A cityscape at sunset, with buildings silhouetted against a bright orange and yellow sky. The sun is low on the horizon, creating a strong glow. The sky is filled with soft, wispy clouds. The buildings are mostly multi-story apartment blocks or office buildings, typical of a dense urban area like São Paulo.

# Basics of QCD

## Lecture 1: the core ingredients

Gavin Salam

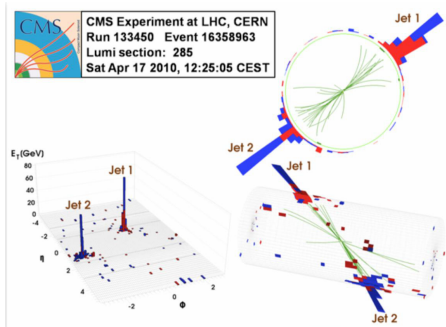
CERN Theory Unit

ICTP–SAIFR school on QCD and LHC physics  
July 2015, São Paulo, Brazil

# QUANTUM CHROMODYNAMICS

The theory of quarks, gluons and their interactions

It's central to all modern colliders.  
(And QCD is what we're made of)



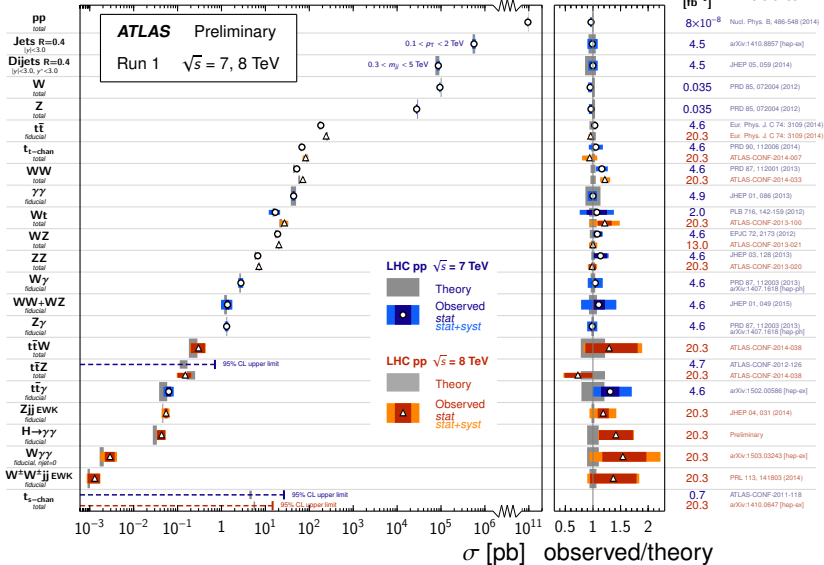
# QCD predictions v. data for many processes

## Standard Model Production Cross Section Measurements

Status: March 2015

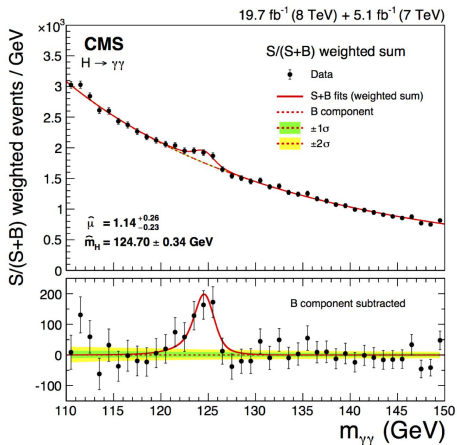
$\int \mathcal{L} dt$   
[fb<sup>-1</sup>]

Reference



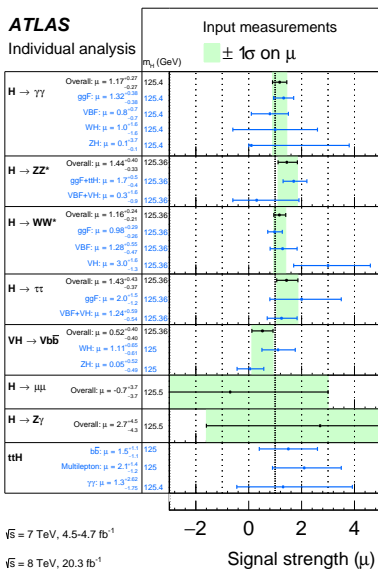
QCD is especially relevant in order to deduce information about the **Higgs boson**.

