La QCD à hautes énergies

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One of the major unsolved problems of QCD (and Yang-Mills theory in general) is the understanding of its *high-energy limit*.

I.e. the limit in which C.O.M. energy (\sqrt{s}) is much larger than *all other scales* in the problem.



Want to examine perturbative QCD predictions for

asymptotic behaviour of cross section, σ_{hh}(s) ~??
 properties of final states for large s.

Experimental knowledge



- Some knowledge exists about behaviour of cross section experimentally
- Slow rise as energy increases
- Data insufficient to make reliable statements about functional form
 - $\sigma \sim s^{0.08}$? • $\sigma \sim \ln^2 s$?
- Understanding of final-states is
 ~ inexistent

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 Would like theoretical predictions...

Experimental knowledge



Future experiments go to much higher energies.

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Problem is must more general than just for hadrons. E.g. photon can *fluctuate* into a quark-antiquark (hadronic!) state:



Even a neutrino can behave like a hadron



Hadronic component dominates high-energy cross section

Study field of $q\bar{q}$ dipole (\simeq hadron)



Look at density of *gluons* from dipole field (\sim energy density).

$QCD \simeq QED$

- Large energy = large boost (along z axis), by factor
- Fields flatten into pancake.
 - simple longitudinal structure

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Longitudinal structure of energy density ($N_c = \#$ of colours):

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Total number of gluons:

$$n \sim \frac{\alpha_{s} N_{c}}{\pi} \ln \frac{E}{m} \times \text{transverse}$$



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- Calculation so far is first-order perturbation theory.
- Fixed order perturbation theory is reliable if series converges quickly.
- At high energies, $n \sim \alpha_{\rm s} \ln E \sim 1$.
- What happens with higher orders?

 $(\alpha_{s} \ln E)^{n}$?

Leading Logarithms (LL). Any fixed order potentially non-convergent...

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- ▶ Quarks come in 3 'colours' (N_c = 3). Gluons emission 'repaints' the colour of the quark.
- ► i.e. gluon carries away one colour and brings in a different one [this simple picture = approx of many colours].
- gluon itself is charged with both colour and anti-colour [c.f. two lines with different directions].

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Start with bare $q\bar{q}$ dipole:



Emission of 1 gluon is like QED case — modulo additional colour factor (number of different ways to repaint quark):

 $\alpha \rightarrow \alpha_{\rm s} N_c/2$ (approx)

- In QED subsequent photons are emitted by original dipole
- In QCD original dipole is converted into two new dipoles, which *emit independently*.

Multiple gluon emission



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- Keeping track of full structure of dipoles in evolved qq pair is complicated.
- Instead examine *total* number of dipoles as a function of energy:

Start with dipole of size R_{01} .

Define *number of dipoles of size r* obtained after evolution in energy to a *rapidity* $Y = \ln s$:

 $n(Y; R_{01}, r)$

▶ Write an equation for the *evolution* of *n*(*Y*; *R*₀₁, *r*) with energy.

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High-energy QCD (11/36) Fields of high-energy dipole BFKL equation (NB: $Y = \ln s$)

Dipole evolution equation



 $\frac{\partial n(Y; R_{01}, r)}{\partial Y} = \frac{\alpha_{s} N_{c}}{2\pi^{2}} \int \frac{d^{2} R_{2} R_{01}^{2}}{R_{02}^{2} R_{12}^{2}} \left[n(Y; R_{12}, r) + n(Y; R_{02}, r) - n(Y; R_{01}, r) \right]$

Transverse struct: 2-dim dipole-field (squared)

NB: 3 other formulations

original BFKL

Balitsky-Fadin-Kuraev-Lipatov (BFKL

Formulation of Mueller + Nikolaev & Zakharov '93

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No full analytical solution exists in closed form. But *asymptotic properties* are well understood.

Simplest case is *double asymptotic limit*: $\ln s \sim e^{Y} \ll 1 \& r \ll R$.



This is just *Deep Inelastic Scattering* at small longitudinal momentum fraction *x*:

$$rac{1}{x}\sim rac{s}{Q^2}\gg 1$$
 $rac{Q^2}{\Lambda^2}\sim \left(rac{r_\gamma^2}{R_p^2}
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Much data from HERA collider.

