds to  $~\overline{\ell}_3 = 2.9 \pm 2.4$ 

Result of RBC/UKQCD 2008: 
$$\bar{\ell}_3 = 3.13 \pm 0.33 \pm 0.24$$

#### B. Results for $F_{\pi}$

$$F_{\pi} = F \left\{ 1 - \frac{M^2}{16\pi^2 F^2} \ln \frac{M^2}{\Lambda_4^2} + O(M^4) \right\}$$



Horizontal axis shows the value of  $\bar{\ell}_4 \equiv \ln \frac{\Lambda_4^2}{M_\pi^2}$ 

• Lattice results beautifully confirm the prediction for the sensitivity of  $F_{\pi}$  to  $m_u, m_d$ :

$$rac{F_\pi}{F}=1.072\pm0.007$$
 Colangelo, Dürr 2004

# 17. $\pi\pi$ scattering

### A. Low energy scattering of pions

- Consider scattering of pions with  $\vec{p} = 0$
- At  $\vec{p} = 0$ , only the S-waves survive (angular momentum barrier). Moreover, these reduce to the scattering lengths
- Bose statistics: S-waves cannot have I=1, either have I=0 or I=2
- $\Rightarrow$  At  $\vec{p}=0$ , the  $\pi\pi$  scattering amplitude is characterized by two constants:  $a_0^0, a_0^2$ 
  - Chiral symmetry suppresses the interaction at low energy: Nambu-Goldstone bosons of zero momentum do not interact
- $\Rightarrow$   $a_0^0, a_0^2$  disappear in the limit  $m_u, m_d \to 0$
- $\Rightarrow$   $a_0^0, a_0^2 \sim M_\pi^2$  measure symmetry breaking

#### B. Tree level of $\chi$ PT

Low Energy theorem Weinberg 1966:

$$a_0^0 = \frac{7M_\pi^2}{32\pi F_\pi^2} + O(M_\pi^4)$$

$$a_0^2 = -\frac{M_\pi^2}{16\pi F_\pi^2} + O(M_\pi^4)$$

- $\Rightarrow$  Chiral symmetry predicts  $a_0^0, a_0^2$  in terms of  $F_{\pi}$ 
  - Accuracy is limited: Low energy theorem only specifies the first term in the expansion in powers of the quark masses
     Corrections from higher orders ?

#### C. Scattering lengths at 1 loop

Next term in the chiral perturbation series:

$$a_0^0 = \frac{7M_\pi^2}{32\pi F_\pi^2} \left\{ 1 + \frac{9}{2} \frac{M_\pi^2}{(4\pi F_\pi)^2} \ln \frac{\Lambda_0^2}{M_\pi^2} + O(M_\pi^4) \right\}$$

- Coefficient of chiral logarithm unusually large Strong, attractive final state interaction
- Scale  $\Lambda_0$  is determined by the coupling constants of  $\mathcal{L}_{eff}^{(4)}$ :

$$\frac{9}{2} \ln \frac{\Lambda_0^2}{M_\pi^2} = \frac{20}{21} \bar{\ell}_1 + \frac{40}{21} \bar{\ell}_2 - \frac{5}{14} \bar{\ell}_3 + 2\bar{\ell}_4 + \frac{5}{2}$$

ullet Information about  $\overline{\ell}_1,\ldots,\,\overline{\ell}_4$  ?

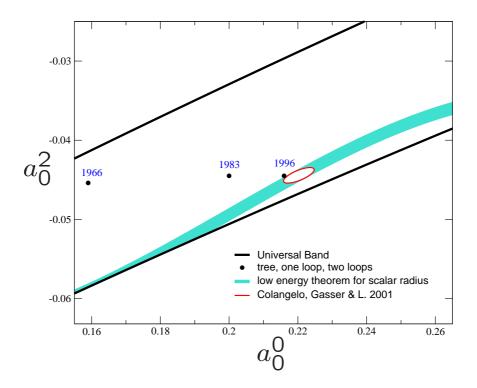
$$\overline{\ell}_1,\overline{\ell}_2 \Longleftrightarrow \begin{array}{l} \text{momentum dependence} \\ \text{of scattering amplitude} \end{array}$$