[cf. lectures by Andre Sznajder & Claude Duhr]

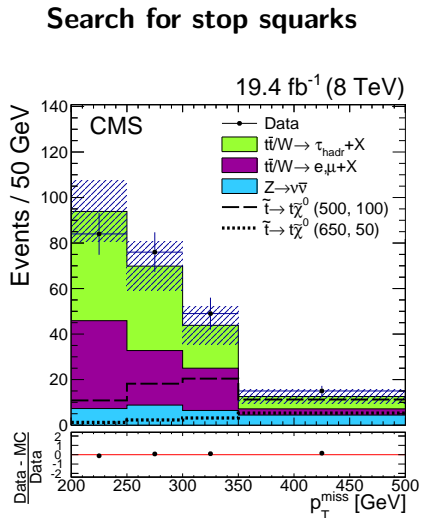


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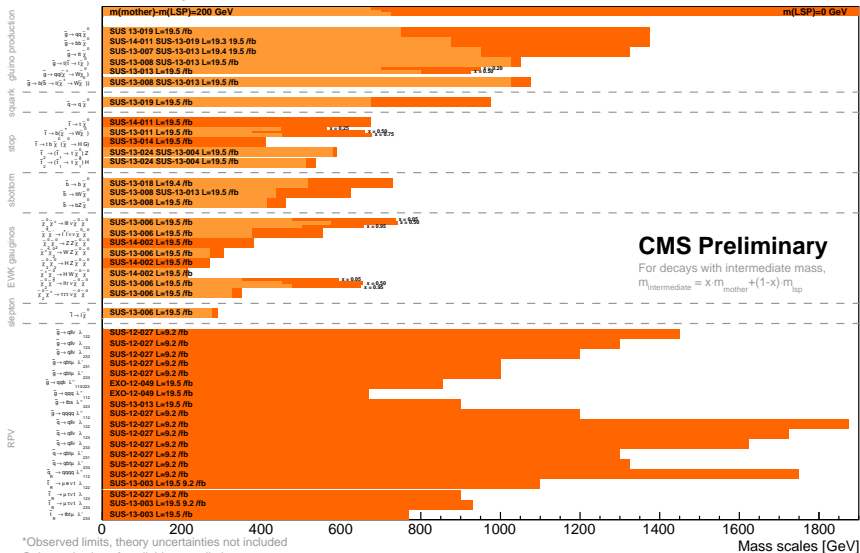


Identification and/or exclusion of potential new physics relies in diverse ways on a QCD-based understanding of signals and backgrounds.



## Summary of CMS SUSY Results\* in SMS framework

ICHEP 2014



**CMS Preliminary**  
 For decays with intermediate mass,  
 $m_{\text{intermediate}} = x \cdot m_{\text{mother}} + (1-x) \cdot m_{\text{top}}$

The school will cover many aspects of QCD, from the advanced calculation techniques to the experimental consequences.

### **This course:**

A reminder of what QCD is

#### **A few core equations & concepts**

(running coupling, collider cross sections  
infrared divergences and infrared safety)

#### **More depth on topics not covered in other lectures**

(parton distribution functions, jets)



- ▶ Quarks (and anti-quarks): they come in 3 colours
- ▶ Gluons: a bit like photons in QED
  - But there are 8 of them, and they're colour charged
- ▶ And a coupling,  $\alpha_s$ , that's not so small and runs fast
  - At LHC, in the range 0.08(@ 5 TeV) to  $\mathcal{O}(1)$ (@ 0.5 GeV)

Quarks — 3 colours:  $\psi_a = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \end{pmatrix}$

Quark part of Lagrangian:

## Let's write down QCD in full detail

(There's a lot to absorb here — but it should become more palatable as we return to individual elements later)

$$\lambda^1 = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \lambda^2 = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \lambda^3 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \lambda^4 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix},$$

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Quark part of Lagrangian:

$$\mathcal{L}_q = \bar{\psi}_a (i\gamma^\mu \partial_\mu \delta_{ab} - g_s \gamma^\mu t_{ab}^C \mathcal{A}_\mu^C - m) \psi_b$$

$SU(3)$  local gauge symmetry  $\leftrightarrow 8 (= 3^2 - 1)$  generators  $t_{ab}^1 \dots t_{ab}^8$   
corresponding to 8 gluons  $\mathcal{A}_\mu^1 \dots \mathcal{A}_\mu^8$ .