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Double Log (DL) Equation

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Same result can be deduced from DGLAP equations (evolution in Q^2)

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$$\Rightarrow \quad n(Y; R_{01}, r) = \underbrace{\frac{\alpha_{s} N_{c}}{\pi} \int_{0}^{Y} dy \int_{r}^{R_{01}} \frac{dR_{12}^{2}}{R_{12}^{2}}}_{\alpha_{s} \ln s \ln \frac{R_{01}}{r}} = \text{double log}} n(y; R_{12}, r)$$

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Double Log (DL) Solution

Make zeroth order approx: $n^{(0)}(Y; R, r) = \Theta(R - r)$

count number of dipoles larger than \boldsymbol{r}

Solve *iteratively* to get *j*th order contribution:

$$n^{(j)}(Y;R,r) = \bar{\alpha}_{s} \int_{0}^{Y} dy \int_{r}^{R} \frac{d{R'}^{2}}{{R'}^{2}} n^{(j-1)}(y;R',r)$$

Result:

$$n^{(j)}(Y;R,r) = \bar{\alpha}_{\rm s}^{j} \frac{Y^{j}}{j!} \frac{(\ln R^{2}/r^{2})^{j}}{j!}$$

(fixed coupling approximation)

Do sum:

$$n(Y; R, r) = \sum_{j=0}^{\infty} \frac{(\bar{\alpha}_{s} Y \ln R^{2} / r^{2})^{j}}{(j!)^{2}} \sim \exp\left[2\sqrt{\bar{\alpha}_{s} Y \ln R^{2} / r^{2}}\right]$$

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NB: including running coupling $\sim \exp(2/\beta_0^2 \sqrt{Y \ln \ln R^2/r^2})$

High-energy QCD (15/36) \square BFKL solutions: double logs \square Recall: $Y \simeq \ln 1/x \simeq \ln s/s_0$; $Q/\Lambda \sim R/r$

Test in Deep Inelastic Scattering

ZEUS Preliminary 1996-97 F_2^{em} $O^2 = 2.7$ $O^2 = 3.5$ $O^2 = 1.5$ ZEUS 1996-97 O 7FUS 1004 BCDMS, E665. NMC. SLAC ----2 $O^2 = 6.5$ $O^2 = 8.5$ $O^2 = 4.5$ 1 2 $Q^2 = 12$ $O^2 = 15$ $O^2 = 10$ 1 2 $Q^2 = 18$ $Q^2 = 22.0$ $Q^2 = 27$ 1

DIS X-sctn \sim n dipoles:

$$F_2(x, Q^2) \sim n(\ln \frac{1}{x}; \frac{1}{\Lambda^2}, \frac{1}{Q^2})$$
$$\sim \exp\left[\frac{2}{\beta_0^2}\sqrt{\ln \frac{1}{x}\ln \ln \frac{Q^2}{\Lambda^2}}\right]$$

- Growth of cross section at small x
- Faster growth for high Q^2

NB: truly predict **features** of *x*-dependence, even for nonperturbative (NP) proton, since NP uncertainty \equiv rescaling of Λ

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NB: at resolution Q^2 , area occupied by gluon $\sim 1/Q^2$ (area of proton $\sim 1/\Lambda^2$) \Rightarrow the many gluons are *spread out thinly*,

density $\sim xg(x) imes \Lambda^2/Q^2 \lesssim 1$

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True high-energy limit is when c.o.m. energy $\sqrt{s} \gg all \ other \ scales$:

 \perp scale = fixed and ln $s \rightarrow \infty$

Since all \perp scales similar, problem is *self-similar*:

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dipole \rightarrow 2 dipoles \rightarrow 4 dipoles \rightarrow . . .
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Expect exponential growth:

 $n \sim \exp\left[\bar{\alpha}_{s} \ln s \times \text{transverse}\right] \sim s^{\bar{\alpha}_{s} \times \text{transverse}}$

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BFKL equation is linear & homogeneous, kernel is conformally invariant

 $\frac{\partial n(Y;R_{01},r)}{\partial Y} = \frac{\bar{\alpha}_{s}}{2\pi} \int \frac{d^{2}R_{2}R_{01}^{2}}{R_{02}^{2}R_{12}^{2}} \left[n(Y;R_{12},r) + n(Y;R_{02},r) - n(Y;R_{01},r) \right]$