⇒ Can be determined phenomenologically

$$\bar{\ell}_3, \bar{\ell}_4 \iff \text{dependence of scattering amplitude on quark masses}$$

Have discussed their values already

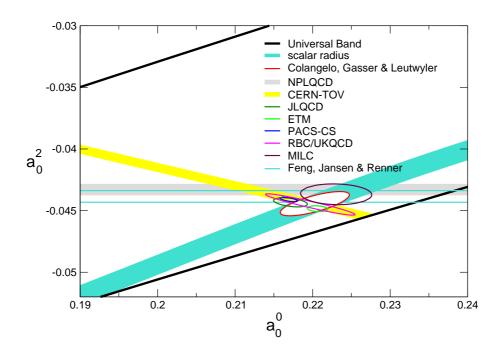
# **D.** Numerical predictions from $\chi$ PT



Sizable corrections in  $a_0^0$   $a_0^2$  nearly stays put

### E. Consequence of lattice results for $\ell_3$ , $\ell_4$

- Uncertainty in prediction for  $a_0^0, a_0^2$  is dominated by the uncertainty in the effective coupling constants  $\ell_3$ ,  $\ell_4$
- Can make use of the lattice results for these



# F. Experiments concerning $a_0^0, a_0^2$

• Production experiments  $\pi N \to \pi\pi N$ ,  $\psi \to \pi\pi\omega$ ,  $B \to D\pi\pi$ , . . .

Problem: pions are not produced in vacuo

 $\Rightarrow$  Extraction of  $\pi\pi$  scattering amplitude is not simple

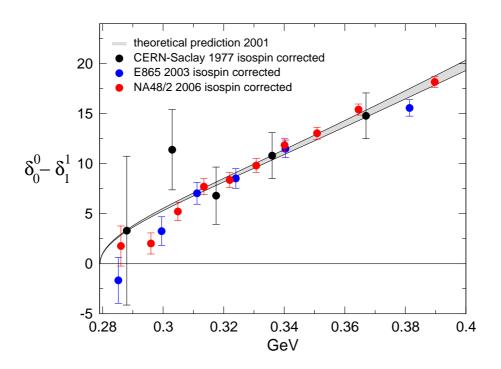
Accuracy rather limited

- $K^{\pm} \rightarrow \pi^{+}\pi^{-}e^{\pm}\nu$  data: CERN-Saclay, E865, NA48/2
- $K^{\pm} \to \pi^0 \pi^0 \pi^{\pm}$ ,  $K^0 \to \pi^0 \pi^0 \pi^0$ : cusp near threshold, NA48/2
- $\pi^+\pi^-$  atoms, DIRAC

#### G. Results from $K_{e4}$ decay

$$K^{\pm} \rightarrow \pi^{+}\pi^{-}e^{\pm}\nu$$

• Allows clean measurement of  $\delta_0^0-\delta_1^1$  Theory predicts  $\delta_0^0-\delta_1^1$  as function of energy



Prediction:  $a_0^0 = 0.220 \pm 0.005$ 

NA48/2: 
$$a_0^0 = 0.2206 \pm 0.0049 \pm 0.0018 \pm 0.0064$$

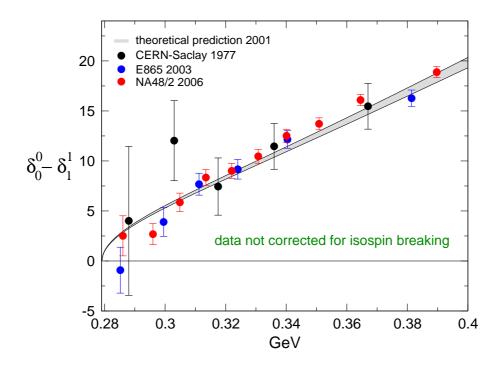
Bloch-Devaux, Chiral Dynamics 2009

 There was a discrepancy here, because a pronounced isospin breaking effect from

$$K \to \pi^0 \pi^0 e \nu \to \pi^+ \pi^- e \nu$$

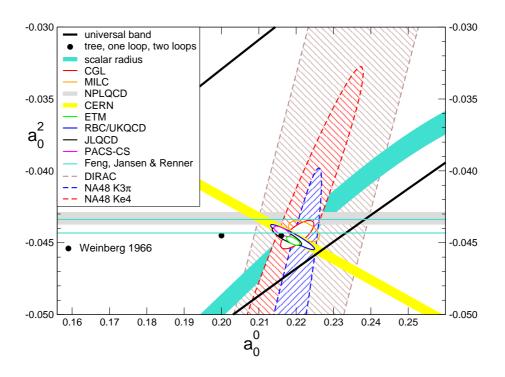
had not been accounted for in the data analysis