A representation is:  $t^A = \frac{1}{2} \lambda^A$ ,

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Field tensor:  $F_{\mu\nu}^A = \partial_\mu \mathcal{A}_\nu^A - \partial_\nu \mathcal{A}_\mu^A - g_s f_{ABC} \mathcal{A}_\mu^B \mathcal{A}_\nu^C$       $[t^A, t^B] = if_{ABC} t^C$

$f_{ABC}$  are structure constants of  $SU(3)$  (antisymmetric in all indices —  $SU(2)$  equivalent was  $\epsilon^{ABC}$ ). Needed for gauge invariance of gluon part of Lagrangian:

$$\mathcal{L}_G = -\frac{1}{4} F_A^{\mu\nu} F^{A\mu\nu}$$

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## Exercise

Consider gauge transformations

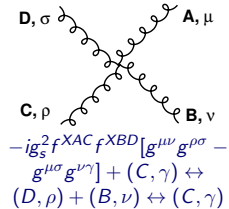
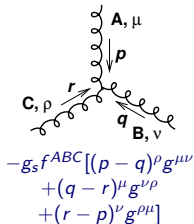
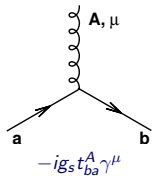
$$\psi \rightarrow U(x)\psi, \quad U(x) = e^{iu^A(x)t^A}$$

How should the gluon field  $A_\mu^C$  transform in order for  $\mathcal{L}_q$  to be gauge invariant? Show that with the same transformations,  $\mathcal{L}_G$  is gauge invariant.

Relies on idea of order-by-order expansion small coupling,  $\alpha_s \ll 1$

$$\alpha_s + \underbrace{\alpha_s^2}_{\text{small}} + \underbrace{\alpha_s^3}_{\text{smaller}} + \underbrace{\dots}_{\text{negligible?}}$$

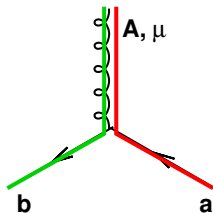
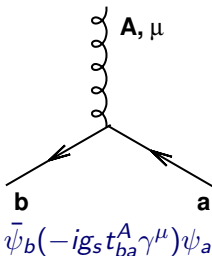
Interaction vertices of Feynman rules:



These expressions are fairly complex, so you really don't want to have to deal with too many orders of them! i.e.  $\alpha_s$  had better be small...

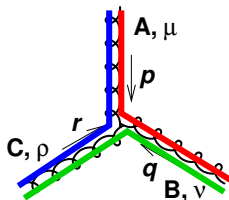
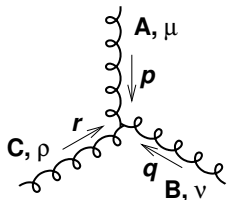
[Zvi Bern will show you how to do things more easily!]





$$\underbrace{\begin{pmatrix} 0 & 1 & 0 \end{pmatrix}}_{\bar{\psi}_b} \underbrace{\begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}}_{t_{ab}^1} \underbrace{\begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}}_{\psi_a}$$

A gluon emission **repaints** the quark colour.  
 A gluon itself carries colour and anti-colour.



$$\begin{aligned}
 & -g_s f^{ABC} [(p - q)^\rho g^{\mu\nu} \\
 & \quad + (q - r)^\mu g^{\nu\rho} \\
 & \quad + (r - p)^\nu g^{\rho\mu}]
 \end{aligned}$$

A gluon emission also repaints the gluon colours.

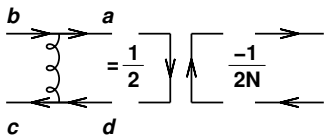
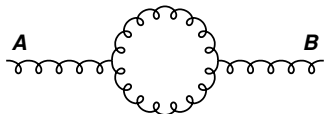
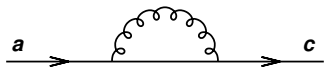
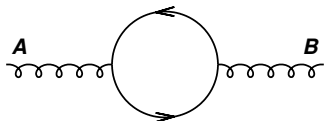
Because a gluon carries colour + anti-colour, it emits  $\sim$  twice as strongly as a quark (just has colour)

$$\text{Tr}(t^A t^B) = T_R \delta^{AB}, \quad T_R = \frac{1}{2}$$

$$\sum_A t_{ab}^A t_{bc}^A = C_F \delta_{ac}, \quad C_F = \frac{N_c^2 - 1}{2N_c} = \frac{4}{3}$$

$$\sum_{C,D} f^{ACD} f^{BCD} = C_A \delta^{AB}, \quad C_A = N_c = 3$$

$$t_{ab}^A t_{cd}^A = \frac{1}{2} \delta_{bc} \delta_{ad} - \frac{1}{2N_c} \delta_{ab} \delta_{cd} \quad (\text{Fierz})$$