It has power-like *eigenfunctions*:

$$n(Y; R, r) = n_{\gamma}(Y) \left(\frac{R^2}{r^2}\right)^{\gamma}$$

which evolve exponentially (as expected):

$$\frac{\partial n_{\gamma}(Y)}{\partial Y} = \bar{\alpha}_{s}\chi(\gamma)n_{\gamma}(Y) \implies n_{\gamma}(Y) \propto \exp\left[\bar{\alpha}_{s}\chi(\gamma)Y\right]$$
$$\left[\underbrace{\chi(\gamma) = 2\psi(1) - \psi(\gamma) - \psi(1 - \gamma)}_{\text{characteristic function}}, \qquad \psi(\gamma) = \frac{1}{\Gamma(\gamma)}\frac{d\Gamma(\gamma)}{d\gamma}\right]$$

Characteristic function

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Eigenvalues for $(R^2/r^2)^{\gamma}$ $\chi(\gamma) = 2\psi(1) - \psi(\gamma) - \psi(1 - \gamma)$ \rightarrow high energy evolution, $n \sim e^{\bar{\alpha}_{s}\chi(\gamma)Y}$. • pole $(1/\gamma)$ corresponds to \perp logarithms \rightarrow DL terms $\alpha_s Y \ln Q^2$ dominant part at high energies is *minimum* (only stable solution) $n(Y; R, r) \sim \frac{R}{r} e^{4 \ln 2\bar{\alpha}_{\rm s} Y} \sim \frac{R}{r} e^{0.5Y}$ $\alpha_{\rm s} \sim 0.2$

Rapid power growth with energy of number of dipoles (and cross sections).



BFKL eqn solved numerically



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BFKL 'predicts' (for low Q^2)

 $F_2(x,Q^2) \sim e^{4 \ln 2 \alpha_s Y} \sim x^{-0.5}$

Fit λ in $F_2(x, Q^2) \sim x^{-\lambda(Q^2)}$.

Expect to find $\lambda \simeq 0.5$

may be larger at high Q^2 (DL)



Look for BFKL in F_2 [$\gamma^* p$ X-sct]



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Eliminate ratios of transverse



High-energy QCD (23/36) BFKL 'searches' $-^{*}^{*}$

Results from LEP



Here too, data clearly incompatible with LL BFKL

But perhaps some evidence for weak growth

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BFKL is rigorous prediction of field theory, yet not seen in data

- Should we be worried?
- Calculations shown so far are in Leading Logarithmic (LL) approximation, (α_s ln s)ⁿ: accurate only for

 $\alpha_{s} \rightarrow 0$, $\ln s \rightarrow \infty$ and $\alpha_{s} \ln s \sim 1$.

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Next-to-Leading-Logarithmic (NLL) terms: $\alpha_s(\alpha_s \ln s)^n$

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Higher orders

- slow onset of growth $(Y\gtrsim 5)$
- reduce power of growth $(\sim e^{0.25Y})$

Examine solutions at LL, NLL, etc.

 $G(Y; k, k_0) =$ Fourier transform of n(Y; R, r)

- ► LL grows rapidly with Y
- NLL unstable wrt subleading changes
- DGLAP-symmetry constrained higher-orders (schemes A, B) give stable predictions

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- But *pp* and low-Q² DIS go to higher energies, Y ≃ 10 − 14. NLL BFKL (+ DGLAP constraints) predicts σ ≥ s^{0.3} by such energies.
- Why does one only see $\sigma \sim s^{0.08}$ (pp) or $F_2 \sim x^{-0.15}$ (low- Q^2 DIS)?

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Unitarity/saturation & confinement

Two mechanisms for growth of σ



Cross sections grow:

- Increase in number of dipoles r ~ R
- Increase in size of biggest dipoles r_{max}.



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Density of gluons cannot increase indefinitely

When dipole density is high (~ N_c/α_s) dipole branching compensated by dipole merging → saturation of density

Reach maximxal 'occupation number'

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► Closely connected issue: *unitarity* (interaction prob. bounded, ≤ 1) Expressed (approx...) in BFKL equation via non-linear term $\frac{\partial n(Y; R_{01})}{\partial Y} = \frac{\bar{\alpha}_s}{2\pi} \int \frac{d^2 R_2 R_{01}^2}{R_{02}^2 R_{12}^2} [n(Y; R_{12}) + n(Y; R_{02}) - n(Y; R_{01}) - c\alpha_s^2 n(Y; R_{12})n(Y; R_{02})]$ Gribov Levin Ryskin '83; Balitsky '96; Kovchegov '98; JIMWLK '97-
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Cross-section with saturation

Kernel $\frac{R_{01}^2 d^2 \dot{R}_2}{R_{12}^2 R_{02}^2}$ is *conformally invariant* (even with non-linear term) <u>e.g.</u>: Growth in area BFKL growth is not just increase in number of gluons/dipoles. Gluons can be produced *far* from original dipole — because

of conformal (scale) invariance *each step* in Y translates to a constant *factor of increase in area*.