Colangelo, Gasser, Rusetsky 2007, Bloch-Devaux 2007



• The correction is not enormous, but matters: If  $a_0^0$  is determined from the uncorrected NA48 data, the central value comes out higher than the theoretical prediction by about 4 times the uncertainty attached to this prediction.

# H. Summary for $a_0^0, a_0^2$



# 18. Conclusions for $SU(2)\times SU(2)$

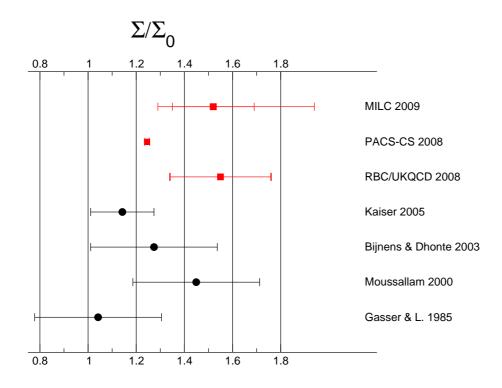
- ullet Expansion in powers of  $m_u, m_d$  yields a very accurate low energy representation of QCD
- Lattice results confirm the GMOR relation
- $\Rightarrow M_{\pi}$  is dominated by the contribution from the quark condensate
- ⇒ Energy gap of QCD is understood very well
  - Lattice approach allows an accurate measurement of the effective coupling constant  $\ell_3$  already now
  - Even for  $\ell_4$ , the lattice starts becoming competitive with dispersion theory

### 19. Expansion in powers of $m_s$

- Theoretical reasoning
  - The eightfold way is an approximate symmetry
  - The only (?) way to understand this within QCD:  $m_s-m_d$ ,  $m_d-m_u$  are small, can be treated as perturbations
  - Since  $m_u, m_d \ll m_s$
  - $\Rightarrow m_s$  can be treated as a perturbation
  - $\Rightarrow$  Expect expansion in powers of  $m_s$  to work, but convergence to be comparatively slow
- This can now also be checked on the lattice

- ullet Consider the limit  $m_u, m_d 
  ightarrow { t 0}$ ,  $m_s$  physical
  - F is value of  $F_{\pi}$  in this limit
  - B is value of  $M_{\pi}^2/(m_u+m_d)$  in this limit
  - $\Sigma$  is value of  $|\langle 0|\bar{u}u|0\rangle|$  in this limit
- Exact relation:  $\Sigma = F^2 B$
- $F_0, B_0, \Sigma_0$ : values for  $m_u = m_d = m_s = 0$
- $N_c o \infty$ :  $F,B,\Sigma$  become independent of  $m_s$   $F/F_0 o 1$ ,  $B/B_0 o 1$ ,  $\Sigma/\Sigma_0 o 1$
- $\Rightarrow$  The differences  $F/F_0-1$ ,  $B/B_0-1$ ,  $\Sigma/\Sigma_0-1$  measure the violations of the OZI rule

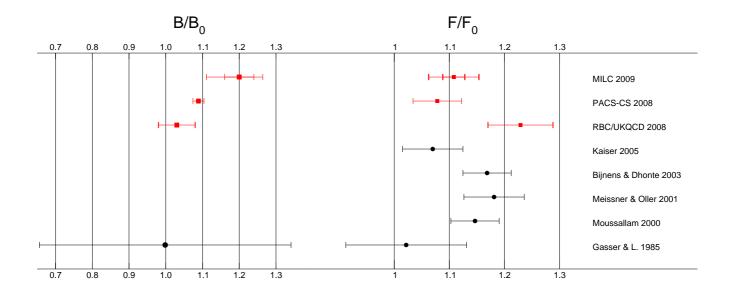
#### A. Condensate



$$\Sigma = -\langle 0|\bar{u}u|0\rangle|_{m_u,m_d\to 0}$$
,  $\Sigma_0 = \Sigma|_{m_s\to 0}$ 