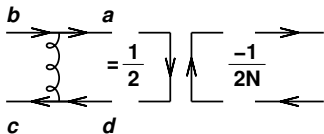
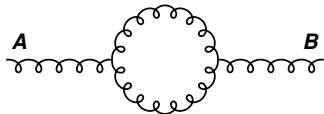
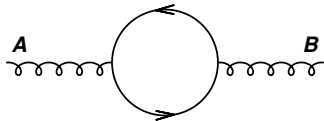
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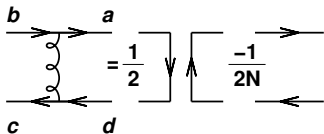
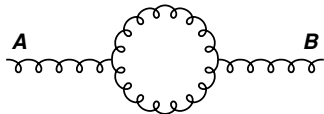
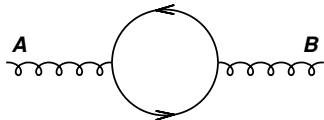
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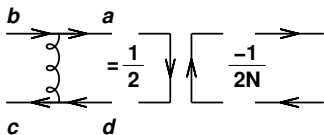
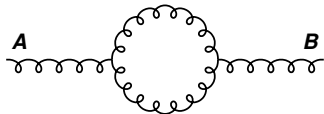
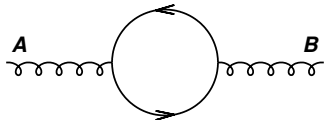
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$N_c \equiv$  number of colours = 3 for QCD

Use the Fierz identity (fourth line of previous slide) to derive the second line.

All couplings run (QED, QCD, EW), i.e. they depend on the momentum scale ( $Q^2$ ) of your process.

The evolution equation for the QCD coupling,  $\alpha_s(Q^2)$ , is:

$$Q^2 \frac{\partial \alpha_s}{\partial Q^2} = \beta(\alpha_s), \quad \beta(\alpha_s) = -\alpha_s^2 (b_0 + b_1 \alpha_s + b_2 \alpha_s^2 + \dots),$$

$$b_0 = \frac{11C_A - 2n_f}{12\pi}, \quad b_1 = \frac{17C_A^2 - 5C_A n_f - 3C_F n_f}{24\pi^2} = \frac{153 - 19n_f}{24\pi^2}$$

Note sign: **Asymptotic Freedom**, due to gluon to self-interaction

- ▶ At high scales  $Q$ , coupling becomes small
  - ↳ quarks and gluons are almost free, interactions are weak
- ▶ At low scales, coupling becomes strong
  - ↳ quarks and gluons interact strongly — confined into hadrons
  - Perturbation theory fails.



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$$\text{Solve } Q^2 \frac{\partial \alpha_s}{\partial Q^2} = -b_0 \alpha_s^2 \Rightarrow \alpha_s(Q^2) = \frac{\alpha_s(Q_0^2)}{1 + b_0 \alpha_s(Q_0^2) \ln \frac{Q^2}{Q_0^2}} = \frac{1}{b_0 \ln \frac{Q^2}{\Lambda^2}}$$

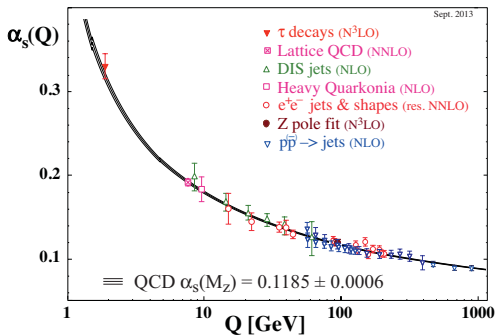
$\Lambda \simeq 0.2 \text{ GeV}$  (aka  $\Lambda_{QCD}$ ) is the fundamental scale of QCD, at which coupling blows up.

- ▶  $\Lambda$  sets the scale for hadron masses  
(NB:  $\Lambda$  not unambiguously defined wrt higher orders)
- ▶ Perturbative calculations valid for scales  $Q \gg \Lambda$ .

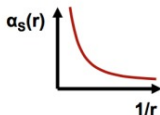
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“What this year's Laureates discovered was something that, at first sight, seemed completely contradictory. The interpretation of their mathematical result was that the closer the quarks are to each other, the *weaker* is the 'colour charge'. When the quarks are really close to each other, the <sup>charge</sup> force is so weak that they behave almost as free particles. This phenomenon is called 'asymptotic freedom'. The converse is true when the quarks move apart: <sup>potential</sup> the force becomes stronger when the distance increases.”