No other scales in problem.

Perturbative (fixed-coupling) *geometric* cross section for two dipoles in Balitsky-Kovchegov (= BFKL with saturation) grows as

 $\sigma \sim \exp\left[2.44 \times \bar{\alpha}_{s} Y\right] = 2.44 \simeq \chi'(\bar{\gamma}) \text{ where } \bar{\gamma}\chi'(\bar{\gamma}) = \chi(\bar{\gamma})$

Only marginally weaker than $e^{4 \ln 2\bar{\alpha}_s Y} = e^{2.77\bar{\alpha}_s Y}$ of unsaturated BFKL.

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Conformal invariance not an exact symmetry of high-energy QCD.

- Broken by running of coupling.
- \blacktriangleright For distances $\gtrsim 1/\Lambda_{\it QCD}$ perturbative treatment makes no sense
 - confinement sets in
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- This is the semi-perturbative picture consistent with

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Plot Y-ln Q^2 plane (as Prof. Veneziano) Recall: • Density \uparrow with Y • Density \Downarrow with ln Q^2 ▶ Dilute: $\frac{r^2}{R^2}n \lesssim \alpha_s^{-1}$ ▶ Dense: $\frac{r^2}{R^2}n \gtrsim \alpha_s^{-1}$



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Saturation Scale $Q_s^2(Y)$



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Saturation scale from data?

Big business at HERA collider

- ► Saturation \Rightarrow strong non-Abelian fields (but $\alpha_{s} \ll 1$) if $Q_{s}^{2} \gtrsim 1$ GeV
- Use diffraction to measure degree of saturation
- Saturation sets in (perhaps?) just at limit of perturbative region
- NB: much interest also for *nuclei* (thickness increases density) (RHIC)

Dynamics at $Q_s^2(Y)$

- ► All gluon modes occupied up to Q²_s(Y).
- ▶ pp collisions always radiate gluons up to Q²_s(Y).



• $Q_s \gtrsim 1 \text{ GeV} \Rightarrow pp$ collisions partially perturbative.

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Towards NLL comparisons with data

 NLL couplings to external particles (photons, jets) — 'impact factors' Bartels, Gieseke, Qiao, Colferai, Vacca, Kyrieleis '01-... Fadin, Ivanov, Kotsky '01-...

 Understanding solutions of NLL evolution equations
Altarelli, Ball Forte '02–...; Andersen & Sabio Vera '03–... Ciafaloni, Colferai, GPS & Staśto '02–...

Evolution equations with saturation:

- Solutions of *multipole* evolution (BKP) Derkachov, Korchemsky, Kotanski & Manashov '02 de Vega & Lipatov '02
- Connections between Balitsky-Kovchegov and statistical physics (FKPP) Munier & Peschanski '03
- Evolution eqns beyond 'mean-field' lancu & Triantafyllopoulos '04-05 Mueller, Shoshi & Wong '05 Levin & Lublinsky '05
- Understanding of *solutions* beyond mean-field Mueller & Shoshi '04 lancu, Mueller & Munier '04 Brunet, Derrida, Mueller & Munier (in progress)

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- Basic field-theoretical framework for high-energy limit of perturbative QCD: *BFKL*
- Has many sources of corrections
 - Higher-orders in linear equation
 - Non-linearities
- These effects all combine together to provide a *picture* that looks *sensible* wrt data
- Progress still needed in order to be quantitative

- CPhT (X): Stéphane Munier, Bernard Pire
- LPT (Orsay): Gregory Korchemsky, Dominique Schiff, Samuel Wallon
- ▶ LPTHE (Paris 6 & 7): Hector de Vega, GPS
- SPhT (CEA): Jean-Paul Blaizot, François Gelis, Edmond Iancu, Robi Peschanski, Kazunori Itakura, Grégory Soyez, Dionysis Triantafyllopoulos, Cyrille Marquet.

Permanent Postdoc

Ph.D.

 Senior visitors over the past few years: Ian Balitsky, Marcello Ciafaloni, Stefano Forte, Lev Lipatov, Larry McLerran, Alfred H. Mueller, Raju Venugopalan, ...