- PACS-CS indicates only modest OZI-violations
- MILC and RBC/UKQCD allow juicy violations
- ⇒ The lattice results do not yet allow to draw conclusions about the size of the OZI-violations in the quark condensate

#### B. Results for B, F



- F is the crucial factor in  $\Sigma = F^2 B$
- Picture for size of OZI-violations in  $B, {\cal F}$  remains unclear
- Main problem: systematic uncertainties of the lattice calculations

- If the central value  $F/F_0 = 1.23$  of RBC/UKQCD were confirmed within small uncertainties, we would be faced with a qualitative puzzle:
  - $F_{\pi}$  is the pion wave function at the origin
  - $F_K$  is larger because one of the two valence quarks is heavier  $\to$  moves more slowly  $\to$  wave function more narrow  $\to$  higher at the origin:  $F_K/F_\pi \simeq 1.19$
  - $F/F_0=1.23$  indicates that the wave function is more sensitive to the mass of the sea quarks than to the mass of the valence quarks . . . very strange  $\rightarrow$  most interesting if true
- No such puzzle with the PACS-CS results

#### C. Expansion to NLO

Involves the effective coupling constants  $L_4$  and  $L_6$  of the SU(3)×SU(3) Lagrangian:

$$F/F_0 = 1 + \frac{8\overline{M}_K^2}{F_0^2} L_4 + \chi \log + \dots$$

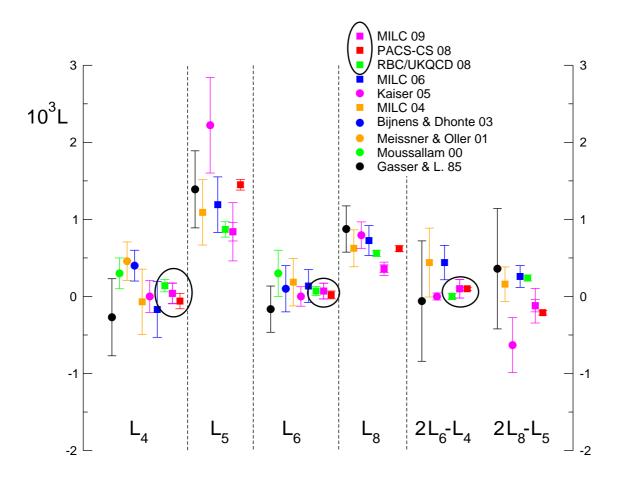
$$\Sigma/\Sigma_0 = 1 + \frac{32\overline{M}_K^2}{F_0^2} L_6 + \chi \log + \dots$$

$$B/B_0 = 1 + \frac{16\overline{M}_K^2}{F_0^2} (2L_6 - L_4) + \chi \log + \dots$$

 $\overline{M}_K$  is the kaon mass for  $m_u = m_d = 0$ .

 $\Rightarrow$  The LECs  $L_4$  and  $L_6$  measure the deviations from the OZI-rule

# D. Effective coupling constants $L_4, L_5, L_6, L_8$



Numerical values shown refer to running scale  $\mu = M_{\rho}$ 

- $\Rightarrow$  For PACS-CS, only the statistical errors are indicated
  - ullet Latest lattice results for the OZI-violating coupling constants  $L_4$  and  $L_6$  are consistent with one another
  - Indicate that the OZI-rule is well obeyed: values are close to zero
  - ullet For  $L_5$  and  $L_8$ , the lattice results are less clear