\*1 The force still goes to  $\infty$  as  $r \rightarrow 0$  (Coulomb potential), just less slowly

\*2 The potential grows linearly as  $r \rightarrow \infty$ , so the force actually becomes constant (even this is only true in “quenched” QCD. In real QCD, the force eventually vanishes for  $r \gg 1 \text{ fm}$ )



The Official Web Site of the Nobel Prize

The Nobel Prize in Physics 2004

David J. Gross, H. David Politzer, Frank Wilczek



David J. Gross



H. David Politzer



Frank Wilczek

The Nobel Prize in Physics 2004 was awarded jointly to David J. Gross, H. David Politzer and Frank Wilczek “for the discovery of asymptotic freedom in the theory of the strong interaction”.

Photos: Copyright © The Nobel Foundation

## Exercise

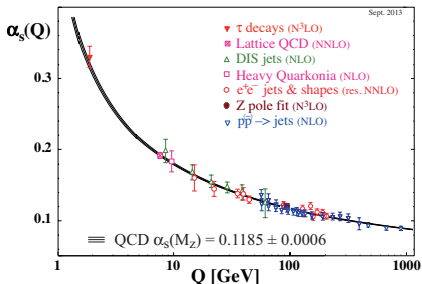
There is a freedom in defining the “scheme” for  $\alpha_s$ . E.g.

$$\alpha_s^{\text{scheme-B}} = \alpha_s^{\text{scheme-A}} + c_B (\alpha_s^{\text{scheme-A}})^2$$

where  $c_B$  is some scheme-conversion coefficient.

The  $\beta$ -function coefficients, usually given in the so-called  $\overline{\text{MS}}$  renormalisation scheme, are known up to  $b_3$ .

Which of the  $b_0, b_1, b_2$ , etc. coefficients is modified if the scheme is changed?



- ▶ We want to investigate collisions at high energies ( $\sim 100$  GeV to few TeV), where the coupling is small  $\rightarrow$  perturbative methods are the natural choice
- ▶ But the LHC collides protons,  $m \simeq 0.94$  GeV, which definitely involve strong coupling physics

**There is no escape from non-perturbative physics**

- ▶ Put all the quark and gluon fields of QCD on a 4D-lattice  
    NB: with imaginary time
- ▶ Figure out which field configurations are most likely (by Monte Carlo sampling).
- ▶ You've solved QCD

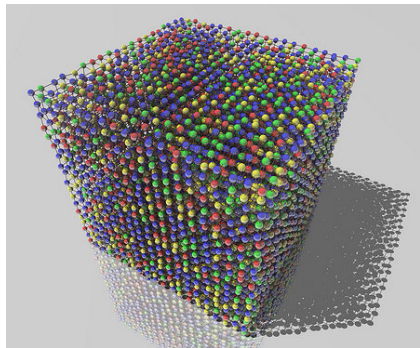
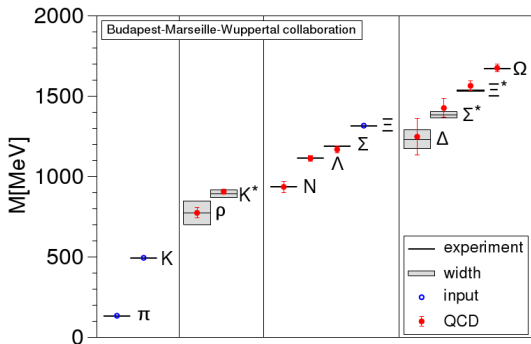


image credits: fdecomite [Flickr]

Lattice QCD is great at calculation static properties of a single hadron.

E.g. the hadron mass spectrum



Durr et al '08



How big a lattice do you need for an LHC collision @ 14 TeV?

Lattice spacing:  $\frac{1}{14 \text{ TeV}} \sim 10^{-5} \text{ fm}$

Lattice extent:

- ▶ non-perturbative dynamics for quark/hadron near rest takes place on timescale  $t \sim \frac{1}{0.5 \text{ GeV}} \sim 0.4 \text{ fm}/c$
- ▶ But quarks at LHC have effective boost factor  $\sim 10^4$
- ▶ So lattice extent should be  $\sim 4000 \text{ fm}$

Total: need  $\sim 4 \times 10^8$  lattice units in each direction, or  $3 \times 10^{34}$  nodes total.

Plus clever tricks to deal with high particle multiplicity,  
imaginary v. real time, etc.

# Neither lattice QCD nor perturbative QCD can offer a full solution to using QCD at colliders

What the community has settled on is

- 1) **factorisation** of initial state non-perturbative problem  
from
- 2) the “**hard process**,” calculated perturbatively  
supplemented with
- 3) non-perturbative modelling of final-state hadronic-scale processes  
(“**hadronisation**”).