# 20. Conclusions for $SU(3) \times SU(3)$

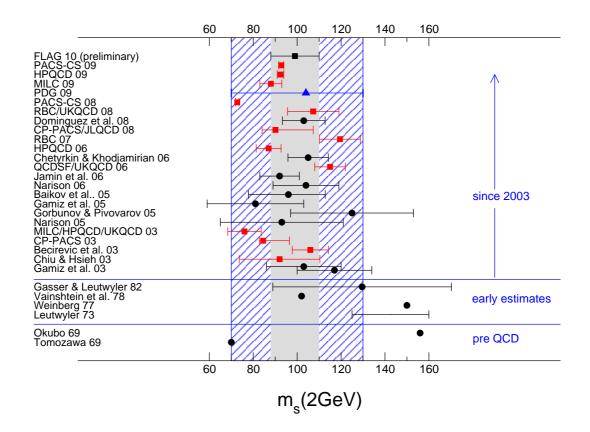
- The crude estimates given 25 years ago for the LECs relevant at NLO are confirmed
- $\Rightarrow$  Expansion in powers of  $m_s$  appears to work: In all cases I know, where the calculation is under control, the truncation at low order yields a decent approximation
- $\Rightarrow$  The picture looks coherent, also for SU(3)×SU(3)
  - ullet  $m_s\gg m_u,m_d\Rightarrow$  higher orders more important
  - For many observables ∃ representation to NNLO

    Bijnens and collaborators
  - Main problem: new LECs relevant at NNLO
     ∃ estimates based on resonance models
     Vector meson dominance √
     Scalar meson dominance ?
    - Dependence on  $m_u, m_d, m_s$ : scalar resonances
  - Lattice results now start providing more precise values for the LECs, but the settling of dust is a slow process . . .

#### IV. Some recent results

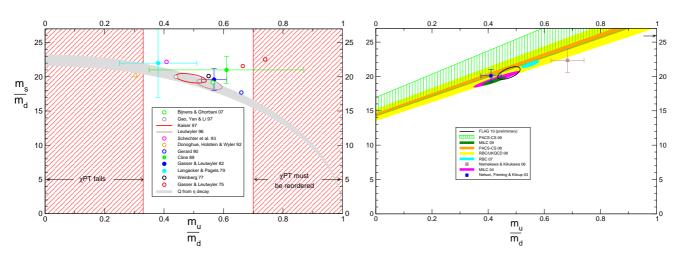
### 21. Masses of the light quarks

 $\bullet$   $\chi$ PT plays an important role in the analysis of lattice data: describes the dependence of the various observables on the quark masses and on the size of the box in terms of a few LECs



$$m_s(2 \, \text{GeV}) = 99 \pm 11 \, \text{MeV}$$
 FLAG 2010 (preliminary)

#### Results for quark mass ratios



Phenomenology

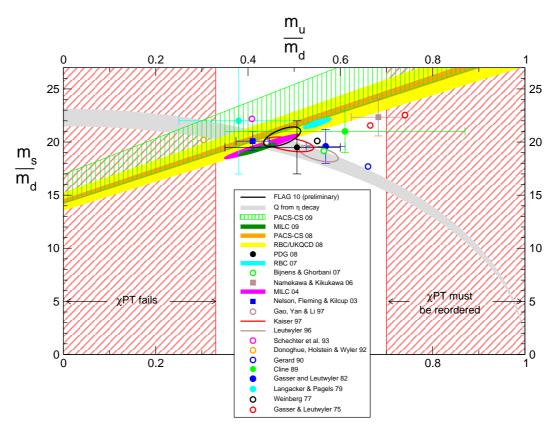
Lattice

$$\frac{m_s}{m_{ud}} = 27.8 \pm 1.0$$
  $\frac{m_u}{m_d} = 0.474 \pm 0.040$ 

FLAG 2010 (preliminary)

None of the lattice results is consistent with the "solution"  $m_u=0$  of the strong CP problem

### Comparison



# 22. $V_{us}$ and $V_{ud}$

- Experimental sources for  $V_{us}$  and  $V_{ud}$ : superallowed nuclear  $\beta$  transitions  $|V_{ud}|$   $K \to \pi \ell \nu$   $|f_+(0)V_{us}|$   $\pi \to \ell \nu, \, \tau \to \pi \nu$   $|V_{ud} F_\pi|$   $K \to \ell \nu, \, \tau \to K \nu$   $|V_{us} F_K|$  inclusive  $\tau$  decays  $|V_{us}|$
- Vector current relevant for nuclear  $\beta$  decay is conserved modulo  $m_u-m_d$
- $\Rightarrow$  analog of  $f_{+}(0)$  is very close to unity

$$|V_{ud}| = 0.97425 \pm 0.00022$$
 Hardy + Towner 2009

- Can determine  $V_{us}$  from  $K \to \pi \ell \nu$  only if  $f_+(0)$  is known. Early determinations were based on  $\chi PT$  prediction for that
- Lattice calculations now provide reliable and precise determination of  $f_{+}(0) \Rightarrow |V_{us}|$
- Results for  $F_\pi, F_K$  do not yet reach sufficient precision, but those for the ratio  $F_K/F_\pi$  do
- $\Rightarrow \frac{V_{us}}{V_{ud}}$  can be determined from  $\frac{\Gamma(K \to \ell \nu)}{\Gamma(\pi \to \ell \nu)}$
- ⇒ can test the Standard Model:

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 \stackrel{?}{=} 1$$

 $\left|V_{ub}\right|$  known well enough, contribution is tiny

 Testing the Standard Model with the lattice data alone

$$|V_u|^2 \equiv |V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1.002 \pm 0.016$$

- $\bullet$  Lattice results for  $V_{ud}$  are consistent with the value obtained from nuclear  $\beta$ -decay
- ⇒ Test sharpens if the two are combined:

$$|V_u|^2 = 1.0000 \pm 0.0007$$
  $f_+(0) + V_{ud}$   $|V_u|^2 = 0.9999 \pm 0.0007$   $F_K/F_\pi + V_{ud}$   $\uparrow$   $\uparrow$  Lattice  $\beta$ -decay

 $\Rightarrow$  Can impose  $|V_u|^2 = 1$  as a constraint (SM)

	$ V_{us} $	$ V_{ud} $	$f_{+}(0)$	$f_K/f_\pi$
Lattice	0.225(2)	0.9743(4)	0.960(8)	1.193(11)
$\beta$ decay	0.225(1)	0.9743(2)	0.960(5)	1.192(6)

FLAG review 2010 (preliminary)

ullet Direct determination of  $|V_{us}|$  from au decay:

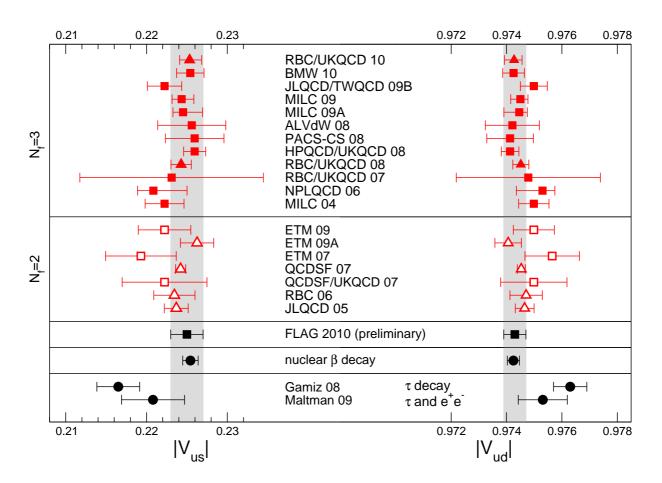
Sort out the final states in the inclusive decay  $\tau \to \nu$  + hadrons:

 $\Gamma = \Gamma(\tau \rightarrow \nu + \text{strange hadrons}) + \text{rest}$ 

First term dominated by  $|V_{us}|^2$ , rest by  $|V_{ud}|^2$ 

Gamiz, Jamin, Pich, Prades, Schwab Maltman, Wolfe, Banerjee, Nugent, Roney

# Data on $|V_{us}|$ and $|V_{ud}|$ analyzed within the SM:



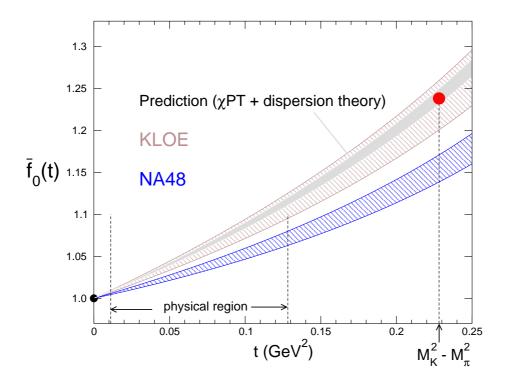
### 23. Concluding remarks

- These lectures focused on the low energy properties of the sector with zero baryon number:  $N_B = \frac{1}{3}(N_u + N_d + N_s + N_c + N_b + N_t) = 0$ . Moreover, only states with  $N_c = N_b = N_t = 0$  were discussed.
- There is considerable progress in extending  $\chi PT$  to the sector with  $N_B=1$ , as well as to nuclei, where  $N_B=2,3\ldots$

Hint: ask Prof. Scherer for a course on these developments

- Effective theory for heavy quark bound states
- Mesons with a heavy and a light quark
- Extension from QCD to QCD + QED

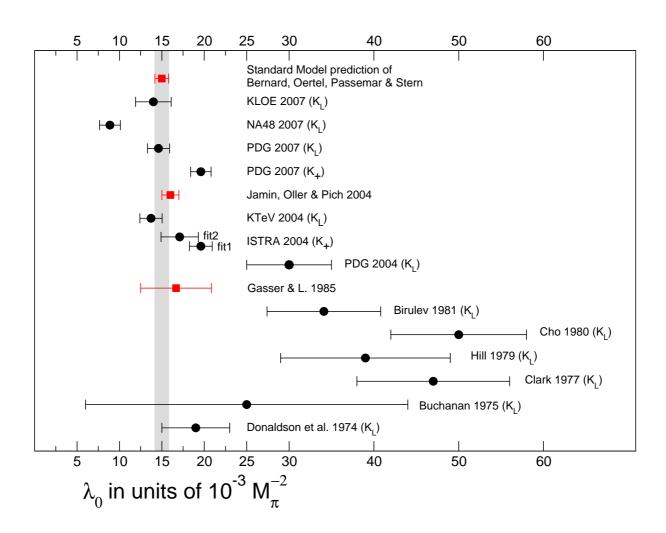
## ullet Puzzle in $K o \pi \mu u$



Plot shows normalized scalar form factor  $\bar{f}_0(t) = \frac{f_0(t)}{f_0(0)}$ 

 History of the issue: data on the slope of the scalar form factor

$$f_0(t) = f_0(0) \left\{ 1 + \lambda_0 t + \lambda_0' t^2 + O(t^3) \right\}$$



• Extend  $\chi$ PT with dispersion theory Example: form factors relevant for  $K \to \pi \ell \nu$   $f_0(t) = f_0(0) \left\{ 1 + \lambda_0 \, t + \lambda_0' \, t^2 + \ldots \right\}$   $\chi$ PT:  $\lambda_0 \leftrightarrow \text{NLO}$ ,  $\lambda_0' \leftrightarrow \text{NNLO}$  Dispersion theory implies very strong correlation between  $\lambda_0$  and  $\lambda_0'$ 

Abbas, Ananthanarayan, Caprini, Imsong 2010

• Dispersive analysis of  $\pi\pi$  and  $\pi K$  scattering,  $\eta \to 3\pi, \ldots$ 

If time permits, I can explain how dispersion theory can be used to extend the  $\chi {\rm PT}$  result for the  $\pi\pi$  scattering lengths to a model-independent prediction for mass and width of the  $\sigma$  meson

### **Exercises**

1. Evaluate the positive frequency part of the massless propagator

$$\Delta^{+}(z,0) = \frac{i}{(2\pi)^3} \int \frac{d^3k}{2k^0} e^{-ikz} , \quad k^0 = |\vec{k}|$$

for  ${\rm Im}\,z^0<$  0. Show that the result can be represented as

$$\Delta^{+}(z,0) = \frac{1}{4\pi i z^2}$$

2. Evaluate the d-dimensional propagator

$$\Delta(z,M) = \int \frac{d^dk}{(2\pi)^d} \frac{e^{-ikz}}{M^2 - k^2 - i\epsilon}$$

at the origin and verify the representation

$$\Delta(0,M) = \frac{i}{4\pi} \Gamma\left(1 - \frac{d}{2}\right) \left(\frac{M^2}{4\pi}\right)^{\frac{d}{2} - 1}$$

How does this expression behave when  $d \rightarrow 4$  ?

3. Leading order effective Lagrangian:

$$\mathcal{L}^{(2)} = \frac{F^2}{4} \langle D_{\mu} U D^{\mu} U^{\dagger} + \chi U^{\dagger} + U \chi^{\dagger} \rangle + h_0 D_{\mu} \theta D^{\mu} \theta$$

$$D_{\mu} U = \partial_{\mu} U - i(v_{\mu} + a_{\mu}) U + i U(v_{\mu} - a_{\mu})$$

$$\chi = 2 B (s + ip)$$

$$D_{\mu} \theta = \partial_{\mu} \theta + 2 \langle a_{\mu} \rangle$$

$$\langle X \rangle = \text{tr} X$$

• Take the space-time independent part of the external field s(x) to be isospin symmetric (i. e. set  $m_u = m_d = m$ ):

$$s(x) = m \, 1 + \tilde{s}(x)$$

• Expand  $U=\exp i\,\phi/F$  in powers of  $\phi=\vec{\phi}\cdot\vec{\tau}$  and check that, in this normalization of the field  $\phi$ , the kinetic part takes the standard form

$$\mathcal{L}^{(2)} = \frac{1}{2} \partial_{\mu} \vec{\phi} \cdot \partial^{\mu} \vec{\phi} - \frac{1}{2} M^2 \vec{\phi}^2 + \dots$$

with  $M^2 = 2mB$ .

• Draw the graphs for all of the interaction vertices containing up to four of the fields  $\phi, v_{\mu}, a_{\mu}, \tilde{s}, p, \theta$ .

4. Show that the classical field theory belonging to the QCD Lagrangian in the presence of external fields is invariant under

$$v'_{\mu} + a'_{\mu} = V_{\mathsf{R}}(v_{\mu} + a_{\mu})V_{\mathsf{R}}^{\dagger} - i\partial_{\mu}V_{\mathsf{R}}V_{\mathsf{R}}^{\dagger}$$

$$v'_{\mu} - a'_{\mu} = V_{\mathsf{L}}(v_{\mu} - a_{\mu})V_{\mathsf{L}}^{\dagger} - i\partial_{\mu}V_{\mathsf{L}}V_{\mathsf{L}}^{\dagger}$$

$$s' + ip' = V_{\mathsf{R}}(s + ip)V_{\mathsf{L}}^{\dagger}$$

$$q'_{\mathsf{R}} = V_{\mathsf{R}}q_{\mathsf{R}}(x)$$

$$q'_{\mathsf{L}} = V_{\mathsf{L}}q_{\mathsf{L}}$$

where  $V_{R}, V_{L}$  are space-time dependent elements of U(3).

5. Evaluate the pion mass to NLO of  $\chi$ PT. Draw the relevant graphs and verify the representation

$$M_{\pi}^{2} = M^{2} + \frac{2 \ell_{3} M^{4}}{F^{2}} + \frac{M^{2}}{2F^{2}} \frac{1}{i} \Delta(0, M^{2}) + O(M^{6})$$

6. Start from the symmetry property of the effective action,

$$S_{\text{QCD}}\{v',a',s',p',\theta'\} = S_{\text{QCD}}\{v,a,s,p,\theta\} - \int \!\! dx \langle \beta \Omega \rangle$$
,

and show that this relation in particular implies the Ward identity

$$\partial_{\mu}^{x}\langle 0|TA_{a}^{\mu}(x)P_{b}(y)|0\rangle = -\frac{1}{4}i\delta(x-y)\langle 0|\overline{q}\{\lambda_{a},\lambda_{b}\}q|0\rangle$$
$$+\langle 0|T\overline{q}(x)i\gamma_{5}\{m,\frac{1}{2}\lambda_{a}\}q(x)P_{b}(y)|0\rangle$$
$$a = 1,\dots,8, \ b = 0,\dots,8$$

7. What is the Ward identity obeyed by the singlet axial current,

$$\partial_{\mu}^{x}\langle 0| TA_{0}^{\mu}(x) P_{b}(y) |0\rangle = ?$$